

State of Rhode Island and Providence Plantations  
Department of Administration  
Division of Purchases

**RIVIP BIDDER CERTIFICATION COVER FORM**

**SECTION 1 - BIDDER INFORMATION**

*Bidder must be registered as a vendor on the RIVIP system at [www.purchasing.ri.gov](http://www.purchasing.ri.gov) to submit a bid proposal.*

**Solicitation Number:** 7550354  
**Solicitation Title:** HVAC MAINTENANCE AND REPAIRS, DAVIES CAREER & TECHNICAL HIGH SCHOOL - RIDE (36 PGS)  
**Bid Proposal Submission Deadline Date & Time:** 5/3/2016 11:00 AM  
**RIVIP Vendor ID #:** 71923  
**Bidder Name:** Johnson Controls  
**Address:** 6 Blackstone Valley Place  
Suite 202  
Lincoln , RI 02865  
USA  
**Telephone:** 4012356726  
**Fax:**  
**Contact Name:** John Sullivan  
**Contact Title:** ServiceBranchManager  
**Contact Email:** john.c.sullivan@jci.com

**SECTION 2 - DISCLOSURES**

**Bidders must respond to every statement. Bid proposals submitted without a complete response may be deemed nonresponsive.**

*Indicate "Y" (Yes) or "N" (No) for Disclosures 1-4, and if "Yes," provide details below*

1. State whether the Bidder, or any officer, director, manager, stockholder, member, partner, or other owner or principal of the Bidder or any parent, subsidiary, or affiliate has been subject to suspension or debarment by any federal, state, or municipal governmental authority, or the subject of criminal prosecution, or convicted of a criminal offense within the previous 5 years. If "Yes," provide details below.
2. State whether the Bidder, or any officer, director, manager, stockholder, member, partner, or other owner or principal of the Bidder or any parent, subsidiary, or affiliate has had any contracts with a federal, state, or municipal governmental authority terminated for any reason within the previous 5 years. If "Yes," provide details below.
3. State whether the Bidder, or any officer, director, manager, stockholder, member, partner, or other owner or principal of the Bidder or any parent, subsidiary, or affiliate has been fined more than \$5000 for violation(s) of any Rhode Island environmental law(s) by the Rhode Island Department of Environmental Management within the previous 5 years. If "Yes," provide details below.
4. State whether any officer, director, manager, stockholder, member, partner, or other owner or principal of the Bidder is serving or has served within the past two calendar years as either an appointed or elected official of any state governmental authority or quasi-public

corporation, including without limitation, any entity created as a legislative body or public or state agency by the general assembly or constitution of this state.

Disclosure details (continue on additional sheet if necessary):

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### SECTION 3 - OWNERSHIP DISCLOSURE

**Bidders must provide all relevant information. Bid proposals submitted without a complete response may be deemed nonresponsive.**

If the Bidder is publicly held, the Bidder may provide owner information about only those stockholders, members, partners, or other owners that hold at least 10% of the record or beneficial equity interests of the Bidder; otherwise, complete ownership disclosure is required.

List each officer, director, manager, stockholder, member, partner, or other owner or principle of the Bidder, and each intermediate parent company and the ultimate parent company of the Bidder. For each individual, provide his or her name, business address, principal occupation, position with the Bidder, and the percentage of ownership, if any, he or she holds in the Bidder, and each intermediate parent company and the ultimate parent company of the bidder.

*There are no stockholders or other owners with more than 10% equity interest*

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### SECTION 4 - CERTIFICATIONS

**Bidders must respond to every statement. Bid proposals submitted without a complete response may be deemed nonresponsive.**

Indicate "Y" (Yes) or "N" (No), and if "No," provide details below.

**THE BIDDER CERTIFIES THAT:**

- 1. The Bidder will immediately disclose, in writing, to the State Purchasing Agent any potential conflict of interest which may occur during the term of any contract awarded pursuant to this solicitation.
- 2. The Bidder possesses all licenses and anyone who will perform any work will possess all licenses required by applicable federal, state, and local law necessary to perform the requirements of any contract awarded pursuant to this solicitation and will maintain all required licenses during the term of any contract awarded pursuant to this solicitation. In the event that any required license shall lapse or be restricted or suspended, the Bidder shall immediately notify the State Purchasing Agent in writing.
- 3. The Bidder will maintain all required insurance during the term of any contract pursuant to this solicitation. In the event that any required insurance shall lapse or be canceled, the Bidder will immediately notify the State Purchasing Agent in writing.
- 4. The Bidder understands that falsification of any information in this bid proposal or failure to notify the State Purchasing Agent of any changes in any disclosures or certifications in this Bidder Certification may be grounds for suspension, debarment, and/or prosecution for fraud.
- 5. The Bidder has not paid and will not pay any bonus, commission, fee, gratuity, or other remuneration to any employee or official of the State of Rhode Island or any subdivision of the State of Rhode Island or other governmental authority for the purpose of obtaining an award of a contract pursuant to this solicitation. The Bidder further certifies that no bonus, commission, fee, gratuity, or other

remuneration has been or will be received from any third party or paid to any third party contingent on the award of a contract pursuant to this solicitation.

6. This bid proposal is not a collusive bid proposal. Neither the Bidder, nor any of its owners, stockholders, members, partners, principals, directors, managers, officers, employees, or agents has in any way colluded, conspired, or agreed, directly or indirectly, with any other bidder or person to submit a collusive bid proposal in response to the solicitation or to refrain from submitting a bid proposal in response to the solicitation, or has in any manner, directly or indirectly, sought by agreement or collusion or other communication with any other bidder or person to fix the price or prices in the bid proposal or the bid proposal of any other bidder, or to fix any overhead, profit, or cost component of the bid price in the bid proposal or the bid proposal of any other bidder, or to secure through any collusion, conspiracy, or unlawful agreement any advantage against the State of Rhode Island or any person with an interest in the contract awarded pursuant to this solicitation. The bid price in the bid proposal is fair and proper and is not tainted by any collusion, conspiracy, or unlawful agreement on the part of the Bidder, its owners, stockholders, members, partners, principals, directors, managers, officers, employees, or agents.

7. The Bidder: (i) is not identified on the General Treasurer's list created pursuant to R.I. Gen. Laws § 37-2.5-3 as a person or entity engaging in investment activities in Iran described in § 37-2.5-2(b); and (ii) is not engaging in any such investment activities in Iran.

8. The Bidder will comply with all of the laws that are incorporated into and/or applicable to any contract with the State of Rhode Island.

Certification details (continue on additional sheet if necessary):

Multiple horizontal lines for providing certification details.

Submission by the Bidder of a bid proposal pursuant to this solicitation constitutes an offer to contract with the State of Rhode Island through the Division of Purchases on the terms and conditions contained in this solicitation and the bid proposal. The Bidder certifies that: (1) the Bidder has reviewed this solicitation and agrees to comply with its terms and conditions; (2) the bid proposal is based on this solicitation; and (3) the information submitted in the bid proposal (including this Bidder Certification Cover Form) is accurate and complete. The Bidder acknowledges that the terms and conditions of this solicitation and the bid proposal will be incorporated into any contract awarded to the Bidder pursuant to this solicitation and the bid proposal. The person signing below represents, under penalty of perjury, that he or she is fully informed regarding the preparation and contents of this bid proposal and has been duly authorized to execute and submit this bid proposal on behalf of the Bidder.

BIDDER

Date: 5/2/2016

JOHNSON CONTROLS

Name of Bidder

Robert J. Steele

Signature in ink

ROBERT J. STEELE

Printed name and title of person signing on behalf of Bidder

BRANN GENERAL MANAGER

Johnson Controls, Inc.  
6 Blackstone Valley Place  
Suite 202  
Lincoln, RI 02865  
Office 401 235 6726



RI Dept. of Administration  
Division of Purchases  
One Capitol Hill  
Providence, RI 02908-5855  
Re: HVAC Maintenance and Repairs  
Davies Career & Technical High  
School  
Solicitation # 7550354

April 1, 2016

To whom it may concern,

This is to inform you that Johnson Controls certifies that its employees listed below are factory trained and authorized to install, maintain, and repair Johnson Controls products including M3, M5, N30, DX9100, PMI, Metasys Extended Architecture, Application Data Servers, ADX and all associated field equipment.

The technicians name are: Thomas Davies, Fred Britland, and Vernon Shores and Tyler Rivers (Trainee).

As the developer and manufacturer of York, Johnson Controls, and Metasys products and software, with a local presence in Lincoln RI, JCI is uniquely qualified to provide the high level services necessary to keep a complex system such as yours operating at peak efficiency. We have a large staff of factory trained technicians and we provide 24 hour service. We provide a full range of services including project management, system design, installation, and service to more than 100 facilities in the region.

Should you have any questions please feel free to call me.

John C. Sullivan  
Branch Service Manager  
Johnson Controls Inc.  
6 Blackstone Valley Place  
Suite 202  
Lincoln RI 02865  
Desk: 401-235-6726



## INVITATION TO BID

**SOLICITATION TITLE:** HVAC Maintenance and Repairs, Davies Career & Technical High School  
**SOLICITATION NUMBER:** 7550354  
**BID PROPOSAL SUBMISSION DEADLINE:** April 1, 2016 at 11:00 AM

### PREBID CONFERENCE

NONMANDATORY

MANDATORY → Bidder must attend the mandatory prebid conference. The bidder's representative must register with the Division of Purchases at the mandatory prebid conference and identify the bidder he or she represents.

Location: THERE IS NO PRE-BID CONFERENCE FOR THIS BID.  
Date:  
Time: Choose an item.

**QUESTIONS** about this solicitation must be emailed and received by the Division of Purchases at [DOA.PURCONSTRUCTION@PURCHASING.RI.GOV](mailto:DOA.PURCONSTRUCTION@PURCHASING.RI.GOV) no later than Monday, March 21, 2016, 5:00 PM, in a Microsoft Word attachment with the corresponding solicitation number. Questions, if any, and responses will be posted on the Division of Purchases website at [www.purchasing.ri.gov](http://www.purchasing.ri.gov) as an addendum to this solicitation

**BID BOND REQUIRED:**  NO  
 YES

**PAYMENT AND PERFORMANCE BOND REQUIRED:**  NO  
 YES

**SPECIFICATIONS AND PLANS:**  NO  
 YES → See Electronic Solicitation Bidding Information.  
Click on the online active "D" link in the "info" column.

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## INVITATION TO BID

**SOLICITATION TITLE:** HVAC Maintenance and Repairs, Davies Career & Technical High School  
**SOLICITATION NUMBER:** 7550354  
**BID PROPOSAL SUBMISSION DEADLINE:** April 1, 2016 at 11:00 AM

**RIVIP REGISTRATION:** Bidders must be registered vendors through the online Division of Purchases Rhode Island Vendor Information Program at [www.purchasing.ri.gov](http://www.purchasing.ri.gov). To register or update information, click on "Vendor Center," then "Vendor Information" from the dropdown menu on the left.

**BIDDER CERTIFICATION COVER FORM:** Bidders must download (obtainable at [www.purchasing.ri.gov](http://www.purchasing.ri.gov)), complete, and submit a Bidder Certification Cover Form with each bid proposal.

The State of Rhode Island through its, Department of Administration, Division of Purchases, is soliciting bid proposals to perform the work described in the plans and specifications dated ATTACHED For the Project in accordance with this solicitation.

Bidders are invited to submit bid proposals to the Division of Purchases by the bid proposal submission deadline.

This solicitation contains, and is subject to the terms and conditions of, the Invitation to Bid, Instructions to Bidders, Bid Preparation Checklist (with applicable forms), Agreement, General Conditions, any Supplemental Conditions, Specifications and Plans, Bidder Certification Cover Form, and Bid Form. The solicitation is available at [www.purchasing.ri.gov](http://www.purchasing.ri.gov).

The award of the contract pursuant to this solicitation will be made to the responsive and responsible bidder with the lowest bid price. *The Division of Purchases reserves the right to waive any technicalities in the bid proposals, accept or reject any bid proposal, award a contract in the best interest of the State, or revoke any solicitation.*

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## INVITATION TO BID

### Electronic Solicitation Bidding Information

#### **Downloading and Accessing Additional Electronic Solicitation Files**

Accessing electronic files on the purchasing website will require Adobe viewer. All bid solicitations that include a "D" in the "Info" column will require WinZip 8.1 software. The WinZip file may contain one or more files. These files may require additional software such as Microsoft Office.

Specifications that have a file for download are marked with a "D" in the "Info" field of the bid search results page located on the Purchasing website. The "D" will indicate an active link to the WinZip file until the bid reaches its opening date. Clicking on the active "D" link will allow you to open or save the WinZip file associated with the bid. Opening the WinZip file will offer you the option of saving to your local computer.

Once saved, you can open the WinZip file and view the files. The individual files can be saved to your computer in a location such as "Desktop" or "My Documents".

**Buyer Name: John F. O'Hara II, Title: Chief Buyer**



State of Rhode Island Department of Administration  
Division of Purchases

REVISED  
November 20, 2013

**NOTICE TO VENDORS**

Each bid proposal for a *public works project* must include a "public copy" to be available for public inspection upon the opening of bids. **Bid proposals that do not include a copy for public inspection will be deemed nonresponsive.**

The public copy must be submitted in .pdf (portable document file) format on a *read-only* CD-R media disc. The disc must include *all of the documents* submitted in response to the solicitation concatenated or merged into one file. The file must be named in the following manner:

BidNumber\_DateofBid\_VendorName\_VendorID.pdf

The Bidder Certification Cover Form contains all of the information for the file name. The date of bid must appear as mm-dd-yyyy. The vendor name must appear as one word, with no spaces or punctuation. Underscores must separate the fields.

*Example:* 7543210\_11-08-2013\_OceanStateCompanyInc\_9867.pdf

The public copy disc must be separately enclosed in a protective cover clearly marked "Public Copy" and include the following information (all available from the Bidder Certification Cover Form): (1) title of solicitation; (2) name of bidder and RIVIP vendor ID number; (3) bid number; and (4) date of bid.

