State of Rhode Island and Providence Plantations
Department of Administration
Division of Purchases

RIVIP BIDDER CERTIFICATION COVER FORM

SECTION 1 - BIDDER INFORMATION

Bidder must be registered as a vendor on the RIVIP system at www.purchasing.ri.gov to submit a bid proposal.

Solicitation Number:	7550177
Solicitation Title:	FIRE ALARM UPGRADES TO THE CENTRAL DISTRIBUTION WAREHOUSE - DOC (29 PGS & ZIP FILE)
Bid Proposal Submission Deadline Date & Time:	3/3/2016 2:00 PM
RIVIP Vendor ID #:	36572
Bidder Name:	AFA Protective Systems, Inc.
Address:	240 Chestnut Street Warwick , RI 02888 usa
Telephone:	(401) 461-2770
Fax:	(401) 461-2772
Contact Name:	Steve Lawton
Contact Title:	RI Commercial Sales Rep
Contact Email:	slawton@afap.com

SECTION 2 —DISCLOSURES

Bidders must respond to every statement. Bid proposals submitted without a complete response may be deemed nonresponsive.

Indicate "Y" (Yes) or "N" (No) for Disclosures 1-4, and if "Yes," provide details below. Complete Disclosure 5. If the Bidder is publicly held, the Bidder may provide owner information about only those stockholders, members, partners, or other owners that hold at least 10% of the record or beneficial equity interests of the Bidder.

N 1. State whether the Bidder, or any officer, director, manager, stockholder, member, partner, or other owner or principal of the Bidder or any parent, subsidiary, or affiliate has been subject to suspension or debarment by any federal, state, or municipal governmental authority, or the subject of criminal prosecution, or convicted of a criminal offense within the previous 5 years. If "Yes," provide details below.

N 2. State whether the Bidder, or any officer, director, manager, stockholder, member, partner, or other owner or principal of the Bidder or any parent, subsidiary, or affiliate has had any contracts with a federal, state, or municipal governmental authority terminated for any reason within the previous 5 years. If "Yes," provide details below.

N 3. State whether the Bidder, or any officer, director, manager, stockholder, member, partner, or other owner or principal of the Bidder or any parent, subsidiary, or affiliate has been fined more than $5000 for violation(s) of any Rhode Island environmental law(s) by the Rhode Island Department of Environmental Management within the previous 5 years. If "Yes," provide details below.

N 4. State whether any officer, director, manager, stockholder, member, partner, or other owner or principal of the Bidder is serving or has served within the past two calendar years as either an appointed or elected official of any state governmental authority or quasi-public corporation, including without limitation, any entity created as a legislative body or public or state agency by the general assembly or constitution of this state.

5. List each officer, director, manager, stockholder, member, partner, or other owner or principal of the Bidder, and each intermediate parent company and the ultimate parent company of the Bidder. For each individual, provide his or her name, business address, principal occupation, position with the Bidder, and the percentage of ownership, if any, he or she holds in the Bidder, and each intermediate parent company and the ultimate parent company of the Bidder.

Disclosure details (continue on additional sheet if necessary):

Robert Kleinman . CEO - AFA - 155 Michael Dr - Syosset, NY

SECTION 3 —CERTIFICATIONS

Bidders must respond to every statement. Bid proposals submitted without a complete response may be deemed nonresponsive.

Indicate "Y" (Yes) or "N" (No), and if "No," provide details below.

THE BIDDER CERTIFIES THAT:

Y 1. The Bidder will immediately disclose, in writing, to the State Purchasing Agent any potential conflict of interest which may occur during the term of any contract awarded pursuant to this solicitation.

Y 2. The Bidder possesses all licenses and anyone who will perform any work will possess all licenses required by applicable federal, state, and local law necessary to perform the requirements of any contract awarded pursuant to this solicitation and will maintain all required licenses during the term of any contract awarded pursuant to this solicitation. In the event that any required license shall lapse or be restricted or suspended, the Bidder shall immediately notify the State Purchasing Agent in writing.

