

State of Rhode Island and Providence Plantations
Department of Administration
Division of Purchases

RIVIP BIDDER CERTIFICATION COVER FORM

SECTION 1 - BIDDER INFORMATION

Bidder must be registered as a vendor on the RIVIP system at www.purchasing.ri.gov to submit a bid proposal.

Solicitation Number: 7550397
Solicitation Title: CCRI - WARWICK CAMPUS - WAY FINDING PROJECT (36 PGS) AND 1 ZIP FILE

**Bid Proposal Submission
Deadline Date & Time:** 4/13/2016 10:00 AM

RIVIP Vendor ID #: 78727

Bidder Name: Design Communications Ltd
Address: 25 Drydock Avenue

Boston , MA 02210
USA

Telephone: 6175429620

Fax:

Contact Name: Harvey Whiteway

Contact Title: ExecutiveVicePresident

Contact Email: hwhiteway@dclboston.com

SECTION 2 - DISCLOSURES

Bidders must respond to every statement. Bid proposals submitted without a complete response may be deemed nonresponsive.

Indicate "Y" (Yes) or "N" (No) for Disclosures 1-4, and if "Yes," provide details below

- N 1. State whether the Bidder, or any officer, director, manager, stockholder, member, partner, or other owner or principal of the Bidder or any parent, subsidiary, or affiliate has been subject to suspension or debarment by any federal, state, or municipal governmental authority, or the subject of criminal prosecution, or convicted of a criminal offense within the previous 5 years. If "Yes," provide details below.
- N 2. State whether the Bidder, or any officer, director, manager, stockholder, member, partner, or other owner or principal of the Bidder or any parent, subsidiary, or affiliate has had any contracts with a federal, state, or municipal governmental authority terminated for any reason within the previous 5 years. If "Yes," provide details below.
- N 3. State whether the Bidder, or any officer, director, manager, stockholder, member, partner, or other owner or principal of the Bidder or any parent, subsidiary, or affiliate has been fined more than \$5000 for violation(s) of any Rhode Island environmental law(s) by the Rhode Island Department of Environmental Management within the previous 5 years. If "Yes," provide details below.
- N 4. State whether any officer, director, manager, stockholder, member, partner, or other owner or principal of the Bidder is serving or has served within the past two calendar years as either an appointed or elected official of any state governmental authority or quasi-public

corporation, including without limitation, any entity created as a legislative body or public or state agency by the general assembly or constitution of this state.

Disclosure details (continue on additional sheet if necessary):

n/a

SECTION 3 - OWNERSHIP DISCLOSURE

Bidders must provide all relevant information. Bid proposals submitted without a complete response may be deemed nonresponsive.

If the Bidder is publicly held, the Bidder may provide owner information about only those stockholders, members, partners, or other owners that hold at least 10% of the record or beneficial equity interests of the Bidder; otherwise, complete ownership disclosure is required.

List each officer, director, manager, stockholder, member, partner, or other owner or principle of the Bidder, and each intermediate parent company and the ultimate parent company of the Bidder. For each individual, provide his or her name, business address, principal occupation, position with the Bidder, and the percentage of ownership, if any, he or she holds in the Bidder, and each intermediate parent company and the ultimate parent company of the bidder.

Mark Andreasson - President 25 Drydock Avenue, Boston, MA 02210 73% owned

Craig Kutner - CEO 25 Drydock Avenue, Boston, MA 02210 26% owned

SECTION 4 - CERTIFICATIONS

Bidders must respond to every statement. Bid proposals submitted without a complete response may be deemed nonresponsive.

Indicate "Y" (Yes) or "N" (No), and if "No," provide details below.