The public copy may redact any trade secrets or commercial or financial information which is of a privileged or confidential nature pursuant to the "Access to Public Records Act," R. I. Gen. Laws §§ 38-2-1 *et seq.*

For further information on how to comply with this statutory requirement, see R. I. Gen. Laws §§ 37-2-18(b) and (j). Also see Procurement Regulation 5.11 accessible at [www.purchasing.ri.gov](http://www.purchasing.ri.gov)



# Request for Quote

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS  
 ONE CAPITOL HILL  
 PROVIDENCE RI 02908

CREATION DATE : 01-MAR-16  
 BID NUMBER: 7550354  
 TITLE: HVAC Maintenance & Repairs, Davies Career & Tech HS - RIDE  
 BLANKET START : 01-JUL-16  
 BLANKET END : 30-JUN-19  
 BID CLOSING DATE AND TIME: 01-APR-2016 11:00:00

BUYER: Ohara 2nd, John F  
 PHONE #: 401-574-8125

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 DOA CONTROLLER  
 ONE CAPITOL HILL, 4TH FLOOR  
 SMITH ST  
 PROVIDENCE, RI 02908  
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 RIDE WILLIAM M DAVIES CAREER & TECH HS  
 50 JENCKES HILL RD  
 LINCOLN, RI 02865  
 US

Requisition Number: 1446576

Line	Description	Quantity	Unit	Unit Price	Total
	Blanket Requirement: July 1, 2016 - June 30, 2019 with a two (2) year option to renew at the State's sole discretion.  THE WILLIAM M. DAVIES, JR. CAREER & TECHNICAL HIGH SCHOOL IS HEREBY SOLICITING BID PROPOSALS FOR A FULL-SERVICE MAINTENANCE AGREEMENT FOR ALL HEATING, VENTILATING AND AIR-CONDITIONING SYSTEMS.  Written proof of manufacturer certification/authorization shall be submitted with the bid.  Questions concerning this solicitation must be received by the Division of Purchases at: <a href="mailto:doa.purconstruction@purchasing.ri.gov">doa.purconstruction@purchasing.ri.gov</a> no later than March 21, 2016 at 5:00 PM (ET). Questions should be submitted in a Microsoft Word attachment. Please reference the RFQ# on all correspondence. Questions received, if any, will be posted on the internet as an addendum to this solicitation. It is the responsibility of all interested parties to download this information.				
1	APA-2351 TOTAL RATE PER MONTH FOR ALL ITEMS A-K IN THE SPECIFICATIONS 7/1/16-6/30/17	12.00	Each	3,669	44,028
2	APA-2351 TOTAL RATE PER MONTH FOR ALL ITEMS A-K IN THE SPECIFICATIONS 7/1/17-6/30/18	12.00	Month	3,669	44,028
3	APA-2351 TOTAL RATE PER MONTH FOR ALL ITEMS A-K IN THE SPECIFICATIONS 7/1/18-6/30/19	12.00	Month	3,779	45,348
4	APA-2351 TOTAL RATE OPTION PRICING FOR ADDITIONAL YEAR PER MONTH FOR ALL ITEMS A-K IN THE SPECIFICATIONS 7/1/19-6/30/20	12.00	Month	3892	46,704
5	APA-2351 TOTAL RATE OPTION PRICING FOR ADDITIONAL YEAR PER MONTH FOR ALL ITEMS A-K IN THE SPECIFICATIONS 7/1/20-6/30/21	12.00	Month	3892	46,704
6	APA-2351 TOTAL RATE FOR PER MONTH FOR ALL ITEMS L IN THE SPECIFICATIONS 7/1/16-6/30/17	12.00	Month	2,332	27,984

It is the Vendor's responsibility to check and download any and all addenda from the RIVIP. This offer may not be considered unless a signed RIVIP generated Bidder Certification Cover Form is attached and the Unit Price column is completed. The signed Certification Cover Form must be attached to the front of the offer



# Request for Quote

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS  
 ONE CAPITOL HILL  
 PROVIDENCE RI 02908

CREATION DATE : 01-MAR-16  
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BLANKET START : 01-JUL-16  
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 BID CLOSING DATE AND TIME: 01-APR-2016 11:00:00

BUYER: Ohara 2nd, John F  
 PHONE #: 401-574-8125

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 DOA CONTROLLER  
 ONE CAPITOL HILL, 4TH FLOOR  
 SMITH ST  
 PROVIDENCE, RI 02908  
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 RIDE WILLIAM M DAVIES CAREER & TECH HS  
 50 JENCKES HILL RD  
 LINCOLN, RI 02865  
 US

Requisition Number: 1446576

Line	Description	Quantity	Unit	Unit Price	Total
7	APA-2351 TOTAL RATE FOR PER MONTH FOR ALL ITEMS L IN THE SPECIFICATIONS 7/1/17-6/30/18	12.00	Month	2332	27,984
8	APA-2351 TOTAL RATE FOR PER MONTH FOR ALL ITEMS L IN THE SPECIFICATIONS 7/1/18-6/30/19	12.00	Month	2402	28,824
9	APA-2351 TOTAL RATE FOR PER MONTH FOR OPTION PRICING FOR ALL ITEMS L IN THE SPECIFICATIONS 7/1/19-6/30/20	12.00	Month	2474	29,688
10	APA-2351 TOTAL RATE FOR PER MONTH FOR OPTION PRICING FOR ALL ITEMS L IN THE SPECIFICATIONS 7/1/20-6/30/21	12.00	Month	2474	29,688
11	APA-2351 RATE FOR AUTHORIZED WORK NOT COVERED IN THE FULL MAINTENANCE CONTRACT 7/1/16-6/30/17 RATE/HOUR ON SITE	1.00	Hour	119	119
12	APA-2351 RATE FOR AUTHORIZED WORK NOT COVERED IN THE FULL MAINTENANCE CONTRACT 7/1/17-6/30/18 RATE/HOUR ON SITE	1.00	Hour	120	120
13	APA-2351 RATE FOR AUTHORIZED WORK NOT COVERED IN THE FULL MAINTENANCE CONTRACT 7/1/18-6/30/19 RATE/HOUR ON SITE	1.00	Hour	121	121
14	APA-2351 RATE FOR AUTHORIZED WORK NOT COVERED IN THE FULL MAINTENANCE CONTRACT OPTION PRICING FOR 7/1/19-6/30/20 RATE/HOUR ON SITE	1.00	Hour	122	122
15	APA-2351 RATE FOR AUTHORIZED WORK NOT COVERED IN THE FULL MAINTENANCE CONTRACT OPTION PRICING FOR 7/1/20-6/30/21 RATE/HOUR ON SITE	1.00	Hour	123	123
16	APA-2351 OVERTIME RATE FOR AUTHORIZED WORK NOT COVERED IN THE FULL MAINTENANCE CONTRACT 7/1/16-6/30/17 RATE/HOUR ON SITE	1.00	Hour	145	145
17	APA-2351 OVERTIME RATE FOR AUTHORIZED WORK NOT COVERED IN THE FULL MAINTENANCE CONTRACT 7/1/17-6/30/18 RATE/HOUR ON SITE	1.00	Hour	148	148
18	APA-2351 OVERTIME RATE FOR AUTHORIZED WORK NOT COVERED IN THE FULL MAINTENANCE CONTRACT 7/1/18-6/30/19 RATE/HOUR ON SITE	1.00	Hour	150	150
19	APA-2351 OVERTIME RATE FOR AUTHORIZED WORK NOT COVERED IN THE FULL MAINTENANCE CONTRACT OPTION PRICING FOR 7/1/19-6/30/20 RATE/HOUR ON SITE	1.00	Hour	152	152

It is the Vendor's responsibility to check and download any and all addenda from the RIVIP. This offer may not be considered unless a signed RIVIP generated Bidder Certification Cover Form is attached and the Unit Price column is completed. The signed Certification Cover Form must be attached to the front of the offer



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 RIDE WILLIAM M DAVIES CAREER & TECH HS  
 50 JENCKES HILL RD  
 LINCOLN, RI 02865  
 US

Requisition Number: 1446576

Line	Description	Quantity	Unit	Unit Price	Total
20	APA-2351 OVERTIME RATE FOR AUTHORIZED WORK NOT COVERED IN THE FULL MAINTENANCE CONTRACT OPTION PRICING FOR 7/1/20-6/30/21 RATE/HOUR ON SITE	1.00	Hour	152	152
21	APA-2351 MONTHLY COST FOR SERVICES IN PART II ITEM A 7/1/16-6/30/17	12.00	Month	1484	17,808
22	APA-2351 MONTHLY COST FOR SERVICES IN PART II ITEM A 7/1/17-6/30/18	12.00	Month	1484	17,808
23	APA-2351 MONTHLY COST FOR SERVICES IN PART II ITEM A 7/1/18-6/30/19	12.00	Month	1484	17,808
24	APA-2351 MONTHLY COST FOR SERVICES IN PART II ITEM A OPTION PRICING FOR 7/1/19-6/30/20	12.00	Month	1573	18,876
25	APA-2351 MONTHLY COST FOR SERVICES IN PART II ITEM A OPTION PRICING FOR 7/1/20-6/30/21	12.00	Month	1573	18,876

Delivery: Monthly  
 Terms of Payment: NET 30

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**William M. Davies, Jr. Career & Technical High School**  
**Bid Procurement - HVAC Preventative Maintenance**  
**July 1, 2016 through June 30, 2019**  
**(With option to renew for two additional years)**

**PART I.**

The William M. Davies Jr. Career & Technical High School is soliciting bids for a **FULL SERVICE MAINTENANCE CONTRACT ON ALL AIR CONDITIONING, HEATING, VENTILATING, AND EXHAUST FAN UNITS** as outlined below:

- A. **THE FULL SERVICE MAINTENANCE CONTRACT SHALL EXCEED ALL EXISTING WARRANTIES. THE VENDOR IS TO SUPPLY ALL LABOR, PARTS AND TOOLS TO ACCOMPLISH ALL SERVICING AND MAINTENANCE ON ALL LISTED UNITS.**
  
- B. **THE BIDDING CONTRACTOR SHALL BE REGULARLY ENGAGED IN THE MANUFACTURE, INSTALLATION, REPAIR AND MAINTENANCE OF PNEUMATIC, ELECTRONIC AND DIGITAL CONTROL SYSTEMS AS MANUFACTURED BY HONEYWELL, TRANE, AND JOHNSON CONTROLS**
  
- C. **BIDDING CONTRACTORS SHALL RETAIN IN DIRECT EMPLOY TECHNICIANS /MECHANICS AUTHORIZED AND CERTIFIED BY A MINIMUM OF ONE OF THE THREE LISTED MANUFACTURERS TO INSURE THE OWNER SHALL GET PROMPT RESPONSIVE SERVICE TO ANY SERVICE CALL. WRITTEN PROOF OF MANUFACTURER CERTIFICATIONS/AUTHORIZATION SHALL BE SUBMITTED WITH THE BID.**
  
- D. **THE BIDDING CONTRACTOR SHALL RETAIN A 24 HOUR 7 DAY EMERGENCY SERVICE OPERATIONS CENTER, MANNED BY PERSONNEL IN THE DIRECT EMPLOY OF THE CONTRACTOR, TO INSURE PROMPT RESPONSE TO EMERGENCY REQUESTS. TELEPHONE ANSWERING MACHINES AND SUB-CONTRACTED SERVICES DO NOT QUALIFY.**
  
- E. **THE CONTRACTOR MUST BE LICENSED IN THE STATE OF RHODE ISLAND TO PERFORM PNEUMATIC, ELECTRICAL, STEAMFITTING, HVAC AND REFRIGERATION WORK. COPIES OF LICENSES SHALL BE SUBMITTED WITH THE BID.**
  
- F. **MAINTENANCE AGREEMENT SHALL CONSIST OF TWO (2) VISITS PER YEAR (1 PRE-SEASON COOLING AND 1 PRE-SEASON HEATING). ALL FAN BELTS SHALL BE CHANGED ONCE PER YEAR ON A SCHEDULED ANNUAL BASIS. ALL FILTERS ARE TO BE CHANGED THREE (3) TIMES PER YEAR, DURING AUGUST, DECEMBER, AND APRIL. \*\*\*NOTE FILTERS**

**ARE TO BE AT LEAST MERV-8 OR GREATER\*\*\* \*\*\*ROOF TOP UNITS  
MERV 10.**

**G. INCLUDED IN MONTHLY MAINTENANCE PRICE SHALL BE THE MATERIAL AND LABOR FOR THE REPLACEMENT OF UP TO (10) FAILING CIRCUIT SETTERS AND/OR DIELECTRIC UNIONS PER YEAR.**

**H. RATES FOR ITEMS A-G ABOVE ARE TO BE INCLUDED IN BIDDERS MONTHLY PRICING.**

**I. CONTRACTOR IS TO RESPOND TO TELEPHONE NOTIFICATION FROM THE FACILITES MANAGER, MAINTENANCE TECHNICIAN OR DIRECTOR/PRINCIPAL WITHIN FOUR (4) HOURS FOR AN EMERGENCY AND WITHIN TWENTY-FOUR (24) HOURS FOR NORMAL SERVICE.**

**J. EQUIPMENT SCHEDULE FOR THIS CONTRACT:**

**1. MODULAR BUILDING:**

- 9 TRANE 3T S/SHEAT PUMPS**
- 1 CARRIER 3T S/S HEAT PUMP**
- 2 ELECTRIC HALLWAY HEATERS**
- 3 EXHAUST FANS**

**2. OLD BUILDING:**

- 14 MCQUAY UNIT VENTILATORS (OFFICES-GUIDANCE & SCHOOL- TO-CAREER)**
- 12 AAF COIL ELECTRIC HEAT (CORRIDORS)**
- 25 EXHAUST FANS**
- 1 TRANE RTU 10 TON UNIT (OVER THE STUDENT MANAGEMENT AREA) ELECTRIC HEATING & COOLING**
- 1 HV-2 STORAGE ROOM NEAR GYM**
- 1 TRANE RTU 7 TON UNIT ELECTRIC HEAT (LECTURE HALL)**
- 3 COMFORT AIRE/MSI 3T S/S (VARIOUS LOCATIONS)**
- 4 MSI HORIZONTAL HEATERS (MACHINE SHOP)**
- 14 MSI INCREMENTAL HEAT PUMPS (CLASSROOMS)**
- 11 NESBITT AIRE MODULAR UNIT VENTILATORS HEAT PUMPS (CLASSROOMS)**
- 3 UV CEILING UNITS - SERIES 5000**
- 9 UV CEILING UNITS - SERIES 6000**
- 4 UV END PANESL**
- 1 ROOFPK DRAW-THRU AIR HANDLER**
- 1 SINGLE ZONE DRAW - AIR HANDLER**
- 6 INTAKE LOUVERS WITH VERTICLE BLADES**
- 2 AIR-COOLED CONDENSING UNITS**
- 12 S/S CONDENSING UNITS**
- 3 MCQUAY CABINET UNIT HEATERS**
- 1 ROOFTOP A/C**
- 1 ECONOMIZER**
- 15 EXHAUST FANS**

**K. TOTAL MAINTENANCE COST PER MONTH FOR ALL UNITS ABOVE:**

**RATE/MONTH 07/01/16 – 06/30/17**

**SEE BID FORM FOR ENTERING YOUR UNIT PRICING.**

**Option pricing for two additional years:**

**RATE/MONTH 07/01/19 – 06/30/21**

**SEE BID FORM FOR ENTERING YOUR UNIT PRICING.**

**L. NEW BUILDING EQUIPMENT SCHEDULE FOR MAINTENANCE:  
(THE NEW BUILDING IS A HOT WATER – GLYCOL PROTECTED SYSTEM).**

**10 AIR HANDLING UNITS ON ROOF MCQUAY CONSISTING OF:  
(HV-1,HV-2,HV-3,HV-4,HV-5,HV-6, HVAC -7, HVAC-8, HVAC-9, HVAC-10,  
1 HASTING HEATING UNIT OVER KITCHENS,  
1 TITAN MAKEUP AIR UNIT ( TITAN AIR INC.)  
1 FREDRICH SMALL AIR CONDITIONER ROOF OF CAFETEIRIA  
1 HVAC-1 BASMENT NEAR CULINARY STORAGE  
5 CONDENSING UNITS W/MULTPLE FANS  
23 UNIT VENTILATORS (CLASSROOMS) MCQUAY  
10 EXHAUST FANS  
2 100% OUTDOOR MAKE-UP AIR UNITS  
1 S/S IN AUTOBODY THEROY ROOM  
1 DEHUMIDIFIER IN BASEMENT  
1 MCQUAY CHILLER UNIT (EXTERIOR OF BUILDING)  
2 MULTI-CITY MITUBISHI ELECTIRC WALL HUNG HEATING AND  
COOLING UNITS – (ANNEX BUILDING)**

**M. TOTAL COST PER MONTH INCLUDING ALL OF THE ABOVE UNITS:**

**RATE/MONTH 07/01/16 – 06/30/19**

**SEE BID FORM FOR ENTERING YOUR UNIT PRICING.**

**Option pricing for two additional years:**

**RATE/MONTH 07/01/19 – 06/30/21**

**SEE BID FORM FOR ENTERING YOUR UNIT PRICING.**

**N. NOT INCLUDED IN CONTRACT WILL BE EXISTING POWER, WIRING**

**DISCONNECTS AT ALL UNITS, CABINETS, HYDRONIC PIPING, OR  
STRUCTURAL SUPPORTS.**

- O. RATE FOR AUTHORIZED WORK NOT COVERED UN THE FULL  
SERVICE MAINTENANCE CONTRACT:**

**RATE/HOUR ONSITE  
07/01/16 – 06/30/19**

**SEE BID FORM FOR ENTERING YOUR UNIT PRICING.**

**Option pricing for two additional years:**

**RATE/HOUR ONSITE  
07/01/19 – 06/30/21**

**SEE BID FORM FOR ENTERING YOUR UNIT PRICING.**

- P. OVERTIME RATE PER HOUR ONSITE**

**07/01/16 – 06/30/19**

**SEE BID FORM FOR ENTERING YOUR UNIT PRICING.**

**Option pricing for two additional years:**

**07/01/19 - 06/30/21**

**SEE BID FORM FOR ENTERING YOUR UNIT PRICING.**

- Q. HOURS OF OVERTIME APPLIES TO:**

**4:30PM TO 8:00AM**

## **PART II:**

- A. BID IS ALSO FOR A THREE-YEAR (WITH OPTION TO RENEW FOR  
TWO ADDITIONAL YEARS) PLANNED FULL PREMIUM  
SERVICES AGREEMENT ON THE JOHNSON CONTROLS**