Y 3. The Bidder will maintain all required insurance during the term of any contract pursuant to this solicitation. In the event that any required insurance shall lapse or be canceled, the Bidder will immediately notify the State Purchasing Agent in writing.

Y 4. The Bidder understands that falsification of any information in this bid proposal or failure to notify the State Purchasing Agent of any changes in any disclosures or certifications in this Bidder Certification may be grounds for suspension, debarment, and/or prosecution for fraud.

Y 5. The Bidder has not paid and will not pay any bonus, commission, fee, gratuity, or other remuneration to any employee or official of the State of Rhode Island or any subdivision of the State of Rhode Island or other governmental authority for the purpose of obtaining an award of a contract pursuant to this solicitation. The Bidder further certifies that no bonus, commission, fee, gratuity, or other remuneration has been or will be received from any third party or paid to any third party contingent on the award of a contract pursuant to this solicitation.

Y 6. This bid proposal is not a collusive bid proposal. Neither the Bidder, nor any of its owners, stockholders, members, partners, principals, directors, managers, officers, employees, or agents has in any way colluded, conspired, or agreed, directly or indirectly, with any other bidder or person to submit a collusive bid proposal in response to the solicitation or to refrain from submitting a bid proposal in response to the solicitation, or has in any manner, directly or indirectly, sought by agreement or collusion or other communication with any other bidder or person to fix the price or prices in the bid proposal or the bid proposal of any other bidder, or to fix any overhead, profit, or cost component of the bid price in the bid proposal or the bid proposal of any other bidder, or to secure through any collusion, conspiracy, or unlawful agreement any advantage against the State of Rhode Island or any person with an interest in the contract awarded pursuant to this solicitation. The bid price in the bid proposal is fair and proper and is not tainted by any collusion, conspiracy, or unlawful agreement on the part of the Bidder, its owners, stockholders, members, partners, principals, directors, managers, officers, employees, or agents.

Y 7. The Bidder: (i) is not identified on the General Treasurer's list created pursuant to R.I. Gen. Laws § 37-2.5-3 as a person or entity engaging in investment activities in Iran described in § 37-2.5-2(b); and (ii) is not engaging in any such investment activities in Iran.

Y 8. The Bidder will comply with all of the laws that are incorporated into and/or applicable to any contract with the State of Rhode Island.

Certification details (continue on additional sheet if necessary):

Submission by the Bidder of a bid proposal pursuant to this solicitation constitutes an offer to contract with the State of Rhode Island through the Division of Purchases on the terms and conditions contained in this solicitation and the bid proposal. The Bidder certifies that: (1) the Bidder has reviewed this solicitation and agrees to comply with its terms and conditions; (2) the bid proposal is based on this solicitation; and (3) the information submitted in the bid proposal (including this Bidder Certification Cover Form) is accurate and complete. The Bidder acknowledges that the terms and conditions of this solicitation and the bid proposal will be incorporated into any contract awarded to the Bidder pursuant to this solicitation and the bid proposal. The person signing below represents, under penalty of perjury, that he or she is fully informed regarding the preparation and contents of this bid proposal and has been duly authorized to execute and submit this bid proposal on behalf of the Bidder.

BIDDER

Date: 3/3/16

AFA Protective Systems, INC.
Name of Bidder

Steven Lawton
Signature in ink

Steven K. Lawton RI Rep
Printed name and title of person signing on behalf of Bidder

Solicitation #: 7550177

Solicitation Title: Fire Alarm Upgrades for Central / Distribution Warehouse DOC

BID FORM

To: The State of Rhode Island Department of Administration
Division of Purchases, 2nd Floor
One Capitol Hill, Providence, RI 02908-5855

Bidder: AFA Protective Systems, Inc
Legal name of entity
240 Chestnut St, Warwick, RI 02888
Address (street/city/state/zip)
Steve Lawton SLawton@AFAPI.com
Contact name Contact email
401-461-2720 401-461-2772
Contact telephone Contact fax

1. BASE BID PRICE

The Bidder submits this bid proposal to perform all of the work (including labor and materials) described in the solicitation for this Base Bid Price (*including the costs for all Allowances, Bonds, and Addenda*):

