State of Rhode Island and Providence Plantations  
Department of Administration  
Division of Purchases

RIVIP BIDDER CERTIFICATION COVER FORM  
SECTION 1 - BIDDER INFORMATION

Bidder must be registered as a vendor on the RIVIP system at www.purchasing.ri.gov to submit a bid proposal.

Solicitation Number: 7550595A3  
Solicitation Title: IMPROVEMENTS TO THE EMERGENCY POWER, PASTORE CENTER, REGAN BUILDING-DOA(1 PG)

Bid Proposal Submission Deadline Date & Time: 6/14/2016 1:30 PM

RIVIP Vendor ID #: 893  
Bidder Name: E. W. Audet & Sons, Inc.  
Address: 169 Bay Street  
Providence, RI 02905 USA

Telephone: 4014673510  
Fax: 4017618820  
Contact Name: John Osowa, Jr.  
Contact Title: President  
Contact Email: jack@ewaudet.com

SECTION 2 - DISCLOSURES

Bidders must respond to every statement. Bid proposals submitted without a complete response may be deemed nonresponsive.

Indicate "Y" (Yes) or "N" (No) for Disclosures 1-4, and if "Yes," provide details below:

Y 1. State whether the Bidder, or any officer, director, manager, stockholder, member, partner, or other owner or principal of the Bidder or any parent, subsidiary, or affiliate has been subject to suspension or debarment by any federal, state, or municipal governmental authority, or the subject of criminal prosecution, or conviction of a criminal offense within the previous 5 years. If "Yes," provide details below.

Y 2. State whether the Bidder, or any officer, director, manager, stockholder, member, partner, or other owner or principal of the Bidder or any parent, subsidiary, or affiliate has had any contracts with a federal, state, or municipal governmental authority terminated for any reason within the previous 5 years. If "Yes," provide details below.

Y 3. State whether the Bidder, or any officer, director, manager, stockholder, member, partner, or other owner or principal of the Bidder or any parent, subsidiary, or affiliate has been fined more than $5000 for violation(s) of any Rhode Island environmental law(s) by the Rhode Island Department of Environmental Management within the previous 5 years. If "Yes," provide details below.

Y 4. State whether any officer, director, manager, stockholder, member, partner, or other owner or principal of the Bidder is serving or has served within the past two calendar years as either an appointed or elected official of any state governmental authority or quasi-public...
corporation, including without limitation, any entity created as a legislative body or public or state agency by the general assembly or constitution of this state.

Disclosure details (continue on additional sheet if necessary): n/a

SECTION 3 - OWNERSHIP DISCLOSURE

Bidders must provide all relevant information. Bid proposals submitted without a complete response may be deemed nonresponsive.

If the Bidder is publicly held, the Bidder may provide owner information about only those stockholders, members, partners, or other owners that hold at least 10% of the record or beneficial equity interests of the Bidder; otherwise, complete ownership disclosure is required.

List each officer, director, manager, stockholder, member, partner, or other owner or principle of the Bidder, and each intermediate parent company and the ultimate parent company of the Bidder. For each individual, provide his or her name, business address, principal occupation, position with the Bidder, and the percentage of ownership, if any, he or she holds in the Bidder, and each intermediate parent company and the ultimate parent company of the bidder.

John Osowa, Jr. - President (47.5%) - 169 Bay Street, Providence, RI 02905

Jeffrey Audet - Vice President & Corp. Secretary (47.5%) - Same as above

Ernest Audet, Jr. - Vice President - Same as above

Robert Wilbur - Treasurer (5%) - Same as above

SECTION 4 - CERTIFICATIONS

Bidders must respond to every statement. Bid proposals submitted without a complete response may be deemed nonresponsive.

Indicate “Y” (Yes) or “N” (No), and if “No,” provide details below.

THE BIDDER CERTIFIES THAT:

Y 1. The Bidder will immediately disclose, in writing, to the State Purchasing Agent any potential conflict of interest which may occur during the term of any contract awarded pursuant to this solicitation.