**“METASYS SYSTEM” AND ALL ASSOCIATED SERVICE DEVICES OF AUTOMATIC TEMPERATURE CONTROLS AND FACILITY MANAGEMENT SYSTEMS, AS INSTALLED IN THE NEW BUILDING OF THE DAVIES FACILITY, AND TEMPERATURE CONTROLS OF NEW McQUAY HEATING UNITS INSTALLED IN THE GUIDANCE, SCHOOL-TO-CAREER OFFICES IN THE OLD BUILDING, AND LECTURE HALL AND STUDENT MANAGEMENT AREA.**

**B. MONTHLY COST FOR SERVICES IN ITEM A ABOVE:**

**RATE/MONTH 07/01/16 – 06/30/19**

**SEE BID FORM FOR ENTERING YOUR UNIT PRICING.**

**Option pricing for two additional years:**

**RATE/MONTH 07/01/19 - 06/30/21**

**SEE BID FORM FOR ENTERING YOUR UNIT PRICING.**

**C. THE FOLLOWING FILTERS AND BELTS LISTING IS PROVIDED FOR ADDITIONAL INFORMATIONAL PURPOSES.**

**LIST OF FILTER & BELTS**

**\*\*\*\*FILTERS TO BE CHANGED THREE (3) TIME PER YEAR\*\*\*\***

**NOTE: ALL FILTERS ARE TO BE MERV-8 OR GREATER AND MERV-10 ON ROOF TOP UNITS WHERE APPLICABLE: (VENDOR TO VERIFY)**

- (16) 6" x 6" STEEL WASHABLE FILTERS
- (50) 25" x 10" x 1/4" WASHABLE FILTERS
- (15) 33" x 8" x 1" ROLL CUT FIBERGLASS
- (7) 64" x 8" x 1" (3 CHANGES /YR)
- (4) 32" x 8" x 1" (3 CHANGES/YR)
- (2) 45" x 8" x 1" (3 CHANGES/YR)
- (17) 12" x 42" x 1" (3 CHANGES/YR)
- (7) 23 1/2" x 23 1/2" x 1/2" (3 CHANGES/YR)
- (7) 23 1/2" x 23 1/2" x 11 1/2" (3 CHANGES/YR)
- (18) 10" x 60" x 1"
- 10" x 22" x 1"
- 10" x 48" x 1"
- 10" x 36" x 1"
- 16" x 25" x 2" (210 /YR)
- 16" x 20" x 2" (180/YR)
- 25" x 20" x 2" (78/YR)
- 20" x 20" x 2" (36/YR)

- 24" x 20" x 1" (3/YR)

**BELTS FOR MOTORS: (VENDOR TO VERIFY)**

- (3) 4L350
- (2) 4L410
- (2) A55
- (4) 4L330
- (5) 3L310
- (2) 4L320
- (1) A63
- (1) 4L470
- (1) A60



STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS

Department of Administration  
DIVISION OF PURCHASES  
One Capitol Hill  
Providence, RI 02908-5855

Tel: (401) 574-8100  
Fax: (401) 574-8387  
Website: [www.purchasing.ri.gov](http://www.purchasing.ri.gov)

**DIVISION OF PURCHASES  
INSTRUCTIONS TO BIDDERS  
PUBLIC WORKS SERVICES (PWS)**

**Compliance with Instructions to Bidders**

These Instructions to Bidders contain terms and conditions that will govern the preparation and submission of a bid proposal and any contract awarded pursuant to this solicitation.

Bidders must comply with each and every requirement of these Instructions to Bidders. Any failure to comply with any requirement may result in the determination of a nonresponsive bid proposal and/or the rejection of the bid proposal.

**Priority of Terms and Conditions**

The terms and conditions in these Instructions to Bidders *supersede* any and all inconsistent or conflicting terms and conditions in any other provision of any other document in this solicitation or in the bid proposal and govern this solicitation, the bid proposal, and any contract awarded pursuant to this solicitation.

**Offer to Contract**

Bid proposals constitute an offer to contract with the State of Rhode Island through the Department of Administration Division of Purchases on the terms and conditions contained in the solicitation, the laws of the State of Rhode Island, including all procurement statutes and regulations (available at [www.purchasing.ri.gov](http://www.purchasing.ri.gov)), and applicable federal and local law, all of which are incorporated into this solicitation and any contract awarded pursuant to this solicitation by this reference.

### **Addenda**

Responses to questions from bidders, interpretations of plans and specifications, changes prior to the bid proposal submission deadline, approvals of any substitutions, and supplemental instructions and terms will be posted as addenda on the Division of Purchases website at [www.purchasing.ri.gov](http://www.purchasing.ri.gov), and all addenda become incorporated into this solicitation upon posting. Bidders are responsible for checking the website to determine the issuance of any addenda. No addenda will be posted within the 5-day period preceding the bid proposal submission deadline except for an addendum withdrawing the solicitation or extending the bid proposal submission deadline.

### **Inspection**

The bidder is responsible for carefully reviewing all of the requirements of this solicitation, inspecting the project location, including checking and/or verifying site conditions, any limitations, and other details, prior to preparing and submitting its bid proposal. Claims for additional costs or time resulting from the bidder's failure to inspect and/or verify will not be considered.

### **Prebid Conference**

At the discretion of the State Purchasing Agent, a prebid conference - mandatory or nonmandatory - may be held. Bidders must attend a mandatory prebid conference and are encouraged to attend a nonmandatory prebid conference. The bidder's representative must register with the Division of Purchases at a mandatory prebid conference and identify the bidder he or she represents.

### **Costs**

The bidder is responsible for all costs and expenses to develop and submit a bid proposal in response to this solicitation.

### **Preparation of Bid Proposal**

Bid proposals must be made on the Request for Quote included in the solicitation. The bidder must complete the Unit Price and Total columns for each item listed and include specifications (including specifications where the solicitation requires a particular brand) in a legible manner, printed electronically, typed, or handwritten in ink. Items in catalogs must be clearly marked and pages tabbed. In the event of any contradictory terms, handwritten terms prevail over printed or typed terms, and words prevail over figures. Signatures must be in ink. No additional provisions, conditions, or limitations may be made by the bidder, and any erasures and/or corrections must be initialed in ink by the person signing on behalf of the bidder.

This solicitation contains a Bid Preparation Checklist to assist the bidder in preparing a bid proposal for submission.

### **Submission of Bid Proposal**

Each bid proposal (a complete package, with the signed Bidder Certification Cover Form, signed Request for Quote, Bid Surety, IRS Form W-9, and public copy CD-R media disk) must be submitted in a *separate sealed envelope* with the bidder's name and address and the specific "Solicitation Number," "Solicitation Title," and the "Submission Deadline" marked in the upper left-hand corner of the envelope.

*The bid proposal must be delivered to the Division of Purchases (via mail, messenger service, or personal delivery by the bidder) by the date and time specified for the bid proposal submission deadline.* Bidders should mail bid proposals sufficiently in advance of the bid proposal submission deadline to ensure timely delivery to the Division of Purchases or, when delivering a bid proposal in person or by messenger, should allow additional time for parking and clearance through security checkpoints. Bid proposals must be addressed to:

Rhode Island Department of Administration  
Division of Purchases  
One Capitol Hill, Second Floor  
Providence, RI 02908-5855

Bid proposals that are not received by the Division of Purchases by the bid proposal submission deadline for whatever reason will be deemed late and will not be considered. The submission time will be determined by the time clock in the Division of Purchases. Postmarks will not be considered proof of timely submission.

At the bid proposal submission deadline, bid proposals will be opened and read aloud in public.

### **Charges**

Bid proposals shall include only materials, parts, and labor in the Unit Price and Total. Travel, mileage, or other miscellaneous charges shall not be included in the Unit Price or Total.

### **Bidder Certification Cover Form**

The bidder must download, complete, sign, and submit the Bidder Certification Cover Form for this solicitation as the first document with each bid proposal. The Bidder Certification Cover Form is downloadable with the solicitation from the Division of Purchases website by logging in as a RIVIP vendor and clicking on the applicable "Bid Number."

## **Public Copy**

Bid proposals submitted in response to this solicitation are public records pursuant to the Rhode Island "Access to Public Records Act," R. I. Gen. Laws §§ 38-2-1 *et seq.* Each bid proposal must include a "public copy" to be available for public inspection upon the opening of bids. The public copy must be submitted in .pdf (portable document file) format on a **read-only** CD-R media disk. The disk must include **all of the documents** submitted in response to the solicitation concatenated or merged into one file.

The public copy disk must be separately enclosed in a protective cover clearly marked "Public Copy" and include the following information: (1) Solicitation Title; (2) name of bidder and RIVIP vendor ID number; (3) Solicitation Number; and (4) bid proposal submission deadline.

The .pdf file must be named in the following manner:

SolicitationNumber\_Bid Proposal Submission Deadline\_BidderName\_VendorID.pdf

The bid proposal submission deadline must appear as mm-dd-yyyy. The bidder name must appear as one word, with no spaces or punctuation. Underscores must separate the fields.

**Example:** 7543210\_11-08-2013\_OceanStateCompanyInc\_9867.pdf

The public copy of each bid proposal will be posted on the Division of Purchases website. Bidders may redact in the public copy any trade secrets or commercial or financial information which is of a privileged or confidential nature pursuant to the Access to Public Records Act.

*For Rhode Island Department of Transportation highway and bridge projects, in addition to the Quest Lite compatible electronic copy and one hard copy, the bidder must also include a duplicate original of the Quest Lite compatible electronic copy on a **read-only** CD-R media disk as the "public copy."*

## **Contractors Registration**

The bidder must have and maintain a valid certificate of registration issued by the Contractors' Registration Board throughout the term of the contract awarded pursuant to this solicitation and ensure that its subcontractors, unless exempt from registration, also obtain and maintain valid certificates of registration.

### **Subcontractors**

The bidder must demonstrate that it is able to perform a substantial portion of the work using its own workforce. Any bidder that does not maintain a permanent workforce and/or proposes to perform a disproportionate amount of the work through one or more subcontractors will be considered unqualified. The successful bidder must establish to the satisfaction of the State Purchasing Agent the reliability and responsibility of any subcontractors proposed to perform any work pursuant to this solicitation.

### **Taxes**

The State of Rhode Island is exempt from federal excise taxes and state and municipal sales and use taxes. The bidder shall not include such taxes in any prices in the bid proposal.

### **Bid Surety**

Bidders must furnish, with their bid proposals, either a bid bond from a surety licensed to conduct business in the State of Rhode Island or a certified check payable to the State of Rhode Island in the amount of five (5%) percent of the bid proposal. (*Bidders for Rhode Island Department of Transportation highway and bridge projects must furnish, with their bid proposals, a bid bond from a surety licensed to conduct business in the State of Rhode Island. Certified checks are not permitted for these projects.*) An attorney-in-fact who executes a bond on behalf of the surety must provide a certified current copy of the power of attorney. A successful bidder who fails to submit the additional documentation required by the tentative letter of award and/or fails to commence and pursue the work in accordance with the contract awarded pursuant to this solicitation may forfeit, at the discretion of the State Purchasing Agent, the full amount of the bid surety as liquidated damages. The State will retain the bid surety of all bidders until the earliest of: (i) the issuance of the Purchase Order; (ii) the 61<sup>st</sup> day following the bid proposal submission deadline; or (iii) the rejection of all bid proposals.

### **Divestiture of Investments in Iran Requirement**

No bidder engaged in investment activities in Iran as described in R.I. Gen. Laws § 37-2.5-2(b) may submit a bid proposal to, or renew a contract with, the Division of Purchases. Each bidder submitting a bid proposal or entering into a renewal of a contract is required to certify that the bidder does not appear on the list maintained by the General Treasurer pursuant to R.I. Gen. Laws § 37-2.5-3.

### **Domestic Steel**

Any steel products required by the plans and specifications in this solicitation must be formed, extruded, forged, cast, fabricated, or otherwise processed from steel made in the United States.

### **Withdrawal**

A bidder may withdraw its bid proposal at any time prior to the bid proposal submission deadline. Bid proposals are irrevocable for a period of 60 days following the bid proposal submission deadline.

### **Reservation of Rights**

The Division of Purchases reserves the right, at any time, for any reason, in its sole discretion, to: (i) revoke, suspend, or terminate this solicitation; (ii) accept or reject any and all bid proposals, in whole or in part; (iii) waive any technical defects, irregularities, or omissions in any bid proposals; and/or (iv) terminate any contract awarded pursuant to this solicitation, with or without cause.

### **Award**

The State Purchasing Agent, in his or her sole discretion, will award the contract pursuant to this solicitation to the responsive and responsible bidder who submits the lowest responsive and responsible bid proposal. The State Purchasing Agent may determine, in his or her sole discretion, the low bid proposal on the basis of the amount of the Base Bid Price plus the alternates selected in accordance with the Request for Quote. The successful bidder will receive a tentative letter of award from the Division of Purchases with instructions for the bidder to submit further documentation. A binding contract, to the extent of available funds, between the State of Rhode Island and the successful bidder will be formed by the issuance, *and only by the issuance*, of a Purchase Order from the Division of Purchases. The successful bidder shall be authorized to commence work only upon the issuance of the Purchase Order and, in addition, an authorization from the user agency. The issuance of the Purchase Order and the continuation of any contract awarded pursuant to this solicitation is contingent upon the availability of funds.

### **Prevailing Wages**

The successful bidder and its subcontractors must pay their workers at the applicable prevailing wage rates (adjusted every July 1) for the various trades on a weekly basis, pay their workers one and one-half times the applicable prevailing wage rates for each hour worked in excess of 8 hours in any one day or 40 hours in any one week, and submit certified weekly payroll forms on a monthly basis to the user agency. Prevailing wage posters and rate schedules, available at the Rhode Island Department of Labor and Training website at [www.dlt.ri.gov](http://www.dlt.ri.gov), must be posted at the project site.

### **Occupational Safety**

The successful bidder must ensure (if the total contract price is at least \$100,000) that all employees at the project site possess a card issued by the United States Department of Labor certifying successful completion of an OSHA ten (10) hour construction safety program.

### **Hazardous Substances**

The successful bidder must submit a chemical identification list to the Rhode Island Department of Labor and Training upon receipt of a Purchase Order from the Division of Purchases prior to performance of the contract awarded pursuant to this solicitation and make available to all employees a list of any hazardous substances that may present a risk of exposure.

### **Substitutions**

Any proposal in response to a request for substitutions in the solicitation must include the detailed information necessary for a comprehensive evaluation, including (without limitation) the name of the material or equipment of the proposed substitution and a complete description of the proposed substitution, with drawings and performance and test data. Products specified in this solicitation establish a standard of quality, performance, dimension, function, and appearance. Proposed substitutions must meet the standard and will not be considered without the prior written approval of the Division of Purchases. All substitution approvals will be posted, as addenda to the solicitation on the Division of Purchases website.

### **Licenses**

The successful bidder and anyone performing any services on the contract awarded pursuant to this solicitation must possess all of the licenses required by any federal, state, or local law to perform such work.