$ 79,460.00
(base bid price *in figures* printed electronically, typed, or handwritten legibly in ink)
Seventy Nine Thousand Four Hundred Sixty Dollars 00/100
(base bid price *in words* printed electronically, typed, or handwritten legibly in ink)

- **Allowances**

The Base Bid Price ***includes*** the costs for the following Allowances:

No. 1: NA $ NA

No. 2: NA $ NA

No. 3: NA $ NA

Total Allowances: $ NA

*****ALLOWANCES NOT USED*****

Solicitation #: 7550177
Solicitation Title: Fire alarm Upgrades for the Central Distribution Warehouse, DOC

- **Bonds**

  The Base Bid Price ***includes*** the costs for all Bid and Payment and Performance Bonds required by the solicitation.

- **Addenda**

  The Bidder has examined the entire solicitation (including the following Addenda), and the Base Bid Price ***includes*** the costs of any modifications required by the Addenda.

  All Addenda must be acknowledged.

  Addendum No. 1 dated: 1/21/16

  Addendum No. 2 dated: 1/26/16

  Addendum No. 3 dated: 2/8/16

  Addendum No. 4 dated: 2/19/16

  Addendum No. 5 dated: _____

  Addendum No. 6 dated: _____

2. ALTERNATES (*Additions/Subtractions* to Base Bid Price) (NOT USED)

The Bidder offers to: (i) perform the work described in these Alternates as selected by the State in the order of priority specified below, based on the availability of funds and the best interest of the State; and (ii) increase or reduce the Base Bid Price by the amount set forth below for each Alternate selected.

Check "Add" or "Subtract."

____ Add ____ Subtract Alternate No. 1: NA

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Solicitation Title: Fire alarm Upgrades for the Central Distribution Warehouse, DOC

$_____
(amount *in figures* printed electronically, typed, or handwritten legibly in ink)

_____
(amount *in words* printed electronically, typed, or handwritten legibly in ink)

3. UNIT PRICES

The Bidder submits these predetermined Unit Prices as the basis for any change orders approved in advance by the State. These Unit Prices include ***all*** costs, including labor, materials, services, regulatory compliance, overhead, and profit.

Unit Price No. 1: NA $_____

Unit Price No. 2: _____ $_____

Unit Price No. 3: _____ $_____

4. CONTRACT TIME

The Bidder offers to perform the work in accordance with the timeline specified below:

- Start of construction: TBD
- Substantial completion: TBD
- Final completion: TBD

5. LIQUIDATED DAMAGES

The successful bidder awarded a contract pursuant to this solicitation shall be liable for and pay the State, as liquidated damages and not as a penalty, the following amount for each calendar day of delay beyond the date for substantial completion, as determined in the sole discretion of the State: $ NA .

Solicitation #: 7550177
Solicitation Title: Fire alarm Upgrades for the Central Distribution Warehouse, DOC

This bid proposal is irrevocable for 60 days from the bid proposal submission deadline.

If the Bidder is determined to be the successful bidder pursuant to this solicitation, the Bidder will promptly: (i) comply with each of the requirements of the Tentative Letter of Award; and (ii) commence and diligently pursue the work upon issuance and receipt of the purchase order from the State and authorization from the user agency.

The person signing below certifies that he or she has been duly authorized to execute and submit this bid proposal on behalf of the Bidder.

Date: 3/3/16

BIDDER

AFA Protective Systems, Inc
Name of Bidder

Steven Lawton
Signature in ink

STEVEN LAWTON RI Rep
Printed name and title of person signing on behalf of Bidder

AFC 0396 EPM 0019
Bidder's Contractor Registration Number

Document A310 TM – 2010

Conforms with The American Institute of Architects AIA Document 310

Bid Bond

CONTRACTOR:
(Name, legal status and address)
AFA Protective Systems, Inc.
155 Michael Drive
Syosset, NY 11791

SURETY:
(Name, legal status and principal place of business)
Travelers Casualty and Surety Company of America
One Tower Square
Hartford, CT 06183
Mailing Address for Notices

This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

Any singular reference to Contractor, Surety, Owner or other party shall be considered plural where applicable.