Y 2. The Bidder possesses all licenses and anyone who will perform any work will possess all licenses required by applicable federal, state, and local law necessary to perform the requirements of any contract awarded pursuant to this solicitation and will maintain all required licenses during the term of any contract awarded pursuant to this solicitation. In the event that any required license shall lapse or be restricted or suspended, the Bidder shall immediately notify the State Purchasing Agent in writing.

Y 3. The Bidder will maintain all required insurance during the term of any contract pursuant to this solicitation. In the event that any required insurance shall lapse or be canceled, the Bidder will immediately notify the State Purchasing Agent in writing.

Y 4. The Bidder understands that falsification of any information in this bid proposal or failure to notify the State Purchasing Agent of any changes in any disclosures or certifications in this Bidder Certification may be grounds for suspension, debarment, and/or prosecution for fraud.

Y 5. The Bidder has not paid and will not pay any bonus, commission, fee, gratuity, or other remuneration to any employee or official of the State of Rhode Island or any subdivision of the State of Rhode Island or other governmental authority for the purpose of obtaining an award of a contract pursuant to this solicitation. The Bidder further certifies that no bonus, commission, fee, gratuity, or other
remuneration has been or will be received from any third party or paid to any third party contingent on the award of a contract pursuant to this solicitation.

Y  6. This bid proposal is not a collusive bid proposal. Neither the Bidder, nor any of its owners, stockholders, members, partners, principals, directors, managers, officers, employees, or agents has in any way colluded, conspired, or agreed, directly or indirectly, with any other bidder or person to submit a collusive bid proposal in response to the solicitation or to refrain from submitting a bid proposal in response to the solicitation, or has in any manner, directly or indirectly, sought by agreement or collusion or other communication with any other bidder or person to fix the price or prices in the bid proposal or the bid proposal of any other bidder, or to fix any overhead, profit, or cost component of the bid price in the bid proposal or the bid proposal of any other bidder, or to secure through any collusion, conspiracy, or unlawful agreement any advantage against the State of Rhode Island or any person with an interest in the contract awarded pursuant to this solicitation. The bid price in the bid proposal is fair and proper and is not tainted by any collusion, conspiracy, or unlawful agreement on the part of the Bidder, its owners, stockholders, members, partners, principals, directors, managers, officers, employees, or agents.

Y  7. The Bidder: (i) is not identified on the General Treasurer’s list created pursuant to R.I. Gen. Laws § 37-2.5-3 as a person or entity engaging in investment activities in Iran described in § 37-2.5-2(b); and (ii) is not engaging in any such investment activities in Iran.

Y  8. The Bidder will comply with all of the laws that are incorporated into and/or applicable to any contract with the State of Rhode Island.

Certification details (continue on additional sheet if necessary):

n/a

Submission by the Bidder of a bid proposal pursuant to this solicitation constitutes an offer to contract with the State of Rhode Island through the Division of Purchases on the terms and conditions contained in this solicitation and the bid proposal. The Bidder certifies that: (1) the Bidder has reviewed this solicitation and agrees to comply with its terms and conditions; (2) the bid proposal is based on this solicitation; and (3) the information submitted in the bid proposal (including this Bidder Certification Cover Form) is accurate and complete. The Bidder acknowledges that the terms and conditions of this solicitation and the bid proposal will be incorporated into any contract awarded to the Bidder pursuant to this solicitation and the bid proposal. The person signing below represents, under penalty of perjury, that he or she is fully informed regarding the preparation and contents of this bid proposal and has been duly authorized to execute and submit this bid proposal on behalf of the Bidder.

BIDDER

Date: June 14, 2016

E.W. Audet & Sons, Inc.