**Insurance**

The successful bidder must submit a certificate of insurance that references the solicitation number and names the State of Rhode Island as "certificate holder" and as "additional insured" upon the issuance of the tentative letter of award, on an annual basis during the term of the contract awarded pursuant to this solicitation, and from time to time upon request. The certificate of insurance must state that 20 days' advance notice of cancellation (referencing the solicitation number) will be sent to: Rhode Island Department of Administration, Division of Purchases, One Capitol Hill, Providence, Rhode Island 02908-5855, fax # 401-574-8387, and provide evidence of the following specific types and amounts of insurance:

<b><u>Type of Insurance</u></b>	<b><u>Amount of Coverage</u></b>
Comprehensive General Liability	
Bodily injury	\$1 Million each occurrence \$1 Million annual aggregate
Property damage	\$500,000 each occurrence \$500,000 annual aggregate
Independent contractors Contractual (including construction "hold harmless" and other types of Contracts or agreements in effect for insured operations) Completed operations Personal injury (with employee exclusion deleted)	
Automobile Liability	
Combined Single Limit	\$1 Million each occurrence
Bodily injury, property damage, including nonowned and/or hired vehicles and equipment	
Workers Compensation	
Coverage B	\$100,000
Environmental Impairment ("pollution control")	\$1 Million or 5% of contract amount, whichever is greater

*The State Purchasing Agent reserves the right to accept alternate forms and plans of insurance and/or to require additional or more extensive coverage.*

### **Minority Business Enterprises**

The Division of Purchases reserves the right to give additional consideration to bid proposals submitted by minority/women business enterprises certified by the Division of Purchases, Minority Business Office ("MBEs") provided that any such bid proposal is fully responsive to the terms and conditions of this solicitation, and the bid price is determined, in the discretion of the Division of Purchases, to be within a competitive range.

Any bidder who does not intend to perform all of the work with its own forces shall recruit and engage MBEs to perform at least 10% of the dollar value of the contract awarded pursuant to this solicitation. To reach that goal, the bidder may allocate up to 60% of its costs for materials and supplies obtained from MBE dealers or 100% of its costs for materials and supplies obtained from MBE manufacturers.

The successful bidder must submit a plan to meet this requirement for approval by the Division of Purchases, Minority Business Enterprise Compliance Office within the 21-day period following the tentative letter of award, identifying all MBEs, and must also demonstrate its good faith best efforts to meet these MBE goals. Information about this requirement and a directory of MBEs certified in Rhode Island is available at [www.mbe.ri.gov](http://www.mbe.ri.gov) or (401) 574-8670.

### **Equal Opportunity**

The successful bidder must demonstrate a commitment to equal opportunity and submit an affirmative action plan for review by the Rhode Island Department of Administration State Equal Opportunity Office) within the 21-day period following the tentative letter of award. Information about this requirement is available at [www.diversity.ri.gov/eec/eopagehome.htm](http://www.diversity.ri.gov/eec/eopagehome.htm) or (401) 222-3090.

### **Drug-Free Workplace**

The successful bidder shall comply, and require that its employees comply, with the State of Rhode Island Drug Free Workplace policy and provide a certificate of compliance within the 21-day period following the tentative letter of award.

### **Sprinkler Impairment**

The successful bidder must comply with the requirements of the State of Rhode Island's insurance carrier for sprinkler impairment and hot work, accessible at the Division of Purchases website at [www.purchasing.ri.gov](http://www.purchasing.ri.gov).

### **Foreign Corporations**

No foreign corporation or limited liability company may transact business in the State of Rhode Island until it shall have obtained a Certificate of Authority from the Rhode Island Secretary of State, and no foreign limited partnership may transact business in the State of Rhode Island until it shall have obtained a Certificate of Registration from the Rhode Island Secretary of State. The successful bidder, if a corporation or limited liability company, will be required to provide a Good Standing Certificate, and if a limited partnership, will be required to provide a Letter of Legal Existence, issued by the Rhode Island Secretary of State within the 21-day period following the tentative letter of award.

### **Campaign Finance**

The successful bidder who has contributed, within the 24 months preceding the contract award, an aggregate amount of more than \$250.00 within a calendar year to any Rhode Island general officer, candidate for general office, any member of the general assembly, or any Rhode Island political party, must file a "Vendor Affidavit" with the State of Rhode Island Board of Elections. Information about "Vendor Affidavits" and electronic filing is available at [www.elections.ri.gov](http://www.elections.ri.gov) or Board of Elections, Campaign Finance, (401) 222-2056.

### **Binding Contract**

A binding contract between the State of Rhode Island and the successful bidder will be formed by the issuance of a Purchase Order from the Division of Purchases, *and only by the issuance of a Purchase Order, and only to the extent of available funds.* The binding contract will incorporate and be subject to the terms and conditions of the solicitation, including the Invitation to Bid, the Instructions to Bidders, the Bid Preparation Checklist, the Request for Quote, the Bidder Certification Cover Form, the Agreement (if applicable to this solicitation), and also the Purchase Order. The successful bidder shall be authorized to commence work only upon the issuance of the Purchase Order and, in addition, an authorization from the user agency.

### **Compliance with Terms of Contract**

Failure of the successful bidder to comply with the terms and conditions of any contract awarded pursuant to this solicitation may result in nonpayment, suspension or termination of the contract, suspension or debarment of the bidder, or any other necessary or appropriate remedy.

**Contract Terms and Conditions**

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**Terms and Conditions**

**BID STANDARD TERMS AND CONDITIONS**

**TERMS AND CONDITIONS FOR THIS BID**

**RIVIP INFO - BID SUBMISSION REQUIREMENTS**

It is the Vendor's responsibility to check and download any and all addenda from the RIVIP. This offer may not be considered unless a signed RIVIP generated Bidder Certification Cover Form is attached and the Unit Price column is completed. The signed Certification Cover Form must be attached to the front of the offer. When delivering offers in person to One Capitol Hill, vendors are advised to allow at least one hour additional time for clearance through security checkpoints.

**MAILING ADDRESS FOR BID PROPOSALS ISSUED BY THE STATE OF RHODE ISLAND,  
DIVISION OF PURCHASES**

All Bid Proposals must be submitted by mail or hand delivered to:

- State of Rhode Island
- Department of Administration
- Division of Purchases, Second floor
- One Capitol Hill
- Providence, RI 02908-5855

**DIVESTITURE OF INVESTMENTS IN IRAN REQUIREMENT:**

No vendor engaged in investment activities in Iran as described in R.I. Gen. Laws §37-2.5-2(b) may submit a bid proposal to, or renew a contract with, the Division of Purchases. Each vendor submitting a bid proposal or entering into a renewal of a contract is required to certify that the vendor does not appear on the list maintained by the General Treasurer pursuant to R.I. Gen. Laws §37-2.5-3.

**PURCHASE AGREEMENT BID**

**BIDDING** (a) A single price shall be quoted for each item against which a proposal is submitted. This price will be the maximum in effect during the agreement period. Any price decline at the manufacturer's level shall be reflected in a reduction of the agreement price to the State. (b) Quantities, if any, are estimated only. The agreement shall cover the actual quantities ordered during the period. Deliveries will be billed at the single, firm, awarded unit price quoted regardless of the quantities ordered. (c) Bid price is net F.O.B. destination and shall include inside delivery at no extra cost. (d) Bids for single items and/or a small percentage of total items listed, may, at the State's sole option, be rejected as being non-responsive to the intent of this request. **ORDERING** (a) The User Agency(s) will submit individual orders for the various items and various quantities as may be required during the agreement period. (b) Exception - Regardless of any agreement resulting from this bid, the State reserves the right to solicit prices separately for any extra large requirements for delivery to specific destinations.

Mailing Address for Bid Proposals issued by the State of Rhode Island, Division of Purchases:

All Bid Proposals must be submitted to the following address:

State of Rhode Island  
Department of Administration  
Division of Purchases, 2nd Floor  
One Capitol Hill  
Providence, RI 02908

**MULTI YEAR AWARD**

THIS IS A MULTI-YEAR BID/CONTRACT. PER RHODE ISLAND STATE LAW 37-2-33, CONTRACT OBLIGATIONS BEYOND THE CURRENT FISCAL YEAR ARE SUBJECT TO AVAILABILITY OF FUNDS. CONTINUATION OF THE CONTRACT BEYOND THE INITIAL FISCAL YEAR WILL BE AT THE DISCRETION OF THE STATE. TERMINATION MAY BE EFFECTED BY THE STATE BASED UPON DETERMINING FACTORS SUCH AS UNSATISFACTORY PERFORMANCE OR THE DETERMINATION BY THE STATE TO DISCONTINUE THE GOODS/SERVICES, OR TO REVISE THE SCOPE AND NEED FOR THE TYPE OF GOODS/SERVICES; ALSO MANAGEMENT OWNER DETERMINATIONS THAT MAY PRECLUDE THE NEED FOR GOODS/SERVICES.

**WORK ORDER LIMITATIONS**

IN NO EVENT WILL ANY INDIVIDUAL WORK ORDER EXCEED \$5,000.00 WITHOUT PRIOR APPROVAL OF THE OFFICE OF PURCHASES.

**CHARGES PERMITTED**

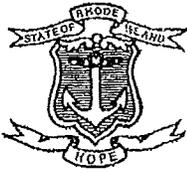
NO CHARGES OTHER THAN PARTS AND LABOR ON THE JOB - NO TRAVEL, NO MILEAGE, NO MISCELLANEOUS CHARGES, NO PORTAL TO PORTAL.

**HOURLY RATE SPECIFICS**

BIDDERS ARE ADVISED THE AWARD WILL BE BASED ON EITHER REGULAR, STRAIGHT-TIME HOURLY RATES OR A PERIODIC RATE SUCH AS 500 HOURS, MONTHLY OR ANNUALLY, DEPENDING ON THE SPECIFIC REQUIREMENTS OF A PARTICULAR BID. KEEP IN MIND THAT OVERTIME RATES, DISCOUNTS, AND OTHER MISCELLANEOUS PRICE-RELATED ITEMS ARE REQUIRED FOR INFORMATIONAL PURPOSES ONLY. OVERTIME RATE IS TO BE PAID IN ACCORDANCE WITH THE PROVISIONS OF THE RI DEPARTMENT OF LABOR AND TRAINING, EMPLOYER HANDBOOK. EMPLOYEES ARE TO BE COMPENSATED AT TIME AND ONE-HALF THE APPLICABLE PREVAILING WAGE RATE. OVERTIME RATES EXCEEDING ONE AND ONE HALF TIMES THE REGULAR HOURLY RATES FOR MONDAY THROUGH SATURDAY AND EXCEEDING TWO TIMES THE REGULAR RATE FOR SUNDAYS AND HOLIDAYS MAY BE GROUNDS FOR DISQUALIFICATION OF THE BID.

**HOURS - BIDDING PURPOSES**

HOURS INDICATED ARE ESTIMATED QUANTITIES FOR BIDDING PURPOSES ONLY.



STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS

## Department of Labor and Training

Center General Complex

1511 Pontiac Avenue  
Cranston, RI 02920-4407

TTY: Via RI Relay 711

Lincoln D. Chafee  
Governor

Charles J. Fogarty  
Director

### STATE CONTRACT ADDENDUM

#### RHODE ISLAND DEPARTMENT OF LABOR AND TRAINING

#### PREVAILING WAGE REQUIREMENTS (37-13-1 ET SEQ.)

The prevailing wage requirements are generally set forth in RIGL 37-13-1 et seq. These requirements refer to the prevailing rate of pay for regular, holiday, and overtime wages to be paid to each craftsmen, mechanic, teamster, laborer, or other type of worker performing work on public works projects when state or municipal funds exceed one thousand dollars (\$1,000).

All Prevailing Wage Contractors and Subcontractors are required to:

1. Submit to the Awarding Authority a list of the contractor's subcontractors for any part or all of the prevailing wage work in accordance with RIGL § 37-13-4;
2. Pay all prevailing wage employees at least once per week and in accordance with RIGL §37-13-7 (see Appendix B attached);
3. Post the prevailing wage rate scale and the Department of Labor and Training's prevailing wage poster in a prominent and easily accessible place on the work site in accordance with RIGL §37-13-11; posters may be downloaded at [www.dlt.ri.gov/pw/Posters.htm](http://www.dlt.ri.gov/pw/Posters.htm) .poster/htm or obtained from the Department of Labor and Training, Center General Complex, 1511 Pontiac Avenue, Cranston, Rhode Island;
4. Access the Department of Labor and Training website, at [www.dlt.ri.gov](http://www.dlt.ri.gov) on or before July 1st of each year, until such time as the contract is completed, to ascertain the current prevailing wage rates and the amount of payment or contributions for each covered prevailing wage employee and make any necessary adjustments to the covered employee's prevailing wage rates effective July 1st of each year in compliance with RIGL §37-13-8;
5. Attach a copy of this CONTRACT ADDENDUM and its attachments as a binding obligation to any and all contracts between the contractor and any

*An Equal Opportunity Employer/Program./Auxiliary aids and services are available upon request to individuals with disabilities.*

TTY via RI Relay 711



Lincoln D. Chafee  
Governor  
Charles J. Fogarty  
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STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS

Department of Labor and Training

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1511 Pontiac Avenue  
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Telephone; (401) 462-8000  
TTY; Via RI Relay 711

subcontractors and their assignees for prevailing wage work performed pursuant to this contract;

6. Provide for the payment of overtime for prevailing wage employees who work in excess of eight (8) hours in any one day or forty (40) hours in any one week as provided by RIGL §37-13-10;
7. Maintain accurate prevailing wage employee payroll records on a Rhode Island Certified Weekly Payroll form available for download at [www.dlt.ri.gov/pw.forms/htm](http://www.dlt.ri.gov/pw.forms/htm), as required by RIGL §37-13-13, and make those records available to the Department of Labor and Training upon request;
8. Furnish the fully executed RI Certified Weekly Payroll Form to the awarding authority on a monthly basis for all work completed in the preceding month.
9. For general or primary contracts one million dollars (\$1,000,000) or more, shall maintain on the work site a fully executed RI Certified Prevailing Wage Daily Log listing the contractor's employees employed each day on the public works site; the RI Certified Prevailing Wage Daily Log shall be available for inspection on the public works site at all times; this rule shall not apply to road, highway, or bridge public works projects. Where applicable, furnish both the Rhode Island Certified Prevailing Wage Daily Log together with the Rhode Island Weekly Certified Payroll to the awarding authority.
10. Assure that all covered prevailing wage employees on construction projects with a total project cost of one hundred thousand dollars (\$100,000) or more has a OSHA ten (10) hour construction safety certification in compliance with RIGL § 37-23-1;
11. Employ apprentices for the performance of the awarded contract when the contract is valued at one million dollars (\$1,000,000) or more, and comply with the apprentice to journeyman ratio for each trade approved by the apprenticeship council of the Department of Labor and Training in compliance with RIGL §37-13-3.1;
12. Assure that all prevailing wage employees who perform work which requires a Rhode Island trade license possess the appropriate Rhode Island trade license in compliance with Rhode Island law; and

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TTY via Rf Relay 711



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Lincoln D. Chafee  
Governor  
Charles J. Fogarty  
Director

13. Comply with all applicable provisions of RIGL §37-13-1, et. seq;

Any questions or concerns regarding this CONTRACT ADDENDUM should be addressed to the contractor or subcontractor's attorney. Additional Prevailing Wage information may be obtained from the Department of Labor and Training at [www.dlt.ri.gov/pw](http://www.dlt.ri.gov/pw).

**CERTIFICATION**

I hereby certify that I have reviewed this CONTRACT ADDENDUM and understand my obligations as stated above.

By: Robert J. Steele

Title: BRANCH GENERAL MANAGER

Subscribed and sworn before me this 2 day of May, 2016

Danielle A. SanAntonio  
Notary Public  
My commission expires: 8/24/19

Danielle A. SanAntonio  
Notary Public, State of Rhode Island  
My Commission Expires Aug. 24, 2019

*An Equal Opportunity Employer/Program, /Auxiliary aids and services are available upon request to individuals with disabilities.  
TTY via RI Relay 711*



STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS

Department of Labor and Training

Center General Complex  
1511 Pontiac Avenue  
Cranston, RI 02920-4407

Telephone: (401) 462-8000

APPENDIX A

**TITLE 37**  
**Public Property and Works**  
**CHAPTER 37-13**  
**Labor and Payment of Debts by Contractors**  
**SECTION 37-13-5**

**§37-13-5 Payment for trucking or materials furnished - Withholding of sums due.** -A contractor or subcontractor on public works authorized by a proper authority shall pay any obligation or charge for trucking and material which have been furnished for the use of the contractor or subcontractor, in connection with the public works being performed by him or her, within ninety (90) days after the obligation or charge is incurred or the trucking service has been performed or the material has been delivered to the site of the work, whichever is later. When it is brought to the notice of the proper authority in a city or town, or the proper authority in the state having supervision of the contract, that the obligation or charge has not been paid by the contractor or subcontractor, the proper authority may deduct and hold for a period not exceeding sixty (60) days, from sums of money due to the contractor or subcontractor, the equivalent amount of such sums certified by a trucker or materialman creditor as due him or her, as provided in this section, and which the proper authority determines is reasonable for trucking performed or materials furnished for the public works.