THE BIDDER CERTIFIES THAT:

- Y 1. The Bidder will immediately disclose, in writing, to the State Purchasing Agent any potential conflict of interest which may occur during the term of any contract awarded pursuant to this solicitation.
- Y 2. The Bidder possesses all licenses and anyone who will perform any work will possess all licenses required by applicable federal, state, and local law necessary to perform the requirements of any contract awarded pursuant to this solicitation and will maintain all required licenses during the term of any contract awarded pursuant to this solicitation. In the event that any required license shall lapse or be restricted or suspended, the Bidder shall immediately notify the State Purchasing Agent in writing.
- Y 3. The Bidder will maintain all required insurance during the term of any contract pursuant to this solicitation. In the event that any required insurance shall lapse or be canceled, the Bidder will immediately notify the State Purchasing Agent in writing.
- Y 4. The Bidder understands that falsification of any information in this bid proposal or failure to notify the State Purchasing Agent of any changes in any disclosures or certifications in this Bidder Certification may be grounds for suspension, debarment, and/or prosecution for fraud.
- Y 5. The Bidder has not paid and will not pay any bonus, commission, fee, gratuity, or other remuneration to any employee or official of the State of Rhode Island or any subdivision of the State of Rhode Island or other governmental authority for the purpose of obtaining an award of a contract pursuant to this solicitation. The Bidder further certifies that no bonus, commission, fee, gratuity, or other

Solicitation #: 7550397

Solicitation Title: Community College of Rhode Island Warwick Campus – Way Finding Project.

BID FORM

To: The Department of Administration, Division of Purchases
One Capitol Hill, Providence, RI 02908

Project: Knight Campus Wayfinding & Entry Sign
Community College of Rhode Island
Knight Campus

Bidder:

Design Communications, Ltd.

Legal name of entity

25 Drydock Avenue, Boston, MA 02210

Address

Mike Vickers

Contact name

mvickers@dclboston.com

Contact email

857-284-5418

Contact telephone

617-951-0777

Contact fax

1. BASE BID PRICE

The Bidder submits this bid proposal to perform all of the work (including labor and materials) as described in the solicitation for this Base Bid Price, (including the costs for all Allowances, Bonds, and Addenda):

\$ 173,715.

(Base Bid Price *in figures* printed electronically, typed, or handwritten legibly in ink)

One Hundred Seventy Three Thousand Seven Hundred Fifteen Dollars

(Base Bid Price *in words* electronically, typed, or handwritten legibly in ink)

Solicitation #: 7550397

Solicitation Title: Community College of Rhode Island Warwick Campus – Way Finding Project.

• **ALLOWANCES**

The Base Bid Price includes the costs for the following Allowances: (as defined in Attachment A to Section 01 2010)

1. Additional Interior Sign Allowance	\$20,000.00
2. Concealed Underground Allowance	<u>\$20,000.00</u>
Total Allowances:	<u>\$40,000.00</u>

• **BONDS**

The Base Bid Price includes the costs for all Bid and Payment and Performance Bonds required by the solicitation.

• **ADDENDA**

The Bidder has examined the entire solicitation (including the following Addenda), and the Base Bid Price includes the costs of any modifications required by the Addenda.

All Addenda must be acknowledged.

- Addendum No. 1, dated n/a
- Addendum No. 2, dated _____
- Addendum No. 3, dated _____

2. ALTERNATES (Additions to Base Bid Price as defined in Attachment A to Section 01 2010)

The Bidder offers to: (i) perform the work described in these Alternates as selected by the State in the order of priority specified below, based on the availability of funds and the best interest of the State; and (ii) increase the Base Bid Price by the amount set forth below for each Alternate selected.

None

3. UNIT PRICES (As identified in Attachment A to Section 01 2010)

The Bidder submits these predetermined Unit Prices as the Basis for any change orders approved in advance by the State. These Unit Prices include all costs, including labor, materials, installation, services, regulatory compliance, overhead, and profit.

DESCRIPTION OF SERVICES	CONTRACTORS UNIT COST
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Unit Price No. 1	Bulk Excavation, as defined in Section 31 000 Per cubic yard	\$,				.		
Unit Price No. 2	Trench Excavation, as defined in Section 31 000 Per cubic yard	\$,				.		
Unit Price No. 3	Bulk Rock Removal, as defined in Section 31 000 Per cubic yard	\$,				.		
Unit Price No. 4	Trench Rock Removal, as defined in Section 31 000, Per cubic yard	\$,				.		
Unit Price No. 5	Sign Type A1, per sign	\$,		8	5	.	0	0
Unit Price No. 6	Sign Type A2, per sign	\$,	3	0	0	.	0	0
Unit Price No. 7	Sign Type B1, per sign	\$	1	,	1	5	0	.	0	0
Unit Price No. 8	Sign Type B2, per sign	\$,	9	0	0	.	0	0
Unit Price No. 9	Sign Type B3, per sign	\$,	4	4	5	.	0	0
Unit Price No. 10	Sign Type B4, per sign	\$,	5	5	0	.	0	0
Unit Price No. 11	Sign Type B5, per sign	\$,	5	2	5	.	0	0