Name of Bidder

Signature in ink

John Osoway Jr. - President

Printed name and title of person signing on behalf of Bidder

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3/18/2016
BID FORM

To: The State of Rhode Island Department of Administration
   Division of Purchases, 2nd Floor
   One Capitol Hill, Providence, RI 02908-5855

Bidder: E.W. Audet & Sons, Inc.
   169 Bay Street, Providence, RI 02905
   John Osowa, Jr. jack@ewaudet.com

   401.467.3510 401.781.8820

1. BASE BID PRICE

The Bidder submits this bid proposal to perform all of the work (including labor and
materials) described in the solicitation for this Base Bid Price (including the costs for all
Allowances, Bonds, and Addenda):

   $ 598,900.00
   (base bid price in figures printed electronically, typed, or handwritten legibly in ink)
   Five Hundred, Ninety-Eight Thousand, Nine Hundred Dollars & Zero Cents
   (base bid price in words printed electronically, typed, or handwritten legibly in ink)

- Allowances

The Base Bid Price includes the costs for the following Allowances:

   No. 1: n/a $________________
   No. 2: ____________________ $________________

   Total Allowances: $________________
Solicitation #: 7550595
Solicitation Title: Improvements to the Emergency Power, Pastore Center, Regan Building, DOA

- **Bonds**

  The Base Bid Price *includes* the costs for all Bid and Payment and Performance Bonds required by the solicitation.

- **Addenda**

  The Bidder has examined the entire solicitation (including the following Addenda), and the Base Bid Price *includes* the costs of any modifications required by the Addenda.

  *All Addenda must be acknowledged.*

  Addendum No. 1 dated: **May 27, 2016**

  Addendum No. 2 dated: **June 2, 2016**

  Addendum No. 3 dated: **June 7, 2016**

  Addendum No. 4 dated: ________________

2. **ALTERNATES** (*Additions/Subtractions to Base Bid Price*)

   The Bidder offers to: (i) perform the work described in these Alternates as selected by the State in the order of priority specified below, based on the availability of funds and the best interest of the State; and (ii) increase or reduce the Base Bid Price by the amount set forth below for each Alternate selected.

   *Check "Add" or "Subtract."*

   _____ Add _____ Subtract  Alternate No. 1:   n/a

   $______________

   (amount in figures printed electronically, typed, or handwritten legibly in ink)

   ____________

   (amount in words printed electronically, typed, or handwritten legibly in ink)
Solicitation #: 7550595  
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Regan Building, DOA

____ Add  ____ Subtract  Alternate No. 2:  n/a

$________________________
(amount in figures printed electronically, typed, or handwritten legibly in ink)

______________________________
(amount in words printed electronically, typed, or handwritten legibly in ink)

3. **UNIT PRICES**

The Bidder submits these predetermined Unit Prices as the basis for any change orders approved in advance by the State. These Unit Prices include **all** costs, including labor, materials, services, regulatory compliance, overhead, and profit.

Unit Price No. 1:  n/a $________________________

Unit Price No. 2:  n/a $________________________

Unit Price No. 3:  n/a $________________________

4. **CONTRACT TIME**

The Bidder offers to perform the work in accordance with the timeline specified below:

- Start of construction: __________________________
- Substantial completion: __________________________
- Final completion: __________________________

5. **LIQUIDATED DAMAGES**

The successful bidder awarded a contract pursuant to this solicitation shall be liable for
and pay the State, as liquidated damages and not as a penalty, the following amount for each calendar day of delay beyond the date for substantial completion, as determined in the sole discretion of the State: $__________.

This bid proposal is irrevocable for 60 days from the bid proposal submission deadline.

If the Bidder is determined to be the successful bidder pursuant to this solicitation, the Bidder will promptly: (i) comply with each of the requirements of the Tentative Letter of Award; and (ii) commence and diligently pursue the work upon issuance and receipt of the purchase order from the State and authorization from the user agency.

The person signing below certifies that he or she has been duly authorized to execute and submit this bid proposal on behalf of the Bidder.

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BIDDER

Date: June 14, 2016

E.W. Audet & Sons, Inc

Signature in Ink: [Signature]

Name of Bidder: [Name]

Printed name and title of person signing on behalf of Bidder: John Osoya, Jr. - President

Bidder’s Contractor Registration Number: 39455
Taxpayer Identification Number (T.I.N.)
Enter your taxpayer identification number in the appropriate box. For most individuals, this is your social security number.