APPENDIX B

**TITLE 37**

**Public Property and Works**

**CHAPTER 37-13**

**Labor and Payment of Debts by Contractors**

**SECTION 37-13-7**

**§ 37-13-7 Specification in contract of amount and frequency of payment of wages.**

-(a) Every call for bids for every contract in excess of one thousand dollars (\$1,000), to which the state of Rhode Island or any political subdivision thereof or any public agency or quasi-public agency is a party, for construction, alteration, and/or repair, including painting and decorating, of public buildings or public works of the state of Rhode Island or any political subdivision thereof, or any public agency or quasi-public agency and which requires or involves the employment of employees, shall contain a provision stating the minimum wages to be paid various types of employees which shall be based upon the wages that will be determined by the director of labor and training to be prevailing for the corresponding types of employees employed on projects of a character similar to the contract work in the city, town, village, or other appropriate political subdivision of the state of Rhode Island in which the work is to be performed. Every contract shall contain a stipulation that the contractor or his or her subcontractor shall pay all the employees employed directly upon the site of the work, unconditionally and not less often than once a week, and without subsequent deduction or rebate on any account, the full amounts accrued at time of payment computed at wage rates not less than those stated in the call for bids, regardless of any contractual relationships which may be alleged to exist between the contractor or subcontractor and the employees, and that the scale of wages to be paid shall be posted by the contractor in a prominent and easily accessible place at the site of the work; and the further stipulation that there may be withheld from the contractor so much of the accrued payments as may be considered necessary to pay to the employees employed by the contractor, or any subcontractor on the work, the difference between the rates of wages required by the contract to be paid the employees on the work and the rates of wages received by the employees and not refunded to the contractor, subcontractors, or their agents.

(b) The terms "wages", "scale of wages", "wage rates", "minimum wages", and "prevailing wages" shall include:

- (1) The basic hourly rate of pay; and
- (2) ) The amount of:

(A) The rate of contribution made by a contractor or subcontractor to a trustee or to a third person pursuant to a fund, plan, or program; and

(B) The rate of costs to the contractor or subcontractor which may be reasonably anticipated in providing benefits to employees pursuant to an enforceable commitment to carry out a financially responsible plan or program which was communicated in writing to the employees affected, for medical or hospital care, pensions on retirement or death, compensation for injuries or illness resulting from occupational activity, or insurance to provide any of the foregoing, for unemployment benefits, life insurance, disability and sickness insurance, or accident insurance, for vacation and holiday pay, for defraying costs of apprenticeship or other similar programs, or for other bona fide fringe benefits, but only where the contractor or subcontractor is not required by other federal, state, or local law to provide any of the benefits; provided, that the obligation of a contractor or subcontractor to make payment in accordance with the prevailing wage determinations of the director of labor and training insofar as this chapter of this title and other acts incorporating this chapter of this title by reference are concerned may be discharged by the making of payments in cash, by the making of contributions of a type referred to in subsection (b)(2), or by the assumption of an enforceable commitment to bear the costs of a plan or program of a type referred to in this subdivision, or any combination thereof, where the aggregate of any payments, contributions, and costs is not less than the rate of pay described in subsection (b)(1) plus the amount referred to in subsection (b)(2).

(c) The term "employees", as used in this section, shall include employees of contractors or subcontractors performing jobs on various types of public works including mechanics, apprentices, teamsters, chauffeurs, and laborers engaged in the transportation of gravel or fill to the site of public works, the removal and/or delivery of gravel or fill or ready-mix concrete, sand, bituminous stone, or asphalt flowable fill from the site of public works, or the transportation or removal of gravel or fill from one location to another on the site of public works, and the employment of the employees shall be subject to the provisions of subsections (a) and (b).

(d) The terms "public agency" and "quasi-public agency" shall include, but not be limited to, the Rhode Island industrial recreational building authority, the Rhode Island economic development corporation, the Rhode Island airport corporation, the Rhode Island industrial facilities corporation, the Rhode Island refunding bond authority, the Rhode Island housing and mortgage finance corporation, the Rhode Island resource recovery corporation, the Rhode Island public transit authority, the Rhode Island student loan authority, the water resources board corporate, the Rhode Island health and education building corporation, the Rhode Island higher education assistance authority, the Rhode Island turnpike and bridge authority, the Narragansett Bay water quality management district commission, Rhode Island telecommunications authority, the convention center authority, the board of governors for higher education, the board of regents for elementary and secondary education, the capital center commission, the housing resources commission, the Quonset Point-Davisville management corporation, the Rhode Island children's crusade for higher education, the Rhode Island depositors economic protection corporation, the Rhode Island lottery commission, the Rhode Island

partnership for science and technology, the Rhode Island public building authority, and the Rhode Island underground storage tank board.



State of Rhode Island  
Division of Purchases

Public Works  
Bid Preparation Checklist

Date: 3/1/2016

Bid#: 7550354

Title: HVAC Maintenance and Repairs, Davies Career & Technical High School

This checklist is provided to assist the bidder in preparing a bid proposal for submission. It is not a substitute for a thorough review of the Instruction to Bidders nor a comprehensive list of all bid proposal requirements. Each bidder is responsible to review the Instructions to Bidders and to comply with all requirements of the Solicitation.

**Bid Proposal Package:**

- RIVIP Bidder Certification Cover Form (completed) signed in ink
- Bid Form
  - All applicable blank spaces on the Bid Form have been completed
  - All Addenda have been acknowledged
  - Bid price printed legibly in ink (in both words and figures that match where specified)
  - Erasures or corrections have been initialed by person signing the Bid Form
  - Bid Form is signed in ink
- Bid Surety
  - Bid bond or certified check (for DOT projects, bid bond only)
  - Bid surety is five percent of the bid total (or such other specified amount)
  - Bid Bond is signed by the bidder and surety
  - Power of Attorney is attached to the Bid Bond showing the name of person who signed the surety bond
- Public Copy of bid proposal in pdf format on a read-only CD-R media disk
- General Contractor Apprenticeship Certification Form "2013-14" (for projects \$1,000,000 and greater) required at time of bid proposal submission

*Note: General Contractor Apprenticeship Re-Certification and Certification Form "2013-16" and Subcontractor Apprenticeship Certification Form "2013-15" are not required at time of bid proposal submission deadline.*

- Applicable professional licenses (as specified in the Solicitation)
- Rhode Island Contractor Registration Board No.
- All bid proposal documents in a sealed envelope with the specific Solicitation #, Solicitation title, and the bid proposal submission deadline marked in the upper left hand corner of the envelope
- Each bid proposal submitted in a separate sealed envelope
- Completed Form W-9
- Other: Manufacturer's Certifications

**Buyer Name: John F. O'Hara II**

**Contact Information: 401-574-8125**

## Request for Taxpayer Identification Number and Certification

Give Form to the  
requester. Do not  
send to the IRS.

**1** Name (as shown on your income tax return). Name is required on this line; do not leave this line blank.  
**JOHNSON CONTROLS INC**

**2** Business name/disregarded entity name, if different from above

**3** Check appropriate box for federal tax classification; check only **one** of the following seven boxes:  
 Individual/sole proprietor or single-member LLC  
 C Corporation  
 S Corporation  
 Partnership  
 Trust/estate  
 Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=partnership) ▶ \_\_\_\_\_  
**Note.** For a single-member LLC that is disregarded, do not check LLC; check the appropriate box in the line above for the tax classification of the single-member owner.  
 Other (see instructions) ▶ \_\_\_\_\_

**4** Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3):  
 Exempt payee code (if any) 5  
 Exemption from FATCA reporting code (if any) D  
*(Applies to accounts maintained outside the U.S.)*

**5** Address (number, street, and apt. or suite no.)  
**5757 N GREEN BAY AVENUE**

**6** City, state, and ZIP code  
**MILWAUKEE WI 53209**

**7** List account number(s) here (optional)

Requester's name and address (optional)

**Part I Taxpayer Identification Number (TIN)**

Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the Part I instructions on page 3. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN* on page 3.

**Note.** If the account is in more than one name, see the instructions for line 1 and the chart on page 4 for guidelines on whose number to enter.

Social security number									
or									
Employer identification number									
3	9	-	0	3	8	0	0	1	0

**Part II Certification**

Under penalties of perjury, I certify that:

- The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
- I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
- I am a U.S. citizen or other U.S. person (defined below); and
- The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

**Certification instructions.** You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions on page 3.

**Sign Here**      Signature of U.S. person ▶ [Signature]      Date ▶ 01/08/2015

**General Instructions**

Section references are to the Internal Revenue Code unless otherwise noted.

**Future developments.** Information about developments affecting Form W-9 (such as legislation enacted after we release it) is at [www.irs.gov/fw9](http://www.irs.gov/fw9).

**Purpose of Form**

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following:

- Form 1099-INT (interest earned or paid)
- Form 1099-DIV (dividends, including those from stocks or mutual funds)
- Form 1099-MISC (various types of income, prizes, awards, or gross proceeds)
- Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
- Form 1099-S (proceeds from real estate transactions)
- Form 1099-K (merchant card and third party network transactions)

- Form 1098 (home mortgage interest), 1098-E (student loan interest), 1098-T (tuition)
- Form 1099-C (canceled debt)
- Form 1099-A (acquisition or abandonment of secured property)

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.

*If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See What is backup withholding? on page 2.*

By signing the filled-out form, you:

- Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
- Certify that you are not subject to backup withholding, or
- Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income, and
- Certify that FATCA code(s) entered on this form (if any) indicating that you are exempt from the FATCA reporting, is correct. See *What is FATCA reporting?* on page 2 for further information.

State of Rhode Island and Providence Plantations  
Department of Administration  
Division of Purchases

**RIVIP BIDDER CERTIFICATION COVER FORM**  
**SECTION 1 - BIDDER INFORMATION**

*Bidder must be registered as a vendor on the RIVIP system at [www.purchasing.ri.gov](http://www.purchasing.ri.gov) to submit a bid proposal.*

**Solicitation Number:** 7550354A1  
**Solicitation Title:** HVAC MAINTENANCE & REPAIRS, DAVIES CAREER & TECHNICAL HIGH SCHOOL -  
ADDENDUM 1

**Bid Proposal Submission  
Deadline Date & Time:** 5/3/2016 11:00 AM

**RIVIP Vendor ID #:** 71923

**Bidder Name:** Johnson Controls  
**Address:** 6 Blackstone Valley Place  
Suite 202  
Lincoln , RI 02865  
USA

**Telephone:** 4012356726  
**Fax:**

**Contact Name:** John Sullivan  
**Contact Title:** ServiceBranchManager  
**Contact Email:** john.c.sullivan@jci.com

**SECTION 2 - DISCLOSURES**

**Bidders must respond to every statement. Bid proposals submitted without a complete response may be deemed nonresponsive.**

*Indicate "Y" (Yes) or "N" (No) for Disclosures 1-4, and if "Yes," provide details below*

1. State whether the Bidder, or any officer, director, manager, stockholder, member, partner, or other owner or principal of the Bidder or any parent, subsidiary, or affiliate has been subject to suspension or debarment by any federal, state, or municipal governmental authority, or the subject of criminal prosecution, or convicted of a criminal offense within the previous 5 years. If "Yes," provide details below.
2. State whether the Bidder, or any officer, director, manager, stockholder, member, partner, or other owner or principal of the Bidder or any parent, subsidiary, or affiliate has had any contracts with a federal, state, or municipal governmental authority terminated for any reason within the previous 5 years. If "Yes," provide details below.
3. State whether the Bidder, or any officer, director, manager, stockholder, member, partner, or other owner or principal of the Bidder or any parent, subsidiary, or affiliate has been fined more than \$5000 for violation(s) of any Rhode Island environmental law(s) by the Rhode Island Department of Environmental Management within the previous 5 years. If "Yes," provide details below.
4. State whether any officer, director, manager, stockholder, member, partner, or other owner or principal of the Bidder is serving or has served within the past two calendar years as either an appointed or elected official of any state governmental authority or quasi-public

corporation, including without limitation, any entity created as a legislative body or public or state agency by the general assembly or constitution of this state.

Disclosure details (continue on additional sheet if necessary):

Multiple horizontal lines for providing disclosure details.

### SECTION 3 - OWNERSHIP DISCLOSURE

**Bidders must provide all relevant information. Bid proposals submitted without a complete response may be deemed nonresponsive.**

If the Bidder is publicly held, the Bidder may provide owner information about only those stockholders, members, partners, or other owners that hold at least 10% of the record or beneficial equity interests of the Bidder; otherwise, complete ownership disclosure is required.

List each officer, director, manager, stockholder, member, partner, or other owner or principle of the Bidder, and each intermediate parent company and the ultimate parent company of the Bidder. For each individual, provide his or her name, business address, principal occupation, position with the Bidder, and the percentage of ownership, if any, he or she holds in the Bidder, and each intermediate parent company and the ultimate parent company of the bidder.

*There are no stockholders or other owners with more than 10% equity interest*

Multiple horizontal lines for listing owners and their details.

### SECTION 4 - CERTIFICATIONS

**Bidders must respond to every statement. Bid proposals submitted without a complete response may be deemed nonresponsive.**

Indicate "Y" (Yes) or "N" (No), and if "No," provide details below.

**THE BIDDER CERTIFIES THAT:**

- 1. The Bidder will immediately disclose, in writing, to the State Purchasing Agent any potential conflict of interest which may occur during the term of any contract awarded pursuant to this solicitation.
- 2. The Bidder possesses all licenses and anyone who will perform any work will possess all licenses required by applicable federal, state, and local law necessary to perform the requirements of any contract awarded pursuant to this solicitation. In the event that any required license shall lapse or be restricted or suspended, the Bidder shall immediately notify the State Purchasing Agent in writing.
- 3. The Bidder will maintain all required insurance during the term of any contract pursuant to this solicitation. In the event that any required insurance shall lapse or be canceled, the Bidder will immediately notify the State Purchasing Agent in writing.
- 4. The Bidder understands that falsification of any information in this bid proposal or failure to notify the State Purchasing Agent of any changes in any disclosures or certifications in this Bidder Certification may be grounds for suspension, debarment, and/or prosecution for fraud.
- 5. The Bidder has not paid and will not pay any bonus, commission, fee, gratuity, or other remuneration to any employee or official of the State of Rhode Island or any subdivision of the State of Rhode Island or other governmental authority for the purpose of obtaining an award of a contract pursuant to this solicitation. The Bidder further certifies that no bonus, commission, fee, gratuity, or other

remuneration has been or will be received from any third party or paid to any third party contingent on the award of a contract pursuant to this solicitation.

Y

6. This bid proposal is not a collusive bid proposal. Neither the Bidder, nor any of its owners, stockholders, members, partners, principals, directors, managers, officers, employees, or agents has in any way colluded, conspired, or agreed, directly or indirectly, with any other bidder or person to submit a collusive bid proposal in response to the solicitation or to refrain from submitting a bid proposal in response to the solicitation, or has in any manner, directly or indirectly, sought by agreement or collusion or other communication with any other bidder or person to fix the price or prices in the bid proposal or the bid proposal of any other bidder, or to fix any overhead, profit, or cost component of the bid price in the bid proposal or the bid proposal of any other bidder, or to secure through any collusion, conspiracy, or unlawful agreement any advantage against the State of Rhode Island or any person with an interest in the contract awarded pursuant to this solicitation. The bid price in the bid proposal is fair and proper and is not tainted by any collusion, conspiracy, or unlawful agreement on the part of the Bidder, its owners, stockholders, members, partners, principals, directors, managers, officers, employees, or agents.