4. CONTRACT TIME

The Bidder offers to perform the work in accordance with the timeline specified below:

- Start of Construction..... 7 days after issuance of P.O.
- Substantial Completion.....June 20, 2016
- Final Completion.....June 28, 2016
- Black-out Dates (No On-site Work Allowed).....May 19, 2016 thru May 21, 2016

5. LIQUIDATED DAMAGES

The successful bidder awarded a contract pursuant to this solicitation shall be liable for and pay the State, as liquidated damages and not as a penalty, the following amount for **each** calendar day of delay beyond the date for substantial completion, as determined in the sole discretion of the State:

Solicitation #: 7550397

Solicitation Title: Community College of Rhode Island Warwick Campus – Way Finding Project.

One Thousand Five Hundred Dollars (\$1,500.00) per day.

BID FORM SIGNATURE(S)

This bid proposal is irrevocable for 60 days from the bid proposal submission deadline.

If the Bidder is determined to be the successful bidder pursuant to this solicitation, the bidder will promptly: (i) comply with each of the requirements of the Tentative Letter of Award; and (ii) commence and diligently pursue the work upon issuance and receipt of the purchase order from the State and authorization from the user agency.

The person signing below certifies that he or she has been duly authorized to execute and submit this bid proposal on behalf of the Bidder.

Date: 4-12-16

BIDDER

Design Communications, Ltd.

Name of Bidder

Signature in ink

Harvey Whiteway-Executive Vice President

Printed name and title of person signing on behalf of Bidder

#

Bidder's Contractor Registration Number



12 April 2016

Community College of Rhode Island Warwick Campus
400 East Avenue
Room 2328
Warwick, RI 02886

Dear Sirs,

This is our proposal for the **Community College of Rhode Island Warwick Campus – Way Finding Project #7550397**, per plans, specifications and bid documents dated 3/18/2016.

Our proposal conforms completely to the scope of work as defined in the documents. Pricing includes project management, design-engineering, samples, shop drawings, submittals, field verifications, packaging and shipping to the job site and non-union installation during normal work hours.

A single mobilization for installation is included. Pricing is based on receiving a complete message schedule, location plan and all artwork at the same time. We agree to the liquidated damages if: a purchase order is issued by April 18, complete messages, locations and artwork are received by April 20 and all shop drawings, layouts samples and submittals are approved by May 6. Installation must commence June 6, meaning all finishes must be complete to allow installation to start and continue, uninterrupted to ensure final completion by June 28.

A 30% deposit will be invoiced upon receipt of a PO and must be paid by April 29. A 40% progress invoice will be submitted May 31 payable prior to commencing installation. The final 30% is invoiced upon completion and acceptance, payable net 30.

Thank you for the opportunity to submit this proposal, and please call if you have questions. DCL is ready to meet at any time to move this project forward.

Sincerely,

A handwritten signature in black ink, appearing to read 'Mike Vickers', written in a cursive style.