OWNER:
(Name, legal status and address)
Rhode Island Dept. of Administration
One Capitol Hill
Providence, RI 02908

BOND AMOUNT: $ 5% Five Percent of Amount Bid

PROJECT:
(Name, location or address, and Project number, if any)
Fire alarm upgrades to the Central Distribution Ware House

The Contractor and Surety are bound to the Owner in the amount set forth above, for the payment of which the Contractor and Surety bind themselves, their heirs, executors, administrators, successors and assigns, jointly and severally, as provided herein. The conditions of this Bond are such that if the Owner accepts the bid of the Contractor within the time specified in the bid documents, or within such time period as may be agreed to by the Owner and Contractor, and the Contractor either (1) enters into a contract with the Owner in accordance with the terms of such bid, and gives such bond or bonds as may be specified in the bidding or Contract Documents, with a surety admitted in the jurisdiction of the Project and otherwise acceptable to the Owner, for the faithful performance of such Contract and for the prompt payment of labor and material furnished in the prosecution thereof; or (2) pays to the Owner the difference, not to exceed the amount of this Bond, between the amount specified in said bid and such larger amount for which the Owner may in good faith contract with another party to perform the work covered by said bid, then this obligation shall be null and void, otherwise to remain in full force and effect. The Surety hereby waives any notice of an agreement between the Owner and Contractor to extend the time in which the Owner may accept the bid. Waiver of notice by the Surety shall not apply to any extension exceeding sixty (60) days in the aggregate beyond the time for acceptance of bids specified in the bid documents, and the Owner and Contractor shall obtain the Surety's consent for an extension beyond sixty (60) days.

If this Bond is issued in connection with a subcontractor's bid to a Contractor, the term Contractor in this Bond shall be deemed to be Subcontractor and the term Owner shall be deemed to be Contractor.

When this Bond has been furnished to comply with a statutory or other legal requirement in the location of the Project, any provision in this Bond conflicting with said statutory or legal requirement shall be deemed deleted herefrom and provisions conforming to such statutory or other legal requirement shall be deemed incorporated herein. When so furnished, the intent is that this Bond shall be construed as a statutory bond and not as a common law bond.

Signed and sealed this 3rd day of March, 2016.

(Witness)

AFA Protective Systems, Inc.
(Principal) *(Seal)*
By: David Allen
(Title) Vice-President

(Witness)

Travelers Casualty and Surety Company of America
(Surety) *(Seal)*
By: Raymond C Carman
(Title) Raymond C Carman , Attorney-in-Fact

Individual Acknowledgment

State of New York

County of_____ ___

On this _____day of_____, 2016 , before me personally came _____to me known, and known to me to be the individual in and who executed the foregoing instrument, and acknowledged to me that he/she executed the same.

My commission expires_____ _____

Notary Public

Corporation Acknowledgment

State of _____

County of _____

On the 1st day of march, 2016 before me personally came David Kleinman to me known; who being by me duly sworn, did depose and say that he/she/they reside(s) in 155 Michael DRIVE Syosset, NY 11791 that he/she/they is (are) the VICE President of the AFA Protective Systems, INC , the corporation described in and which executed the above instrument; that he/she/they know(s) the seal of said corporation; that the seal affixed to said instrument is such corporate seal; that it was so affixed by authority of the board of directors of said corporation, and that he/she/they signed his/her/their name(s) thereto by like authority.

PHILIP GRASSO
NOTARY PUBLIC-STATE OF NEW YORK
No. 01GR6320428
Qualified in Suffolk County
My Commission Expires March 02, 2015

My commission expires _____ Philip Grasso

Notary Public

Surety Acknowledgment

State of **New York**

County of **Nassau**

On the 3rd day of **March, 2016** personally came Raymond C. Carman to me known , who being by me duly sworn did depose and say that he/she is an Attorney-in-Fact of **Travelers Casualty and Surety Company of America** in and which executed the above Instrument know(s) the corporate seal of said corporation; that the seal affixed to the within instrument is such corporate seal, and that he/she/they signed the said instrument and affixed the said seal as Attorney-in-fact by authority of the Board of Directors of said corporation and by authority of this office under the standing resolution thereof.