Y

7. The Bidder: (i) is not identified on the General Treasurer's list created pursuant to R.I. Gen. Laws § 37-2.5-3 as a person or entity engaging in investment activities in Iran described in § 37-2.5-2(b); and (ii) is not engaging in any such investment activities in Iran.

Y

8. The Bidder will comply with all of the laws that are incorporated into and/or applicable to any contract with the State of Rhode Island.

Certification details (continue on additional sheet if necessary):

Multiple horizontal lines for writing certification details.

Submission by the Bidder of a bid proposal pursuant to this solicitation constitutes an offer to contract with the State of Rhode Island through the Division of Purchases on the terms and conditions contained in this solicitation and the bid proposal. The Bidder certifies that: (1) the Bidder has reviewed this solicitation and agrees to comply with its terms and conditions; (2) the bid proposal is based on this solicitation; and (3) the information submitted in the bid proposal (including this Bidder Certification Cover Form) is accurate and complete. The Bidder acknowledges that the terms and conditions of this solicitation and the bid proposal will be incorporated into any contract awarded to the Bidder pursuant to this solicitation and the bid proposal. The person signing below represents, under penalty of perjury, that he or she is fully informed regarding the preparation and contents of this bid proposal and has been duly authorized to execute and submit this bid proposal on behalf of the Bidder.

BIDDER

Date: 5/2/2016

JOHNSON CONTROLS  
Name of Bidder  
Robert J. Steele  
Signature in ink  
ROBERT J. STEELE  
Printed name and title of person signing on behalf of Bidder  
BRANCH GENERAL MANAGER



STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS

Department of Administration  
DIVISION OF PURCHASES  
One Capitol Hill  
Providence, RI 02908-5855

Tel: (401) 574-8100  
Fax: (401) 574-8387  
Website: [www.purchasing.ri.gov](http://www.purchasing.ri.gov)

March 11, 2016

**ADDENDUM NUMBER ONE**

**RFQ # 7550354**

**TITLE: HVAC Maintenance and Repairs, Davies Career & Technical High School**

**Closing Date and Time: 4/1/16 at 11:00 AM**

**Per the issuance of this ADDENDUM # (1), 1 page, including this cover sheet.**

**Specification Change /Addition / Clarifications**

**Questions along with the Responses received for this solicitation.**

1. Q. The above referenced bid has 25 line items. Do you need to bid on all 25 lines for bid to be responsive?
  - A. This bid is for a Full Service Maintenance Agreement for all HVAC systems. Yes, vendors must bid all items in order to be considered for an award.

State of Rhode Island and Providence Plantations  
Department of Administration  
Division of Purchases

**RIVIP BIDDER CERTIFICATION COVER FORM**  
**SECTION 1 - BIDDER INFORMATION**

*Bidder must be registered as a vendor on the RIVIP system at [www.purchasing.ri.gov](http://www.purchasing.ri.gov) to submit a bid proposal.*

**Solicitation Number:** 7550354A2  
**Solicitation Title:** HVAC MAINTENANCE AND REPAIRS, DAVIES CAREER & TECHNICAL HIGH SCHOOL - RIDE (2 PGS)  
**Bid Proposal Submission Deadline Date & Time:** 5/3/2016 11:00 AM  
**RIVIP Vendor ID #:** 71923  
**Bidder Name:** Johnson Controls  
**Address:** 6 Blackstone Valley Place  
Suite 202  
Lincoln , RI 02865  
USA  
**Telephone:** 4012356726  
**Fax:**  
**Contact Name:** John Sullivan  
**Contact Title:** ServiceBranchManager  
**Contact Email:** john.c.sullivan@jci.com

**SECTION 2 - DISCLOSURES**

**Bidders must respond to every statement. Bid proposals submitted without a complete response may be deemed nonresponsive.**

*Indicate "Y" (Yes) or "N" (No) for Disclosures 1-4, and if "Yes," provide details below*

- N 1. State whether the Bidder, or any officer, director, manager, stockholder, member, partner, or other owner or principal of the Bidder or any parent, subsidiary, or affiliate has been subject to suspension or debarment by any federal, state, or municipal governmental authority, or the subject of criminal prosecution, or convicted of a criminal offense within the previous 5 years. If "Yes," provide details below.
- N 2. State whether the Bidder, or any officer, director, manager, stockholder, member, partner, or other owner or principal of the Bidder or any parent, subsidiary, or affiliate has had any contracts with a federal, state, or municipal governmental authority terminated for any reason within the previous 5 years. If "Yes," provide details below.
- N 3. State whether the Bidder, or any officer, director, manager, stockholder, member, partner, or other owner or principal of the Bidder or any parent, subsidiary, or affiliate has been fined more than \$5000 for violation(s) of any Rhode Island environmental law(s) by the Rhode Island Department of Environmental Management within the previous 5 years. If "Yes," provide details below.
- N 4. State whether any officer, director, manager, stockholder, member, partner, or other owner or principal of the Bidder is serving or has served within the past two calendar years as either an appointed or elected official of any state governmental authority or quasi-public

corporation, including without limitation, any entity created as a legislative body or public or state agency by the general assembly or constitution of this state.

Disclosure details (continue on additional sheet if necessary):

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### SECTION 3 - OWNERSHIP DISCLOSURE

**Bidders must provide all relevant information. Bid proposals submitted without a complete response may be deemed nonresponsive.**

If the Bidder is publicly held, the Bidder may provide owner information about only those stockholders, members, partners, or other owners that hold at least 10% of the record or beneficial equity interests of the Bidder; otherwise, complete ownership disclosure is required.

List each officer, director, manager, stockholder, member, partner, or other owner or principle of the Bidder, and each intermediate parent company and the ultimate parent company of the Bidder. For each individual, provide his or her name, business address, principal occupation, position with the Bidder, and the percentage of ownership, if any, he or she holds in the Bidder, and each intermediate parent company and the ultimate parent company of the bidder.

*There are no stockholders or other owners with more than 10% equity interest*

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### SECTION 4 - CERTIFICATIONS

**Bidders must respond to every statement. Bid proposals submitted without a complete response may be deemed nonresponsive.**

Indicate "Y" (Yes) or "N" (No), and if "No," provide details below.

**THE BIDDER CERTIFIES THAT:**

- 1. The Bidder will immediately disclose, in writing, to the State Purchasing Agent any potential conflict of interest which may occur during the term of any contract awarded pursuant to this solicitation.
- 2. The Bidder possesses all licenses and anyone who will perform any work will possess all licenses required by applicable federal, state, and local law necessary to perform the requirements of any contract awarded pursuant to this solicitation and will maintain all required licenses during the term of any contract awarded pursuant to this solicitation. In the event that any required license shall lapse or be restricted or suspended, the Bidder shall immediately notify the State Purchasing Agent in writing.
- 3. The Bidder will maintain all required insurance during the term of any contract pursuant to this solicitation. In the event that any required insurance shall lapse or be canceled, the Bidder will immediately notify the State Purchasing Agent in writing.
- 4. The Bidder understands that falsification of any information in this bid proposal or failure to notify the State Purchasing Agent of any changes in any disclosures or certifications in this Bidder Certification may be grounds for suspension, debarment, and/or prosecution for fraud.
- 5. The Bidder has not paid and will not pay any bonus, commission, fee, gratuity, or other remuneration to any employee or official of the State of Rhode Island or any subdivision of the State of Rhode Island or other governmental authority for the purpose of obtaining an award of a contract pursuant to this solicitation. The Bidder further certifies that no bonus, commission, fee, gratuity, or other

remuneration has been or will be received from any third party or paid to any third party contingent on the award of a contract pursuant to this solicitation.

✓

6. This bid proposal is not a collusive bid proposal. Neither the Bidder, nor any of its owners, stockholders, members, partners, principals, directors, managers, officers, employees, or agents has in any way colluded, conspired, or agreed, directly or indirectly, with any other bidder or person to submit a collusive bid proposal in response to the solicitation or to refrain from submitting a bid proposal in response to the solicitation, or has in any manner, directly or indirectly, sought by agreement or collusion or other communication with any other bidder or person to fix the price or prices in the bid proposal or the bid proposal of any other bidder, or to fix any overhead, profit, or cost component of the bid price in the bid proposal or the bid proposal of any other bidder, or to secure through any collusion, conspiracy, or unlawful agreement any advantage against the State of Rhode Island or any person with an interest in the contract awarded pursuant to this solicitation. The bid price in the bid proposal is fair and proper and is not tainted by any collusion, conspiracy, or unlawful agreement on the part of the Bidder, its owners, stockholders, members, partners, principals, directors, managers, officers, employees, or agents.

✓  
✓

7. The Bidder: (i) is not identified on the General Treasurer's list created pursuant to R.I. Gen. Laws § 37-2.5-3 as a person or entity engaging in investment activities in Iran described in § 37-2.5-2(b); and (ii) is not engaging in any such investment activities in Iran.

8. The Bidder will comply with all of the laws that are incorporated into and/or applicable to any contract with the State of Rhode Island.

Certification details (continue on additional sheet if necessary):

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Submission by the Bidder of a bid proposal pursuant to this solicitation constitutes an offer to contract with the State of Rhode Island through the Division of Purchases on the terms and conditions contained in this solicitation and the bid proposal. The Bidder certifies that: (1) the Bidder has reviewed this solicitation and agrees to comply with its terms and conditions; (2) the bid proposal is based on this solicitation; and (3) the information submitted in the bid proposal (including this Bidder Certification Cover Form) is accurate and complete. The Bidder acknowledges that the terms and conditions of this solicitation and the bid proposal will be incorporated into any contract awarded to the Bidder pursuant to this solicitation and the bid proposal. The person signing below represents, under penalty of perjury, that he or she is fully informed regarding the preparation and contents of this bid proposal and has been duly authorized to execute and submit this bid proposal on behalf of the Bidder.

**BIDDER**

Date: 5/2/2016

JANSON CONTROLS  
Name of Bidder  
Robert J. Steele  
Signature in ink  
ROBERT J. STEELE  
Printed name and title of person signing on behalf of Bidder  
BRANCH GENERAL MANAGER



STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS

Department of Administration  
DIVISION OF PURCHASES  
One Capitol Hill  
Providence, RI 02908-5855

Tel: (401) 574-8100  
Fax: (401) 574-8387  
Website: [www.purchasing.ri.gov](http://www.purchasing.ri.gov)

March 23, 2016

**ADDENDUM NUMBER TWO**

**RFQ # 7550354**

**TITLE: HVAC Maintenance and Repairs, Davies Career & Technical High School**

**Closing Date and Time: 4/1/16 at 11:00 AM**

**Per the issuance of this ADDENDUM # (2), 2 pages, including this cover sheet.**

**Specification Change /Addition / Clarifications**

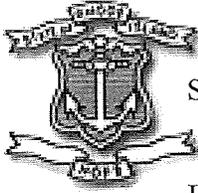
**Shown below are the Questions received and the Responses to those questions.**

Question 1. In Part 1 section B and C

It states that the bidding contractor shall be regularly engaged in the manufacture, installation, repair and maintenance of pneumatic, electronic and digital control systems as manufactured by Honeywell, Trane and Johnson Controls.

Please clarify that the list of manufacture, installation, repair and maintenance means we have to be a manufacturer – or does it mean one of these items. We install, repair and maintain Johnson Controls and are certified, but we do not manufacture.

Answer 1. It would be considered responsive for the contractor to be certified to install, repair and maintain Johnson Controls equipment, Honeywell equipment, and Trane equipment. The respondent does not have to manufacture the equipment. However, due to the fact that there is equipment within the facility that is



STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS

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Website: [www.purchasing.ri.gov](http://www.purchasing.ri.gov)

made by Johnson Controls, Honeywell and Trane, respondents must be certified to maintain equipment of all three manufacturers.

Question 2: Please clarify that in section C – Certified by a minimum of one of the three listed manufacturers – do those manufacturers refer to the about B section or is there another list of manufacturers that this references?

Answer 2. For clarification purposes, a responsive contractor shall have in its direct employ technicians/mechanics that are authorized and certified to work on a minimum of one of the three manufacturers' equipment as referenced in Part 1 Section B (Johnson Controls, Honeywell, and Trane). It must be noted, however, that a responsive contractor must have the ability to supply technicians (if certified technicians for all three manufacturers are not in the direct employ) that are certified to install, repair and maintain equipment so as to be able to respond to all calls that relate to equipment that is part of this preventative maintenance agreement.

Question 3. Is there a walk-through before the bid opens?

Answer 3. There is no mandatory nor non-mandatory scheduled pre-bid walkthrough. Vendors may, however, request the opportunity to do a walkthrough by contacting Facilities Coordinator, Frank Engels, any time prior to the bid submission deadline.

Question 4. Is this a prevailing wage job?

**Answer 4. : Yes**

Question 5. When it asks for monthly along with total, is it still semi-annual?

**Answer 5. All prices are being requested to be quoted as monthly pricing (annual fee divided by 12). The frequency with which scheduled routine maintenance is to be performed is as described within the detailed bid specification. Furthermore, it should be noted that this is a full parts and labor preventative maintenance contract. Therefore, all service requests and needs outside of (and in addition to) any normal routine maintenance will be included within the vendor's submitted pricing.**

Question 6. Is there pneumatic controls?

**Answer 6. : Yes**

State of Rhode Island and Providence Plantations  
Department of Administration  
Division of Purchases

**RIVIP BIDDER CERTIFICATION COVER FORM**  
**SECTION 1 - BIDDER INFORMATION**

*Bidder must be registered as a vendor on the RIVIP system at [www.purchasing.ri.gov](http://www.purchasing.ri.gov) to submit a bid proposal.*

**Solicitation Number:** 7550354A3  
**Solicitation Title:** HVAC MAINTENANCE & REPAIRS, DAVIES CAREER & TECHNICAL HIGH SCHOOL - ADD #3 (1 PG)  
**Bid Proposal Submission Deadline Date & Time:** 5/3/2016 11:00 AM  
**RIVIP Vendor ID #:** 71923  
**Bidder Name:** Johnson Controls  
**Address:** 6 Blackstone Valley Place  
Suite 202  
Lincoln , RI 02865  
USA  
**Telephone:** 4012356726  
**Fax:**  
**Contact Name:** John Sullivan  
**Contact Title:** ServiceBranchManager  
**Contact Email:** john.c.sullivan@jci.com

**SECTION 2 - DISCLOSURES**

**Bidders must respond to every statement. Bid proposals submitted without a complete response may be deemed nonresponsive.**

*Indicate "Y" (Yes) or "N" (No) for Disclosures 1-4, and if "Yes," provide details below*

- N 1. State whether the Bidder, or any officer, director, manager, stockholder, member, partner, or other owner or principal of the Bidder or any parent, subsidiary, or affiliate has been subject to suspension or debarment by any federal, state, or municipal governmental authority, or the subject of criminal prosecution, or convicted of a criminal offense within the previous 5 years. If "Yes," provide details below.
- N 2. State whether the Bidder, or any officer, director, manager, stockholder, member, partner, or other owner or principal of the Bidder or any parent, subsidiary, or affiliate has had any contracts with a federal, state, or municipal governmental authority terminated for any reason within the previous 5 years. If "Yes," provide details below.
- N 3. State whether the Bidder, or any officer, director, manager, stockholder, member, partner, or other owner or principal of the Bidder or any parent, subsidiary, or affiliate has been fined more than \$5000 for violation(s) of any Rhode Island environmental law(s) by the Rhode Island Department of Environmental Management within the previous 5 years. If "Yes," provide details below.
- N 4. State whether any officer, director, manager, stockholder, member, partner, or other owner or principal of the Bidder is serving or has served within the past two calendar years as either an appointed or elected official of any state governmental authority or quasi-public

corporation, including without limitation, any entity created as a legislative body or public or state agency by the general assembly or constitution of this state.

Disclosure details (continue on additional sheet if necessary):

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### SECTION 3 - OWNERSHIP DISCLOSURE

**Bidders must provide all relevant information. Bid proposals submitted without a complete response may be deemed nonresponsive.**

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*There are no stockholders or other owners that have more than 10% equity interest*

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### SECTION 4 - CERTIFICATIONS

**Bidders must respond to every statement. Bid proposals submitted without a complete response may be deemed nonresponsive.**

Indicate "Y" (Yes) or "N" (No), and if "No," provide details below.