Social Security No. (SSN)  
Employer ID No. (EIN) 05 0312658

NAME  
E.W. Audet & Sons, Inc.

ADDRESS  
169 Bay Street

CITY, STATE AND ZIP CODE  Providence, RI 02905

PAYMENT REMITTANCE ADDRESS, IF DIFFERENT FROM THE ADDRESS ABOVE

ADDRESS

CITY, STATE AND ZIP CODE

CERTIFICATION: Under penalties of perjury, I certify that:
(1) The number shown on this form is my correct Taxpayer Identification Number (or I am waiting for a number to be issued to me), and
(2) I am not subject to backup withholding because either: (A) I am exempt from backup withholding, or (B) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (C) the IRS has notified me that I am no longer subject to backup withholding.
(3) I am a U.S. citizen or other U.S. person (as defined by the IRS).

Certification Instructions -- You must cross out item (2) above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item (2) does not apply.

Please sign here and provide title, date and telephone number:

SIGNATURE  

TITLE  
President

DATE 6.14.16

TEL NO 401.467.3510

BUSINESS DESIGNATION:

Please Check One:  
Individual  □  Corporation  ✓  Trust/Estate  □  Government/Nonprofit Corporation  □
Partnership  □  Medical Services Corporation  □  Legal Services Corporation  □

LLC Tax Classification:  
Single Member (Individual)  □  Partnership  □  Corporation  □

TIPS:
NAME: Be sure to enter your full and correct legal name as shown on your income tax return for the SSN or EIN provided.
ADDRESS, CITY, STATE AND ZIP CODE: If you operate a business at more than one location, adhere to the following:
1) Same EIN with more than one location -- attach a list of location addresses with remittance address for each location and indicate to which location the year-end tax information return should be mailed.
2) Different EIN for each different location -- submit a completed W-9 form for each EIN and location. (One year-end tax information return will be reported for each EIN and remittance address.)

Mail Completed Form To:
Supplier Coordinator
Purchasing Department
One Capitol Hill, 2nd Floor
Providence RI 02908

Or Email To: doa.pursuppliercoordinator@purchasing.ri.gov

For State Use Only:
IRS  RI SOS  FED  Other

RI Supplier #:  Approved

Date Entered  Entered By
KNOW ALL MEN BY THESE PRESENTS, that we E. W. AUDET & SONS, INC., of 169 Bay Street, Providence, Rhode Island as Principal, hereinafter called the Principal, and NATIONWIDE MUTUAL INSURANCE COMPANY a corporation duly organized under the laws of the State of Ohio as Surety, hereinafter called the Surety, are held and firmly bound unto STATE OF RHODE ISLAND, DEPARTMENT OF ADMINISTRATION as Obligee, hereinafter called the Obligee, in the sum of Five Percent of the Amount of Attached Bid Dollars ($ 5% of Bid), for the payment of which sum well and truly to be made, the said Principal and the said Surety, bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally, firmly by these presents.

WHEREAS, the Principal has submitted a bid IMPROVEMENTS TO THE EMERGENCY POWER, PASTORE CENTER, REGAN BUILDING; PROJECT NO. 7550595

NOW, THEREFORE, if the Obligee shall accept the bid of the Principal and the Principal shall enter into a Contract with the Obligee in accordance with the terms of such bid, and give such bond or bonds as may be specified in the bidding or Contract Documents with good and sufficient surety for the faithful performance of such Contract and for the prompt payment of labor and material furnished in the prosecution thereof, or in the event of the failure of the Principal to enter such Contract and give such bond or bonds, if the Principal shall pay to the Obligee the difference not to exceed the penalty hereof between the amount specified in said bid and such larger amount for which the Obligee may in good faith contract with another party to perform the Work covered by said bid, then this obligation shall be null and void, otherwise to remain in full force and effect.

Signed and sealed this 14th day of June, 2016.