My commission expires _____ _____

Notary Public

THERESA A. LANFRANCO
Notary Public, State of New York
No. 01LA6110977
Qualified in Suffolk County
Certified in Nassau County
Commission Expires June 1, 2016

TRAVELERS CASUALTY AND SURETY COMPANY

HARTFORD, CONNECTICUT 06183

FINANCIAL STATEMENT AS OF JUNE 30, 2015

AS FILED IN THE STATE OF NEW YORK

CAPITAL STOCK $ 25,000,000

ASSETS		LIABILITIES & SURPLUS	
CASH AND INVESTED CASH	$ 398,968,226	UNEARNED PREMIUMS	$ 1,906,922,378
BONDS	8,612,757,619	LOSSES	6,089,666,088
STOCKS	4,260,623,689	REINSURANCE PAYABLE ON PAID LOSSES & LOSS ADJ. EXPENSES	224,981,083
OTHER INVESTED ASSETS	851,634,991	LOSS ADJUSTMENT EXPENSES	1,325,020,608
PREMIUM BALANCES	1,418,991,837	COMMISSIONS	85,880,859
INVESTMENT INCOME DUE AND ACCRUED	95,685,270	TAXES, LICENSES AND FEES	63,962,468
NET DEFERRED TAX ASSET	242,651,338	CURRENT FEDERAL AND FOREIGN TAXES	8,971,478
RECEIVABLES FROM PARENT, SUBSIDIARIES AND AFFILIATES	58,474,254	OTHER EXPENSES	95,844,124
UNDISTRIBUTED PAYMENTS	51,262,688	CEDED REINSURANCE NET PREMIUMS PAYABLE	65,073,176
EQUITIES AND DEPOSITS IN POOLS & ASSOCIATIONS	13,911,732	AMOUNTS WITHHELD / RETAINED BY COMPANY FOR OTHERS	16,343,066
REINSURANCE RECOVERABLE	33,790,592	REMITTANCES AND ITEMS NOT ALLOCATED	3,660,629
FUNDS HELD BY / DEPOSITED WITH REINSURERS	1,376,495	PAYABLE FOR SECURITIES	61,929,770
AMOUNTS RECEIVABLE UNDER HIGH DEDUCTIBLE POLICIES	12,525,511	PAYABLE FOR SECURITIES LENDING	58,687,475
SECURITIES LENDING REINVESTED COLLATERAL ASSETS	58,687,475	POLICYHOLDER DIVIDENDS	10,241,361
STATE SURCHARGES RECEIVABLE	9,469,560	RETROACTIVE REINSURANCE RESERVE CEDED	(16,079,824)
GUARANTY FUNDS RECEIVABLE OR ON DEPOSIT	684,716	SPECIAL RESERVE FUND	500,000
OTHER ASSETS	4,049,828	OTHER ACCRUED EXPENSES AND LIABILITIES	512,713
		TOTAL LIABILITIES	$ 10,001,017,230
		CAPITAL STOCK	$ 25,000,000
		PAID IN SURPLUS	2,629,824,585
		OTHER SURPLUS	3,669,703,902
		TOTAL SURPLUS TO POLICYHOLDERS	$ 6,324,528,487
TOTAL ASSETS	$ 16,325,545,717	TOTAL LIABILITIES & SURPLUS	$ 16,325,545,717

STATE OF CONNECTICUT)

COUNTY OF HARTFORD) SS.

CITY OF HARTFORD)

MICHAEL J. DOODY, BEING DULY SWORN, SAYS THAT HE IS SECOND VICE PRESIDENT, OF TRAVELERS CASUALTY AND SURETY COMPANY, AND THAT TO THE BEST OF HIS KNOWLEDGE AND BELIEF, THE FOREGOING IS A TRUE AND CORRECT STATEMENT OF THE FINANCIAL CONDITION OF SAID COMPANY AS OF THE 30TH DAY OF JUNE, 2015.

