

State of Rhode Island and Providence Plantations
Department of Administration
Division of Purchases

RIVIP BIDDER CERTIFICATION COVER FORM

SECTION 1 - BIDDER INFORMATION

Bidder must be registered as a vendor on the RIVIP system at www.purchasing.ri.gov to submit a bid proposal.

Solicitation Number: 7550054
Solicitation Title: ELEVATOR MAINTENANCE AND REPAIRS AT ZAMBARANO HOSPITAL, DOA (34 PGS)

**Bid Proposal Submission
Deadline Date & Time:** 12/16/2015 11:30 AM

RIVIP Vendor ID #: 8802
Bidder Name: ThyssenKrupp Elevator
Address: 44 Albion Rd
Suite 103
Lincoln , RI 02865
USA

Telephone: 401-258-8381
Fax: 866-223-1880
Contact Name: Sarah Martin
Contact Title: Account Manager
Contact Email: sarah.martin@thyssenkrupp.com

SECTION 2 —DISCLOSURES

Bidders must respond to every statement. Bid proposals submitted without a complete response may be deemed nonresponsive.

Indicate "Y" (Yes) or "N" (No) for Disclosures 1-4, and if "Yes," provide details below. Complete Disclosure 5. If the Bidder is publicly held, the Bidder may provide owner information about only those stockholders, members, partners, or other owners that hold at least 10% of the record or beneficial equity interests of the Bidder.

- N 1. State whether the Bidder, or any officer, director, manager, stockholder, member, partner, or other owner or principal of the Bidder or any parent, subsidiary, or affiliate has been subject to suspension or debarment by any federal, state, or municipal governmental authority, or the subject of criminal prosecution, or convicted of a criminal offense within the previous 5 years. If "Yes," provide details below.
- N 2. State whether the Bidder, or any officer, director, manager, stockholder, member, partner, or other owner or principal of the Bidder or any parent, subsidiary, or affiliate has had any contracts with a federal, state, or municipal governmental authority terminated for any reason within the previous 5 years. If "Yes," provide details below.
- N 3. State whether the Bidder, or any officer, director, manager, stockholder, member, partner, or other owner or principal of the Bidder or any parent, subsidiary, or affiliate has been fined more than \$5000 for violation(s) of any Rhode Island environmental law(s) by the Rhode Island Department of Environmental Management within the previous 5 years. If "Yes," provide details below.

Certification details (continue on additional sheet if necessary):

Lined area for certification details.

Submission by the Bidder of a bid proposal pursuant to this solicitation constitutes an offer to contract with the State of Rhode Island through the Division of Purchases on the terms and conditions contained in this solicitation and the bid proposal. The Bidder certifies that: (1) the Bidder has reviewed this solicitation and agrees to comply with its terms and conditions; (2) the bid proposal is based on this solicitation; and (3) the information submitted in the bid proposal (including this Bidder Certification Cover Form) is accurate and complete. The Bidder acknowledges that the terms and conditions of this solicitation and the bid proposal will be incorporated into any contract awarded to the Bidder pursuant to this solicitation and the bid proposal. The person signing below represents, under penalty of perjury, that he or she is fully informed regarding the preparation and contents of this bid proposal and has been duly authorized to execute and submit this bid proposal on behalf of the Bidder.

BIDDER

Date: 11/23/15

Name of Bidder: Sarah Martin Thyssenkrupp Elevator
Signature in ink: Sarah Martin
Printed name and title of person signing on behalf of Bidder: Sarah Martin, Account Manager

THYSSENKRUPP ELEVATOR CORPORATION
UNANIMOUS CONSENT OF DIRECTORS
(Election of Officers)

The undersigned, being all of the directors of ThyssenKrupp Elevator Corporation (the "Company"), do hereby waive all required notice and consent to the following:

WHEREAS, the undersigned acknowledge the retirement of William Barry Pletch and his resignation as President of the Company, effective December 31, 2010.