Katherine Sweeney

E. W. AUDET & SONS, INC.
(Principal)

By: John Osowa, Jr. - President
(Surety)

NATIONWIDE MUTUAL INSURANCE COMPANY
(Seal)

By: Derfise A. Chianese, (Title) Attorney-in-Fact
Power of Attorney

KNOW ALL MEN BY THESE PRESENTS THAT:

Nationwide Mutual Insurance Company, an Ohio corporation hereinafter referred to as the "Company" and does hereby make, constitute and appoint:

Denise A. Chianese, Charles A. Byrne, David J. Byrne

each in his individual capacity, as true and lawful attorney-in-fact, with full power and authority to sign, seal, and execute on his behalf any and all bonds and undertakings, and other obligatory instruments of similar nature, in penalties not exceeding the sum of

Unlimited

and to bind the Company thereby, as fully and to the same extent as if such instruments were signed by the duly authorized officers of the Company; and all acts of said Attorney pursuant to the authority given are hereby ratified and confirmed.

This power of attorney is made and executed pursuant to and by authority of the following resolution duly adopted by the board of directors of the Company:

"RESOLVED, that the president, or any vice president be, and each hereby is, authorized and empowered to appoint attorneys-in-fact of the Company, and to authorize them to execute and deliver on behalf of the Company any and all bonds, forms, applications, memorandums, undertakings, recognizances, transfers, contracts of indemnity, policies, contracts guaranteeing the fidelity of persons holding positions of public or private trust, and other writings obligatory in nature that the business of the Company may require; and to modify or revoke, with or without cause, any such appointment or authority; provided, however, that the authority granted hereby shall in no way limit the authority of other duly authorized agents to sign and countersign any of said documents on behalf of the Company.

"RESOLVED FURTHER, that such attorneys-in-fact shall have full power and authority to execute and deliver any and all such documents and to bind the Company subject to the terms and limitations of the power of attorney issued to them, and to affix the seal of the Company hereto; provided, however, that said seal shall not be necessary for the validity of any such documents."

This power of attorney is signed and sealed under and by the following bylaws duly adopted by the board of directors of the Company.

Execution of Instruments. Any vice president, any assistant secretary or any assistant treasurer shall have the power and authority to sign or affix all approved documents, instruments, contracts, or other papers in connection with the operation of the business of the company in addition to the chairman of the board, the chief executive officer, president, treasurer or secretary; provided, however, the signature of any of them may be printed, engraved, or stamped on any approved document, contract, instrument, or other papers of the Company.

IN WITNESS WHEREOF, the Company has caused this instrument to be signed and duly attested by the signature of

[Signature]
Antonio C. Albanese, Vice President of Nationwide Mutual Insurance Company

ACKNOWLEDGMENT

STATE OF NEW YORK, COUNTY OF NEW YORK:

On this 30th day of Sept., 2015, before me came the above-named officer for the Company aforesaid, to me personally known to be the officer described in and who executed the preceding instrument, and he acknowledged the execution of the same, and being by me duly sworn, deposes and says, that he is the officer of the Company aforesaid, that the seal affixed hereto is the corporate seal of said Company, and that the corporate seal and his signature were duly affixed and subscribed to said instrument by the authority and direction of said Company.

[Signature]
FAYE V. STORCH
Notary Public - State of New York
No. 01ST029622
Qualified in Nassau County
My Commission Expires July 29, 2017

CERTIFICATE

I, Farah H. Shah, Assistant Secretary of the Company, do hereby certify that the foregoing is a full, true and correct copy of the original power of attorney issued by the Company; that the resolution included therein is a true and correct transcript from the minutes of the meetings of the boards of directors and the same has not been revoked or amended in any manner; that said Antonio C. Albanese was on the date of the execution of the foregoing power of attorney the duly elected officer of the Company, and the corporate seal and his signature as officer were duly affixed and subscribed to the said instrument by the authority of said board of directors; and the foregoing power of attorney is still in full force and effect.

IN WITNESS WHEREOF, I have hereunto subscribed my name as Assistant Secretary, and affixed the corporate seal of said Company this 14th day of Sept., 2015.

[Signature]
Assistant Secretary