Michael J Doody

SECOND VICE PRESIDENT

SUBSCRIBED AND SWORN TO BEFORE ME THIS
20TH DAY OF AUGUST, 2015

Susan M. Weissleder

NOTARY PUBLIC

SUSAN M. WEISSLEDER
Notary Public
My Commission Expires November 30, 2017

SUSAN M. WEISSLEDER NOTARY PUBLIC CONNECTICUT

WARNING: THIS POWER OF ATTORNEY IS INVALID WITHOUT THE RED BORDER

TRAVELERS

POWER OF ATTORNEY

Farmington Casualty Company
Fidelity and Guaranty Insurance Company
Fidelity and Guaranty Insurance Underwriters, Inc.
St. Paul Fire and Marine Insurance Company
St. Paul Guardian Insurance Company

St. Paul Mercury Insurance Company
Travelers Casualty and Surety Company
Travelers Casualty and Surety Company of America
United States Fidelity and Guaranty Company

Attorney-In Fact No. 228544

Certificate No. 006464194

KNOW ALL MEN BY THESE PRESENTS: That Farmington Casualty Company, St. Paul Fire and Marine Insurance Company, St. Paul Guardian Insurance Company, St. Paul Mercury Insurance Company, Travelers Casualty and Surety Company, Travelers Casualty and Surety Company of America, and United States Fidelity and Guaranty Company are corporations duly organized under the laws of the State of Connecticut, that Fidelity and Guaranty Insurance Company is a corporation duly organized under the laws of the State of Iowa, and that Fidelity and Guaranty Insurance Underwriters, Inc., is a corporation duly organized under the laws of the State of Wisconsin (herein collectively called the "Companies"), and that the Companies do hereby make, constitute and appoint

Kim A. Spinello, Philip G. Samuels, Frank Abbatiello, Louis J. Spina, Denese Thompson, Tara Laverdiere, Dominick J. Scotto, Raymond C. Carman, and Theresa A. Lanfranco

of the City of Uniondale, State of New York, their true and lawful Attorney(s)-in-Fact, each in their separate capacity if more than one is named above, to sign, execute, seal and acknowledge any and all bonds, recognizances, conditional undertakings and other writings obligatory in the nature thereof on behalf of the Companies in their business of guaranteeing the fidelity of persons, guaranteeing the performance of contracts and executing or guaranteeing bonds and undertakings required or permitted in any actions or proceedings allowed by law.

IN WITNESS WHEREOF, the Companies have caused this instrument to be signed and their corporate seals to be hereto affixed, this 18th day of September, 2015.

Farmington Casualty Company
Fidelity and Guaranty Insurance Company
Fidelity and Guaranty Insurance Underwriters, Inc.
St. Paul Fire and Marine Insurance Company
St. Paul Guardian Insurance Company

St. Paul Mercury Insurance Company
Travelers Casualty and Surety Company
Travelers Casualty and Surety Company of America
United States Fidelity and Guaranty Company

State of Connecticut
City of Hartford ss.

By: _____
Robert L. Raney, Senior Vice President

On this the 18th day of September, 2015, before me personally appeared Robert L. Raney, who acknowledged himself to be the Senior Vice President of Farmington Casualty Company, Fidelity and Guaranty Insurance Company, Fidelity and Guaranty Insurance Underwriters, Inc., St. Paul Fire and Marine Insurance Company, St. Paul Guardian Insurance Company, St. Paul Mercury Insurance Company, Travelers Casualty and Surety Company, Travelers Casualty and Surety Company of America, and United States Fidelity and Guaranty Company, and that he, as such, being authorized so to do, executed the foregoing instrument for the purposes therein contained by signing on behalf of the corporations by himself as a duly authorized officer.

In Witness Whereof, I hereunto set my hand and official seal.
My Commission expires the 30th day of June, 2016.

MARIE C. TETREAULT NOTARY PUBLIC CONNECTICUT

Marie C. Tetreault
Marie C. Tetreault, Notary Public

58440-8-12 Printed in U.S.A.

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