Mike Vickers, *Project Executive*



CCRI- Knights Campus
pricing matrix

April 12, 2016

Type	Description	Qty	Unit Cost	Unit Install	Unit Ex	Install Ex	Total
A1	Stair ID: 15" x 19" x 0.25" thick acrylic panel w/ digitally printed graphic	53	\$ 55.	\$ 30.	\$ 2,915.	\$ 1,590.	\$ 4,505.
A2	Level ID: 24" 3M vinyl doublesided graphic wrapped around column	13	175.	125.	2,275.	1,625.	3,900.
B1	Overhead Directional- Large: 15" x 96" x 2.5" deep ptd. aluminum tube fabricated panel sign w/ doublesided direct print text	3	775.	375.	2,325.	1,125.	3,450.
B2	Overhead Directional- Small: 15" x 72" x 2.5" deep ptd. aluminum tube fabricated panel sign w/ doublesided direct print text	28	575.	325.	16,100.	9,100.	25,200.
B3	Overhead Directional- Soffit Mounted: 12" x 72" x 0.25" thick ptd. aluminum panel sign w/ direct print text	18	295.	150.	5,310.	2,700.	8,010.
B4	Wall Mounted Directional: 36" x 24" x 0.25" thick ptd. aluminum panel sign w/ 1" folded returns & direct print text	40	425.	125.	17,000.	5,000.	22,000.
B5	Wall Mounted Directional (Vertical): 27" x 24" x 0.25" thick ptd. aluminum panel sign w/ 1" folded returns & direct print text	1	400.	125.	400.	125.	525.
C1	Information Wall: 81" x 31" x 0.25" ptd. aluminum panel w/ direct print text & vhb applied 0.5" thick flat cut numerals; includes 2" thick acrylic numbers & 0.5" acrylic text (varying cap heights)	5	4,185.	650.	20,925.	3,250.	24,175.
C2	Floor Directory: 32" x 18" x 1" thick ptd. acrylic panel w/ digital print graphics & 0.0625" thick magnetic panel	38	325.	50.	12,350.	1,900.	14,250.
C3	Interactive Building Directory: 40" x 22" x approx. 4" deep ptd. fabricated aluminum monitor enclosure	1	490.	300.	490.	300.	790.
E1	Vehicle Direction Sign: 40" x 40" x 3.5" deep fabricated aluminum panel sign w/ powdercoated graphics mtd. to ptd. post; foundation as required	2	1,590.	650.	3,180.	1,300.	4,480.
E2	Pedestrian Direction Sign: 76" x 20" x 4" deep fabricated aluminum pylon sign w/ powdercoated graphics; foundation as required.	1	1,375.	550.	1,375.	550.	1,925.
SubTotal		203			\$ 84,645.	\$ 28,565.	\$ 113,210.
General Conditions: project coordination, VIF, engineering, shop drawings, graphic layouts, finish samples, crating & delivery							16,680.
Allowance: per specifications							40,000.
Performance and Payment Bond: includes allowance							3,825.
Total Project Cost							\$ 173,715.

BID BOND

Conforms with The American Institute of Architects, A.I.A. Document No. A-310

KNOW ALL BY THESE PRESENTS, That we, Design Communications, LTD

25 Drydock Ave, Boston, Massachusetts 02210

_____ as Principal, hereinafter called the Principal,

and the WESTCHESTER FIRE INSURANCE COMPANY

of 436 Walnut Street, Philadelphia, PA 19106

_____, a corporation duly organized under

the laws of the State of Pennsylvania, as Surety, hereinafter called the Surety, are held and firmly bound unto

State of Rhode Island (Dept. of Administration), One Capitol Hill, Providence, RI 02908

_____ as Obligee, hereinafter called the Obligee,

in the sum of FIVE PERCENT OF AMOUNT BID

Dollars (5% OF BID) , for the payment of which sum well and truly to be made, the said Principal and the said Surety, bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally, firmly by these presents.

WHEREAS, the Principal has submitted a bid for Community College of Rhode Island, Interior and Exterior Improvements 7550397

NOW, THEREFORE, if the Obligee shall accept the bid of the Principal and the Principal shall enter into a Contract with the Obligee in accordance with the terms of such bid, and give such bond or bonds as may be specified in the bidding or Contract Documents with good and sufficient surety for the faithful performance of such Contract and for the prompt payment of labor and material furnished in the prosecution thereof, or in the event of the failure of the Principal to enter such Contract and give such bond or bonds, if the Principal shall pay to the Obligee the difference not to exceed the penalty hereof between the amount specified in said bid and such larger amount for which the Obligee may in good faith contract with another party to perform the Work covered by said bid, then this obligation shall be null and void, otherwise to remain in full force and effect.