#### THE BIDDER CERTIFIES THAT:

1. The Bidder will immediately disclose, in writing, to the State Purchasing Agent any potential conflict of interest which may occur during the term of any contract awarded pursuant to this solicitation.
2. The Bidder possesses all licenses and anyone who will perform any work will possess all licenses required by applicable federal, state, and local law necessary to perform the requirements of any contract awarded pursuant to this solicitation and will maintain all required licenses during the term of any contract awarded pursuant to this solicitation. In the event that any required license shall lapse or be restricted or suspended, the Bidder shall immediately notify the State Purchasing Agent in writing.
3. The Bidder will maintain all required insurance during the term of any contract pursuant to this solicitation. In the event that any required insurance shall lapse or be canceled, the Bidder will immediately notify the State Purchasing Agent in writing.
4. The Bidder understands that falsification of any information in this bid proposal or failure to notify the State Purchasing Agent of any changes in any disclosures or certifications in this Bidder Certification may be grounds for suspension, debarment, and/or prosecution for fraud.
5. The Bidder has not paid and will not pay any bonus, commission, fee, gratuity, or other remuneration to any employee or official of the State of Rhode Island or any subdivision of the State of Rhode Island or other governmental authority for the purpose of obtaining an award of a contract pursuant to this solicitation. The Bidder further certifies that no bonus, commission, fee, gratuity, or other

remuneration has been or will be received from any third party or paid to any third party contingent on the award of a contract pursuant to this solicitation.

Y

6. This bid proposal is not a collusive bid proposal. Neither the Bidder, nor any of its owners, stockholders, members, partners, principals, directors, managers, officers, employees, or agents has in any way colluded, conspired, or agreed, directly or indirectly, with any other bidder or person to submit a collusive bid proposal in response to the solicitation or to refrain from submitting a bid proposal in response to the solicitation, or has in any manner, directly or indirectly, sought by agreement or collusion or other communication with any other bidder or person to fix the price or prices in the bid proposal or the bid proposal of any other bidder, or to fix any overhead, profit, or cost component of the bid price in the bid proposal or the bid proposal of any other bidder, or to secure through any collusion, conspiracy, or unlawful agreement any advantage against the State of Rhode Island or any person with an interest in the contract awarded pursuant to this solicitation. The bid price in the bid proposal is fair and proper and is not tainted by any collusion, conspiracy, or unlawful agreement on the part of the Bidder, its owners, stockholders, members, partners, principals, directors, managers, officers, employees, or agents.

Y

7. The Bidder: (i) is not identified on the General Treasurer's list created pursuant to R.I. Gen. Laws § 37-2.5-3 as a person or entity engaging in investment activities in Iran described in § 37-2.5-2(b); and (ii) is not engaging in any such investment activities in Iran.

Y

8. The Bidder will comply with all of the laws that are incorporated into and/or applicable to any contract with the State of Rhode Island.

Certification details (continue on additional sheet if necessary):

Multiple horizontal lines for certification details.

Submission by the Bidder of a bid proposal pursuant to this solicitation constitutes an offer to contract with the State of Rhode Island through the Division of Purchases on the terms and conditions contained in this solicitation and the bid proposal. The Bidder certifies that: (1) the Bidder has reviewed this solicitation and agrees to comply with its terms and conditions; (2) the bid proposal is based on this solicitation; and (3) the information submitted in the bid proposal (including this Bidder Certification Cover Form) is accurate and complete. The Bidder acknowledges that the terms and conditions of this solicitation and the bid proposal will be incorporated into any contract awarded to the Bidder pursuant to this solicitation and the bid proposal. The person signing below represents, under penalty of perjury, that he or she is fully informed regarding the preparation and contents of this bid proposal and has been duly authorized to execute and submit this bid proposal on behalf of the Bidder.

BIDDER

Date: 5/2/2016

JOHNSON CONTROLS  
Name of Bidder

Robert J. Steere  
Signature in ink

ROBERT J. STEERE  
Printed name and title of person signing on behalf of Bidder

BRANCH GENERAL MANAGER



STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS

Department of Administration  
DIVISION OF PURCHASES  
One Capitol Hill  
Providence, RI 02908-5855

Tel: (401) 574-8100  
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March 30, 2016

**ADDENDUM NUMBER THREE**

**RFQ # 7550354**

**TITLE: HVAC Maintenance and Repairs, Davies Career & Technical High School**

**Closing Date and Time: 5/3/16 at 11:00 AM (note change)**

**Per the issuance of this ADDENDUM # (3), 1 page, including this cover sheet.**

**The State needs additional time to collect information for corrections to the listed covered equipment. Therefore this bid closing date and time has been extended.**

**Please be advised the Bid Closing Date and Time has been extended:**

**From: 4/1/16 at 11:00 AM**

**To: 5/3/16 at 11:00 AM**

Questions concerning this solicitation must be received by the Division of Purchases at: [doa.purconstruction@purchasing.ri.gov](mailto:doa.purconstruction@purchasing.ri.gov) no later than April 20, 2016 at 5:00 PM (ET). Questions should be submitted in a Microsoft Word attachment. Please reference the RFQ# 7550354 on all correspondence. Questions received, if any, will be posted on the Internet as an addendum to this solicitation. It is the responsibility of all interested parties to download this information.

State of Rhode Island and Providence Plantations  
Department of Administration  
Division of Purchases

**RIVIP BIDDER CERTIFICATION COVER FORM**  
**SECTION 1 - BIDDER INFORMATION**

*Bidder must be registered as a vendor on the RIVIP system at [www.purchasing.ri.gov](http://www.purchasing.ri.gov) to submit a bid proposal.*

**Solicitation Number:** 7550354A4  
**Solicitation Title:** MAINTENANCE AND REPAIRS, DAVIES CAREER & TECHNICAL HIGH SCHOOL (7 PGS)

**Bid Proposal Submission  
Deadline Date & Time:** 5/3/2016 11:00 AM

**RIVIP Vendor ID #:** 71923  
**Bidder Name:** Johnson Controls  
**Address:** 6 Blackstone Valley Place  
Suite 202  
Lincoln , RI 02865  
USA

**Telephone:** 4012356726  
**Fax:**  
**Contact Name:** John Sullivan  
**Contact Title:** ServiceBranchManager  
**Contact Email:** john.c.sullivan@jci.com

**SECTION 2 - DISCLOSURES**

**Bidders must respond to every statement. Bid proposals submitted without a complete response may be deemed nonresponsive.**

*Indicate "Y" (Yes) or "N" (No) for Disclosures 1-4, and if "Yes," provide details below*

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- N 2. State whether the Bidder, or any officer, director, manager, stockholder, member, partner, or other owner or principal of the Bidder or any parent, subsidiary, or affiliate has had any contracts with a federal, state, or municipal governmental authority terminated for any reason within the previous 5 years. If "Yes," provide details below.
- N 3. State whether the Bidder, or any officer, director, manager, stockholder, member, partner, or other owner or principal of the Bidder or any parent, subsidiary, or affiliate has been fined more than \$5000 for violation(s) of any Rhode Island environmental law(s) by the Rhode Island Department of Environmental Management within the previous 5 years. If "Yes," provide details below.
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corporation, including without limitation, any entity created as a legislative body or public or state agency by the general assembly or constitution of this state.

Disclosure details (continue on additional sheet if necessary):

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### SECTION 3 - OWNERSHIP DISCLOSURE

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*There are no owners, stockholders or other owners that own more than a 10% equity interest*

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### SECTION 4 - CERTIFICATIONS

**Bidders must respond to every statement. Bid proposals submitted without a complete response may be deemed nonresponsive.**

Indicate "Y" (Yes) or "N" (No), and if "No," provide details below.

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BIDDER

Date: 5/2/2016

JOHNSON CONTROLS

Name of Bidder

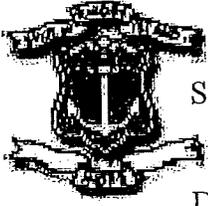
Robert J. Steble

Signature in ink

ROBERT J. STEBLE

Printed name and title of person signing on behalf of Bidder

BRANCH GENERAL MANAGER



STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS

Department of Administration  
DIVISION OF PURCHASES  
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Tel: (401) 574-8100  
Fax: (401) 574-8387  
Website: [www.purchasing.ri.gov](http://www.purchasing.ri.gov)

April 14, 2016

**ADDENDUM NUMBER FOUR**

**RFQ # 7550354**

**TITLE: HVAC Maintenance and Repairs, Davies Career & Technical High School**

**Closing Date and Time: 5/3/16 at 11:00 AM**

**Per the issuance of this ADDENDUM # (4), 7 pages, including this cover sheet.**

**Specification Change /Addition / Clarifications**

Attached is the Amended HVAC Specifications that were revised within Section J.2. (Old Building) for corrections necessary to the listed equipment.

**NOTE: Vendors are not required to fill in the pricing lines on the attached pages. Please be sure to complete your pricing on the original Request for Quote form posted with the original Invitation to Bid. (25 line items).**

**William M. Davies, Jr. Career & Technical High School**  
**Bid Procurement - HVAC Preventative Maintenance**  
**July 1, 2016 through June 30, 2019**  
**(with option to renew for two additional years)**  
**Amended on 4-11-16 for correction to scheduled equipment (\*\*)**

**PART I.**

The William M. Davies Jr. Career & Technical High School is soliciting bids for a **FULL SERVICE MAINTENANCE CONTRACT ON ALL AIR CONDITIONING, HEATING, VENTILATING, AND EXHAUST FAN UNITS** as outlined below:

- A. THE FULL SERVICE MAINTENANCE CONTRACT SHALL EXCEED ALL EXISTING WARRANTIES. THE VENDOR IS TO SUPPLY ALL LABOR, PARTS AND TOOLS TO ACCOMPLISH ALL SERVICING AND MAINTENANCE ON ALL LISTED UNITS.**
- B. THE BIDDING CONTRACTOR SHALL BE REGULARLY ENGAGED IN THE MANUFACTURE, INSTALLATION, REPAIR AND MAINTENANCE OF PNEUMATIC, ELECTRONIC AND DIGITAL CONTROL SYSTEMS AS MANUFACTURED BY HONEYWELL, TRANE, AND JOHNSON CONTROLS**
- C. BIDDING CONTRACTORS SHALL RETAIN IN DIRECT EMPLOY TECHNICIANS /MECHANICS AUTHORIZED AND CERTIFIED BY A MINIMUM OF ONE OF THE THREE LISTED MANUFACTURERS TO INSURE THE OWNER SHALL GET PROMPT RESPONSIVE SERVICE TO ANY SERVICE CALL. WRITTEN PROOF OF MANUFACTURER CERTIFICATIONS/AUTHORIZATION SHALL BE SUBMITTED WITH THE BID.**
- D. THE BIDDING CONTRACTOR SHALL RETAIN A 24 HOUR 7 DAY EMERGENCY SERVICE OPERATIONS CENTER, MANNED BY PERSONNEL IN THE DIRECT EMPLOY OF THE CONTRACTOR, TO INSURE PROMPT RESPONSE TO EMERGENCY REQUESTS. TELEPHONE ANSWERING MACHINES AND SUB-CONTRACTED SERVICES DO NOT QUALIFY.**
- E. THE CONTRACTOR MUST BE LICENSED IN THE STATE OF RHODE ISLAND TO PERFORM PNEUMATIC, ELECTRICAL, STEAMFITTING, HVAC AND REFRIGERATION WORK. COPIES OF LICENSES SHALL BE SUBMITTED WITH THE BID.**
- F. MAINTENANCE AGREEMENT SHALL CONSIST OF TWO (2) VISITS PER YEAR (1 PRE-SEASON COOLING AND 1 PRE-SEASON HEATING). ALL FAN BELTS SHALL BE CHANGED ONCE PER YEAR ON A SCHEDULED ANNUAL BASIS. ALL FILTERS ARE TO BE CHANGED THREE (3) TIMES PER YEAR, DURING AUGUST, DECEMBER, AND APRIL. ---NOTE FILTERS ARE TO BE AT LEAST MERV-8 OR GREATER---ROOF TOP UNITS MERV 10.**

**G. INCLUDED IN MONTHLY MAINTENANCE PRICE SHALL BE THE MATERIAL AND LABOR FOR THE REPLACEMENT OF UP TO (10) FAILING CIRCUIT SETTERS AND/OR DIELECTRIC UNIONS PER YEAR.**

**H. RATES FOR ITEMS A-G ABOVE ARE TO BE INCLUDED IN BIDDERS MONTHLY PRICING.**

**I. CONTRACTOR IS TO RESPOND TO TELEPHONE NOTIFICATION FROM THE FACILITES MANAGER, MAINTENANCE TECHNICIAN OR DIRECTOR/PRINCIPAL WITHIN FOUR (4) HOURS FOR AN EMERGENCY AND WITHIN TWENTY-FOUR (24) HOURS FOR NORMAL SERVICE.**

**J. EQUIPMENT SCHEDULE FOR THIS CONTRACT:**

**1. MODULAR BUILDING:**

**9 TRANE 3T S/SHEAT PUMPS  
1 CARRIER 3T S/S HEAT PUMP  
2 ELECTRIC HALLWAY HEATERS  
3 EXHAUST FANS**

**2. OLD BUILDING:**

**14 MCQUAY UNIT VENTILATORS (OFFICES-GUIDANCE & SCHOOL- TO-CAREER)  
12 AAF COIL ELECTRIC HEAT (CORRIDORS)  
25 EXHAUST FANS  
1 TRANE RTU 10 TON UNIT (OVER THE STUDENT MANAGEMENT AREA) ELECTRIC HEATING & COOLING  
1 HV-2 STORAGE ROOM NEAR GYM  
1 TRANE RTU 7 TON UNIT ELECTRIC HEAT (LECTURE HALL)  
3 COMFORT AIRE/MSI 3T S/S (VARIOUS LOCATIONS)  
4 MSI HORIZONTAL HEATERS (MACHINE SHOP)  
\*\*14 TRANE UNIT VENTILATORS (CLASSROOMS)  
\*\*14 TRANE XB13 COOLING UNITS  
11 NESBITT AIRE MODULAR UNIT VENTILATORS HEAT PUMPS (CLASSROOMS)  
\*\*4 SANYO INVERTER COOLING UNITS  
\*\*1 FUJITSU FLEX INVERTER WALL UNIT  
9 UV CEILING UNITS - SERIES 6000  
4 UV END PANESL  
1 ROOFPK DRAW-THRU AIR HANDLER  
1 SINGLE ZONE DRAW – AIR HANDLER  
6 INTAKE LOUVERS WITH VERTICLE BLADES  
2 AIR-COOLED CONDENSING UNITS  
12 S/S CONDENSING UNITS  
3 MCQUAY CABINET UNIT HEATERS  
1 ROOFTOP A/C  
1 ECONOMIZER  
15 EXHAUST FANS**

**K. TOTAL MAINTENANCE COST PER MONTH FOR ALL UNITS ABOVE:**

RATE/MONTH 07/01/16 – 06/30/17 \$ \_\_\_\_\_  
RATE/MONTH 07/01/17 – 06/30/18 \$ \_\_\_\_\_  
RATE/MONTH 07/01/18 – 06/30/19 \$ \_\_\_\_\_