WHEREAS, the undersigned deem it in the Company's best interest to elect officers.

NOW, THEREFORE, IT IS:

RESOLVED, that the following persons are elected to the offices of the Company set opposite their respective names:

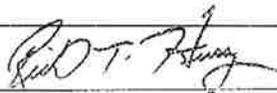
<u>Name</u>	<u>Office</u>
Richard T. Hussey	President
James Harrison	Executive Vice President and CFO
Stuart Prior	Executive Vice President
David Turnage	Vice President – Tax
Eric Scrudders	Executive Vice President and General Counsel
Lawrence C. Paulson	Secretary
Alan S. Weisser	Assistant Secretary
Joseph Braman	Assistant Secretary
Charles Califf	Assistant Secretary
Scott J. Silitsky	Vice President–Contracts and Assistant Secretary

RESOLVED, that such persons shall constitute all of the Company's officers, to serve in that capacity until their respective successors are duly elected and shall qualify, or until their resignation or removal.

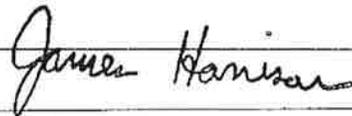
RESOLVED, that this consent may be executed in separate written counterparts, each of which shall be deemed to be an original, but all of which together shall be deemed to be one and the same document.

IN WITNESS WHEREOF, the undersigned, being all the directors of ThyssenKrupp Elevator Corporation, have executed this consent effective as of the 1st day of January, 2011.

DIRECTORS:



Richard T. Hussey



James Harrison



Eric Scrudders





Request for Quote

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS
 ONE CAPITOL HILL
 PROVIDENCE RI 02908

CREATION DATE : 16-NOV-15
 BID NUMBER: 7550054
 TITLE: Elevator Maintenance and Repairs at Zambarano Hospital, DOA
 BLANKET START : 01-JAN-16
 BLANKET END : 31-DEC-18
 BID CLOSING DATE AND TIME: 16-NOV-2015 11:30:00

BUYER: Ohara 2nd, John F
 PHONE #: 401-574-8125

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 DOA CONTROLLER
 ONE CAPITOL HILL, 4TH FLOOR
 SMITH ST
 PROVIDENCE, RI 02908
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 DOA-FACILITIES AND MAINTENANCE
 ZAMBARANO HOSPITAL
 2090 WALLUM LAKE ROAD
 PASCOAG, RI 02859-1813
 US

Requisition Number:

Line	Description	Quantity	Unit	Unit Price	Total
1	Blanket Requirement: January 1, 2106 - December 31, 2018. Location: Zambarano Facility 2090 Wallum Lake Road Pascoag, RI 1/1/16 - 6/30/16 MONTHLY MAINTENANCE FOR ALL ELEVATORS AT THE ZAMBARANO FACILITY	6.00	Month	1375	8250
2	7/1/16 - 6/30/17 MONTHLY MAINTENANCE FOR ALL ELEVATORS AT THE ZAMBARANO FACILITY	12.00	Month	1416	16,992
3	7/1/17 - 6/30/18 MONTHLY MAINTENANCE FOR ALL ELEVATORS AT THE ZAMBARANO FACILITY	12.00	Month	1458	17,496
4	7/1/18 - 12/31/18 MONTHLY MAINTENANCE FOR ALL ELEVATORS AT THE ZAMBARANO FACILITY	6.00	Month	1502	9012
5	1/1/16 - 6/30/16 RATE PER HR ON SITE WORK NOT COVERED BY MONTHLY SERVICE	30.00	Hour	200	200/hr
6	7/1/16 - 6/30/17 RATE PER HR ON SITE WORK NOT COVERED BY MONTHLY SERVICE	60.00	Hour	205	205/hr
7	7/1/17 - 6/30/18 RATE PER HR ON SITE WORK NOT COVERED	60.00	Hour	210	210/hr
8	7/1/18 - 12/31/18 RATE PER HR ON SITE WORK NOT COVERED BY MONTHLY SERVICE	30.00	Hour	215	215/hr
9	1/1/16 - 6/30/16 OVERTIME RATE PER HR ON SITE WORK NOT COVERED BY MONTHLY SERVICE	15.00	Hour	340	340/hr
10	7/1/16 - 6/30/17 OVERTIME RATE PER HR ON SITE WORK NOT COVERED BY MONTHLY SERVICE	30.00	Hour	350	350/hr
11	7/1/17 - 6/30/18 OVERTIME RATE PER HR ON SITE WORK NOT COVERED BY MONTHLY SERVICE	30.00	Hour	360	360/hr