Signed and sealed this 13th day of April, 2016

Cynthia Mellon

Witness

Design Communications, LTD

(Seal)

Principal

Honey Whiteley - Executive Vice President Title

WESTCHESTER FIRE INSURANCE COMPANY

By

Oscar B. Johnson

Attorney-in-Fact

Witness

Power of Attorney

WESTCHESTER FIRE INSURANCE COMPANY

Know all men by these presents: That WESTCHESTER FIRE INSURANCE COMPANY, a corporation of the Commonwealth of Pennsylvania pursuant to the following Resolution, adopted by the Board of Directors of the said Company on December 11, 2006, to wit:

"RESOLVED, that the following authorizations relate to the execution, for and on behalf of the Company, of bonds, undertakings, recognizances, contracts and other written commitments of the Company entered into the ordinary course of business (each a "Written Commitment"):

- (1) Each of the Chairman, the President and the Vice Presidents of the Company is hereby authorized to execute any Written Commitment for and on behalf of the Company, under the seal of the Company or otherwise.
- (2) Each duly appointed attorney-in-fact of the Company is hereby authorized to execute any Written Commitment for and on behalf of the Company, under the seal of the Company or otherwise, to the extent that such action is authorized by the grant of powers provided for in such persons written appointment as such attorney-in-fact.
- (3) Each of the Chairman, the President and the Vice Presidents of the Company is hereby authorized, for and on behalf of the Company, to appoint in writing any person the attorney-in-fact of the Company with full power and authority to execute, for and on behalf of the Company, under the seal of the Company or otherwise, such Written Commitments of the Company as may be specified in such written appointment, which specification may be by general type or class of Written Commitments or by specification of one or more particular Written Commitments.
- (4) Each of the Chairman, the President and Vice Presidents of the Company is hereby authorized, for and on behalf of the Company, to delegate in writing any other officer of the Company the authority to execute, for and on behalf of the Company, under the Company's seal or otherwise, such Written Commitments of the Company as are specified in such written delegation, which specification may be by general type or class of Written Commitments or by specification of one or more particular Written Commitments.
- (5) The signature of any officer or other person executing any Written Commitment or appointment or delegation pursuant to this Resolution, and the seal of the Company, may be affixed by facsimile on such Written Commitment or written appointment or delegation.

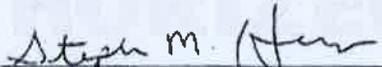
FURTHER RESOLVED, that the foregoing Resolution shall not be deemed to be an exclusive statement of the powers and authority of officers, employees and other persons to act for and on behalf of the Company, and such Resolution shall not limit or otherwise affect the exercise of any such power or authority otherwise validly granted or vested.

Does hereby nominate, constitute and appoint Lauren Bombara, Newton S Johnson, Oscar B Johnson, all of the City of WALTHAM, Massachusetts, each individually if there be more than one named, its true and lawful attorney-in-fact, to make, execute, seal and deliver on its behalf, and as its act and deed any and all bonds, undertakings, recognizances, contracts and other writings in the nature thereof in penalties not exceeding Fifteen million dollars & zero cents (\$15,000,000.00) and the execution of such writings in pursuance of these presents shall be as binding upon said Company, as fully and amply as if they had been duly executed and acknowledged by the regularly elected officers of the Company at its principal office,

IN WITNESS WHEREOF, the said Stephen M. Haney, Vice-President, has hereunto subscribed his name and affixed the Corporate seal of the said WESTCHESTER FIRE INSURANCE COMPANY this 8 day of June 2015.

WESTCHESTER FIRE INSURANCE COMPANY

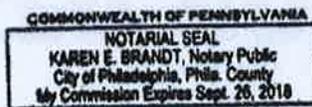



Stephen M. Haney, Vice President

COMMONWEALTH OF PENNSYLVANIA
COUNTY OF PHILADELPHIA ss.

On this 8 day of June, AD. 2015 before me, a Notary Public of the Commonwealth of Pennsylvania in and for the County of Philadelphia came Stephen M. Haney, Vice-President of the WESTCHESTER FIRE INSURANCE COMPANY to me personally known to be the individual and officer who executed the preceding instrument, and he acknowledged that he executed the same, and that the seal affixed to the preceding instrument is the corporate seal of said Company, that the said corporate seal and his signature were duly affixed by the authority and direction of the said corporation, and that Resolution, adopted by the Board of Directors of said Company, referred to in the preceding instrument, is now in force.

IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed my official seal at the City of Philadelphia the day and year first above written.

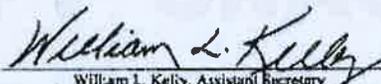



Notary Public

I, the undersigned Assistant Secretary of the WESTCHESTER FIRE INSURANCE COMPANY, do hereby certify that the original POWER OF ATTORNEY, of which the foregoing is a substantially true and correct copy, is in full force and effect.

In witness whereof, I have hereunto subscribed my name as Assistant Secretary, and affixed the corporate seal of the Corporation, this 13th day of APRIL, 2016




William L. Kelly, Assistant Secretary

THIS POWER OF ATTORNEY MAY NOT BE USED TO EXECUTE ANY BOND WITH AN INCEPTION DATE AFTER June 08, 2017.



Request for Taxpayer Identification Number and Certification

**Give Form to the
requester. Do not
send to the IRS.**

Print or type
See Specific Instructions on page 2.

Name (as shown on your income tax return)
Design Communications, Ltd.

Business name/disregarded entity name, if different from above

Check appropriate box for federal tax classification:
 Individual/sole proprietor C Corporation S Corporation Partnership Trust/estate
 Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=partnership) ▶ _____
 Other (see instructions) ▶ _____

Exemptions (see Instructions):
 Exempt payee code (if any) _____
 Exemption from FATCA reporting code (if any) _____

Address (number, street, and apt. or suite no.)
25 Drydock Avenue

City, state, and ZIP code
Boston, MA 02210

Requester's name and address (optional)

List account number(s) here (optional)

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on the "Name" line to avoid backup withholding. For individuals, this is your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the Part I Instructions on page 3. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN* on page 3.

Social security number

			-			-			
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Employer identification number

0	4	-	2	8	3	0	5	2	0
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Note. If the account is in more than one name, see the chart on page 4 for guidelines on whose number to enter.

Part II Certification

Under penalties of perjury, I certify that:

- The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me), and
- I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding, and
- I am a U.S. citizen or other U.S. person (defined below), and
- The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

Certification Instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions on page 3.

Sign Here Signature of U.S. person ▶ Date ▶ 2/18/16

General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Future developments. The IRS has created a page on IRS.gov for information about Form W-9, at www.irs.gov/w9. Information about any future developments affecting Form W-9 (such as legislation enacted after we release it) will be posted on that page.

Purpose of Form

A person who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) to report, for example, income paid to you, payments made to you in settlement of payment card and third party network transactions, real estate transactions, mortgage interest you paid, acquisition or abandonment of secured property, cancellation of debt, or contributions you made to an IRA.

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN to the person requesting it (the requester) and, when applicable, to:

- Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
- Certify that you are not subject to backup withholding, or
- Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the

withholding tax on foreign partners' share of effectively connected income, and

4. Certify that FATCA code(s) entered on this form (if any) indicating that you are exempt from the FATCA reporting, is correct.

Note. If you are a U.S. person and a requester gives you a form other than Form W-9 to request your TIN, you must use the requester's form if it is substantially similar to this Form W-9.

Definition of a U.S. person. For federal tax purposes, you are considered a U.S. person if you are:

- An individual who is a U.S. citizen or U.S. resident alien,
- A partnership, corporation, company, or association created or organized in the United States or under the laws of the United States,
- An estate (other than a foreign estate), or
- A domestic trust (as defined in Regulations section 301.7701-7).

Special rules for partnerships. Partnerships that conduct a trade or business in the United States are generally required to pay a withholding tax under section 1446 on any foreign partners' share of effectively connected taxable income from such business. Further, in certain cases where a Form W-9 has not been received, the rules under section 1446 require a partnership to presume that a partner is a foreign person, and pay the section 1446 withholding tax. Therefore, if you are a U.S. person that is a partner in a partnership conducting a trade or business in the United States, provide Form W-9 to the partnership to establish your U.S. status and avoid section 1446 withholding on your share of partnership income.