**Option pricing for two additional years:**

RATE/MONTH 07/01/19 – 06/30/20 \$ \_\_\_\_\_  
RATE/MONTH 07/01/20 – 06/30/21 \$ \_\_\_\_\_

**L. NEW BUILDING EQUIPMENT SCHEDULE FOR MAINTENANCE:  
(THE NEW BUILDING IS A HOT WATER – GLYCOL PROTECTED SYSTEM).**

- 10 AIR HANDLING UNITS ON ROOF MCQUAY CONSISTING OF:  
(HV-1,HV-2,HV-3,HV-4,HV-5,HV-6, HVAC -7, HVAC-8, HVAC-9, HVAC-10,
- 1 HASTING HEATING UNIT OVER KITCHENS,
- 1 TITAN MAKEUP AIR UNIT ( TITAN AIR INC.)
- 1 FREDRICH SMALL AIR CONDITONER ROOF OF CAFETEIRIA
- 1 HVAC-1 BASMENT NEAR CULINARY STORAGE
- 5 CONDENSING UNITS W/MULTPLE FANS
- 23 UNIT VENTILATORS (CLASSROOMS) MCQUAY
- 10 EXHAUST FANS
- 2 100% OUTDOOR MAKE-UP AIR UNITS
- 1 S/S IN AUTOBODY THEROY ROOM
- 1 DEHUMIDIFIER IN BASEMENT
- 1 MCQUAY CHILLER UNIT (EXTERIOR OF BUILDING)
- 2 MULTI-CITY MITUBISHI ELECTIRC WALL HUNG HEATING AND COOLING UNITS – (ANNEX BUILDING)

**M. TOTAL COST PER MONTH INCLUDING ALL OF THE ABOVE UNITS:**

RATE/MONTH 07/01/16 – 06/30/17 \$ \_\_\_\_\_  
RATE/MONTH 07/01/17 – 06/30/18 \$ \_\_\_\_\_  
RATE/MONTH 07/01/18 – 06/30/19 \$ \_\_\_\_\_

**Option pricing for two additional years:**

RATE/MONTH 07/01/19 – 06/30/20 \$ \_\_\_\_\_  
RATE/MONTH 07/01/20 – 06/30/21 \$ \_\_\_\_\_

**N. NOT INCLUDED IN CONTRACT WILL BE EXISTING POWER, WIRING DISCONNECTS AT ALL UNITS, CABINETS, HYDRONIC PIPING, OR STRCTURAL SUPPORTS.**

**O. RATE FOR AUTOHRIZED WORK NOT COVERED UN THE FULL SERVICE MAINTENANCE CONTRACT:**

**RATE/HOUR ONSITE**  
07/01/16 – 06/30/17           \$ \_\_\_\_\_

**RATE/HOUR ONSITE**  
07/01/17 – 06/30/18           \$ \_\_\_\_\_

**RATE/HOUR ONSITE**  
07/01/18 – 06/30/19           \$ \_\_\_\_\_

**Option pricing for two additional years:**

**RATE/HOUR ONSITE**  
07/01/12 – 06/30/13           \$ \_\_\_\_\_

**RATE/HOUR ONSITE**  
07/01/13 – 06/30/14           \$ \_\_\_\_\_

**P. OVERTIME RATE PER HOUR ONSITE**

07/01/16 – 06/30/17           \$ \_\_\_\_\_  
07/01/17- 06/30/18           \$ \_\_\_\_\_  
07/01/18 - 06/30/19           \$ \_\_\_\_\_

**Option pricing for two additional years:**

07/01/19 - 06/30/20           \$ \_\_\_\_\_  
07/01/20 - 06/30/21           \$ \_\_\_\_\_

**Q. HOURS OF OVERTIME APPLIES TO:**

**4:30PM TO 8:00AM**

**PART II:**

**A. BID IS ALSO FOR A THREE-YEAR (WITH OPTION TO RENEW FOR TWO ADDITIONAL YEARS) PLANNED FULL PREMIUM SERVICES AGREEMENT ON THE JOHNSON CONTROLS**

**“METASYS SYSTEM” AND ALL ASSOCIATED SERVICE DEVICES OF AUTOMATIC TEMPERATURE CONTROLS AND FACILITY MANAGEMENT SYSTEMS, AS INSTALLED IN THE NEW BUILDING OF THE DAVIES FACILITY, AND TEMPERATURE CONTROLS OF NEW McQUAY HEATING UNITS INSTALLED IN THE GUIDANCE, SCHOOL-TO-CAREER OFFICES IN THE OLD BUILDING, AND LECTURE HALL AND STUDENT MANAGEMENT AREA.**

**B. MONTHLY COST FOR SERVICES IN ITEM A ABOVE:**

RATE/MONTH 07/01/16 – 06/30/17 \$ \_\_\_\_\_

RATE/MONTH 07/01/17- 06/30/18 \$ \_\_\_\_\_

RATE/MONTH 07/01/18 - 06/30/19 \$ \_\_\_\_\_

**Option pricing for two additional years:**

RATE/MONTH 07/01/19 - 06/30/20 \$ \_\_\_\_\_

RATE/MONTH 07/01/20 - 06/30/21 \$ \_\_\_\_\_

**C. THE FOLLOWING FILTERS AND BELTS LISTING IS PROVIDED FOR ADDITIONAL INFORMATIONAL PURPOSES.**

**LIST OF FILTER & BELTS**

**\*\*\*\*FILTERS TO BE CHANGED THREE (3) TIME PER YEAR\*\*\*\***

**NOTE: ALL FILTERS ARE TO BE MERV-8 OR GREATER AND MERV-10 ON ROOF TOP UNITS WHERE APPLICABLE: (VENDOR TO VERIFY)**

- (16) 6”x 6” STEEL WAHSABLE FILTERS
- (50) 25” x 10” x ¼” WASHABLE FILTERS
- (15) 33” x 8” x 1” ROLL CUT FIBERGLASS
- (7) 64” x 8” x 1” (3 CHANGES /YR)
- (4) 32” x 8” x 1” (3 CHANGES/YR)
- (2) 45” x 8” x 1” (3 CHANGES/YR)
- (17) 12”x 42” x 1” (3 CHANGES/YR)
- (7) 23 ½” x 23 ½” x ½” (3 CHANGES/YR)
- (7) 23 ½” x 23 ½” x 11 ½” (3 CHANGES/YR)
- (18) 10” x 60” x 1”
- 10” x 22” x 1”
- 10” x 48” x 1”
- 10” x 36” x 1”
- 16” x 25” x 2” ( 210 /YR)
- 16” x 20” x 2” ( 180/YR)

- 25" x 20" x 2" ( 78/YR)
- 20" x 20" x 2" (36/YR)
- 24" x 20" x 1" ( 3/YR)

**BELTS FOR MOTORS: (VENDOR TO VERIFY)**

- (3) 4L350
- (2) 4L410
- (2) A55
- (4) 4L330
- (5) 3L310
- (2) 4L320
- (1) A63
- (1) 4L470
- (1) A60

**THIS IS A MULTI-YEAR BID/CONTRACT SOLICITATION. CONTINUATION OF THE CONTRACT BEYOND THE INITIAL FISCAL YEAR WILL BE AT THE DISCRETION OF THE STATE OF RHODE ISLAND. TERMINATION MAY BE AFFECTED BY THE STATE BASED UPON DETERMINING FACTORS SUCH AS: UNSATISFACTORY PERFORMANCE, DETERMINATION BY THE STATE FOR THE NEED TO DISCONTINUE GOODS/SERVICES, REVISION OF THE SCOPE, OWNER DETERMINATIONS THAT MAY PRECLUDE THE NEED FOR GOODS/SERVICES, AS WELL AS THE AVAILABILITY OF FUNDS.**



**DELEGATION OF AUTHORITY**

The undersigned, President of Johnson Controls, Inc., a Wisconsin corporation (the "Company"), pursuant to the authority vested in him by a certain resolution adopted by the Board of Directors of the Company on January 23, 1980 hereby authorizes

**Robert J. Steele**  
**Branch General Manager**

(hereinafter, the "Delegate") to perform, on behalf of the Company, the acts described below:

To execute and deliver any and all contracts for the performance of work, sale of goods, and furnishing of services, and any other instruments in connection therewith and in the ordinary course of business.

This authority does not extend to:

- a. the execution of surety, performance or bid bonds;
- b. the collection, receipt and recovery of monies due or to become due to the Company and the issuance of receipts and releases for the payment thereof;
- c. the signing of any notes, contracts, or any other agreement to borrow money in the name of the Company, or any form of guaranty for the payment or performance of obligations of any subsidiary, affiliate, or joint venture of the Company; or
- d. the signing, on behalf of the Company, of any deeds, abstracts, offers to purchase or any other instruments pertaining to the purchase or sale of real property.

Any actions taken by such Delegate within the scope of acts authorized herein taken between the date of expiration of any prior delegation of authority and the date hereof are hereby ratified, confirmed and approved as the acts and deeds of this Company.

**This authority shall remain in full force and effect through July 15, 2016.**

Signed at Milwaukee, Wisconsin, this 16<sup>th</sup> day of July, 2015.

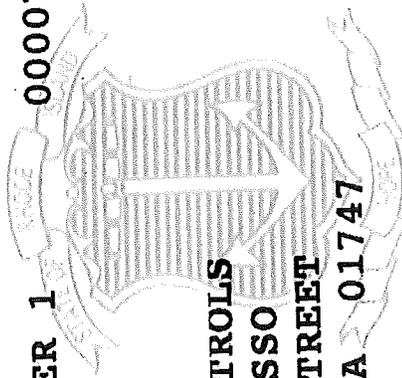
Alex A. Molinaroli, *President*

ATTEST:

  
Brian J. Cadwallader, *Secretary*

State of Rhode Island and Providence Plantations  
Rhode Island Department of Labor and Training

REFRIG/MASTER 1 00007906



JOHNSON CONTROLS  
ADRIAN A RUSSO  
38 MELLEEN STREET  
HOPEDALE MA 01747

~~JOHN SHAW~~  
Administrator  
~~11/30/2016~~  
Expiration Date

Name: LANCE E SMITH

Address: 108 LEGION WAY  
RIVERSIDE, RI 02915

Telephone: (401) 533-9945

Company:

Expiration Date: 12/31/2017

License Number	Description	Issue Date
license 1: 00000000	REFRIGERATION/JOURNEY 1	11/30/2006
license 2:		
license 3:		
license 4:		

[Return to Previous Screen](#)

State of Rhode Island and Providence Plantations  
Rhode Island Department of Labor and Training

REFRIG/JOURNEY 1 - RI1



MICHAEL R O'REILLY  
315 NORTH MAIN ST  
NORTH SMITHFIELD RI 02896

~~JOHN SHAW~~ Administrator ~~10/31/2017~~  
Expiration Date



# CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)  
03/30/2016

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

**IMPORTANT:** If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

<b>PRODUCER</b> Marsh USA Inc. 411 E. Wisconsin Avenue Suite 1300 Milwaukee, WI 53202 Attn: JCI.Certrequest@marsh.com 011077--CAS-15-16*	<b>CONTACT NAME:</b> PHONE (A/C, No, Ext): E-MAIL ADDRESS:		<b>FAX (A/C, No):</b>
	<b>INSURER(S) AFFORDING COVERAGE</b>		
<b>INSURED</b> Johnson Controls, Inc. York International Corporation Attn: Corp. Risk Mgmt. X-92 P.O. Box 591 5757 N. Green Bay Ave. Milwaukee, WI 53201	<b>INSURER A :</b> Old Republic Insurance Company		NAIC # 24147
	<b>INSURER B :</b> North American Elite Insurance Company		29700
	<b>INSURER C :</b> Indemnity Insurance Company of North America		43575
	<b>INSURER D :</b> ACE American Insurance Company		22667
	<b>INSURER E :</b> ACE Fire Underwriters Insurance Company		20702
	<b>INSURER F :</b>		

**COVERAGES**      **CERTIFICATE NUMBER:** CHI-006564663-01      **REVISION NUMBER:** 2

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSD	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS		
A	<b>COMMERCIAL GENERAL LIABILITY</b> <input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR <input checked="" type="checkbox"/> Contractual Liability <input checked="" type="checkbox"/> XCU Included GEN'L AGGREGATE LIMIT APPLIES PER: <input checked="" type="checkbox"/> POLICY <input type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC OTHER:			MWZY305447	10/01/2015	10/01/2016	EACH OCCURRENCE	\$ 10,000,000	
							DAMAGE TO RENTED PREMISES (Ea occurrence)	\$ 10,000,000	
								MED EXP (Any one person)	\$ 50,000
								PERSONAL & ADV INJURY	\$ 10,000,000
D	<b>AUTOMOBILE LIABILITY</b> <input checked="" type="checkbox"/> ANY AUTO <input type="checkbox"/> ALL OWNED AUTOS <input checked="" type="checkbox"/> HIRED AUTOS <input type="checkbox"/> SCHEDULED AUTOS <input checked="" type="checkbox"/> NON-OWNED AUTOS			ISA H08860373	10/01/2015	10/01/2016	COMBINED SINGLE LIMIT (Ea accident)	\$ 5,000,000	
							BODILY INJURY (Per person)	\$	
								BODILY INJURY (Per accident)	\$
								PROPERTY DAMAGE (Per accident)	\$
B	<b>UMBRELLA LIAB</b> <input checked="" type="checkbox"/> OCCUR <b>EXCESS LIAB</b> <input type="checkbox"/> CLAIMS-MADE DED      RETENTION \$			UMB 2000252 00	10/01/2015	10/01/2016	EACH OCCURRENCE	\$ 5,000,000	
							AGGREGATE	\$ 5,000,000	
C D E D	<b>WORKERS COMPENSATION AND EMPLOYERS' LIABILITY</b> ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below	Y/N <input checked="" type="checkbox"/> N    N/A		WLR C48591851 (AOS - See page 2)	10/01/2015	10/01/2016	<input checked="" type="checkbox"/> PER STATUTE <input type="checkbox"/> OTH-ER		
				WLR C4859184A (CA, MA)	10/01/2015	10/01/2016	E.L. EACH ACCIDENT	\$ 5,000,000	
				SCF C48591875 (WI)	10/01/2015	10/01/2016	E.L. DISEASE - EA EMPLOYEE	\$ 5,000,000	
				WCU C48591863 (Excess WC - OH, WA)	10/01/2015	10/01/2016	E.L. DISEASE - POLICY LIMIT	\$ 5,000,000	

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)  
State of Rhode Island is included as additional insured per the attached.

### CERTIFICATE HOLDER

State of Rhode Island  
 Department of Administration  
 Division of Purchases  
 One Capitol Hill  
 Providence, RI 02908-585

### CANCELLATION

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE  
 of Marsh USA Inc.  
 Manashi Mukherjee *Manashi Mukherjee*

**IL 10 (12/06) OLD REPUBLIC INSURANCE COMPANY**

**ADDITIONAL INSURED - OWNERS, LESSEES OR CONTRACTORS - SCHEDULED  
PERSON OR ORGANIZATION - ENDORSEMENT A2**

Named Insured Johnson Controls, Inc.			Endorsement Number
Policy Prefix MWZY	Policy Number 305447	Policy Period 10/01/2015 to 10/01/2016	Effective Date of Endorsement
Issued By Old Republic Insurance Company			

THIS ENDORSEMENT CHANGES THE POLICY. PLEASE READ IT CAREFULLY.

This endorsement modifies insurance provided under the following:

COMMERCIAL GENERAL LIABILITY COVERAGE PART

**SCHEDULE**

**Name Of Additional Insured Person(s) Or Organization(s):**

If required by contract, the person or organization listed on the certificate of insurance as additional insured, and each other person or organization required to be included as an additional insured pursuant to a contract with a named insured.

**Location(s) Of Covered Operations:**

As required by contract.

Information required to complete this Schedule, if not shown above, will be shown in the Declarations.

A. **Section II - Who is An Insured** is amended to include as an additional insured the person(s) or organization(s) shown in the Schedule, but only with respect to liability for "bodily injury", "property damage" or "personal and advertising injury" caused solely by:

1. Your acts or omissions; or
2. The acts or omissions of those acting on your behalf;

in the performance of your ongoing operations for the additional insured(s) at the location(s) designated above.

B. With respect to the insurance afforded to these additional insureds, the following additional exclusions apply:

This insurance does not apply to "bodily injury" or "property damage" occurring after:

1. All work, including materials, parts or equipment furnished in connection with such work, on the project (other than service, maintenance or repairs) to be performed by or on behalf of the additional insured(s) at the location of the covered operations has been completed; or
2. That portion of "your work" out of which the injury or damage arises has been put to its intended use by any person or organization other than another contractor or subcontractor engaged in performing operations for a principal as a part of the same project.