It is the Vendor's responsibility to check and download any and all addenda from the RIVIP. This offer may not be considered unless a signed RIVIP generated Bidder Certification Cover Form is attached and the Unit Price column is completed. The signed Certification Cover Form must be attached to the front of the offer



Request for Quote

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS
 ONE CAPITOL HILL
 PROVIDENCE RI 02908

CREATION DATE : 16-NOV-15
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 DOA-FACILITIES AND MAINTENANCE
 ZAMBARANO HOSPITAL
 2090 WALLUM LAKE ROAD
 PASCOAG, RI 02859-1813
 US

Requisition Number:

Line	Description	Quantity	Unit	Unit Price	Total
12	7/1/18 - 12/31/18 OVERTIME RATE PER HR ON SITE WORK NOT COVERED BY MONTHLY SERVICE	15.00	Hour	370	370/hr
13	1/1/16 - 6/30/16 APPRENTICE RATE PER HR ON SITE WORK NOT COVERED BY MONTHLY SERVICE	30.00	Hour	160	160/hr
14	7/1/16 - 6/30/17 APPRENTICE RATE PER HR ON SITE WORK NOT COVERED BY MONTHLY SERVICE	60.00	Hour	165	165/hr
15	7/1/17 - 6/30/18 APPRENTICE RATE PER HR ON SITE WORK NOT COVERED BY MONTHLY SERVICE	60.00	Hour	170	170/hr
16	7/1/18 - 12/31/18 APPRENTICE RATE PER HR ON SITE WORK NOT COVERED BY MONTHLY SERVICE	30.00	Hour	175	175/hr
17	1/1/16 - 6/30/16 O.T. APPRENTICE RATE PER HR ON SITE WORK NOT COVERED BY MONTHLY SERVICE	15.00	Hour	270	270/hr
18	7/1/16 - 6/30/17 O.T. APPRENTICE RATE PER HR ON SITE WORK NOT COVERED BY MONTHLY SERVICE	30.00	Hour	280	280/hr
19	7/1/17 - 6/30/18 O.T. APPRENTICE RATE PER HR ON SITE WORK NOT COVERED BY MONTHLY SERVICE	30.00	Hour	290	290/hr
20	7/1/18 - 12/31/18 O.T. APPRENTICE RATE PER HR ON SITE WORK NOT COVERED BY MONTHLY SERVICE	15.00	Hour	300	300/hr
21	1/1/16 - 12/31/18 COST FOR ANNUAL TEST, IF NEEDED	3.00	Each	∅	∅
22	1/1/16 - 12/31/18 COST FOR THREE (3) YEAR FULL LOAD (NO SEPARATE CHARGE FOR ANNUAL TEST ANY YEAR THAT THE 3 YEAR TEST IS COMPLETED) 1/1/16 - 12/31/18 PARTS AT MANUFACTURERS LIST PRICE LESS <u>10</u> % (Cost plus is unacceptable). OVERTIME RATE APPLIES TO: 4:30pm - 8:30am Mon - Fri (sat, Sun + Holidays 24/7)	1.00	Each	∅	∅

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Request for Quote

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS
ONE CAPITOL HILL
PROVIDENCE RI 02908

CREATION DATE : 16-NOV-15
BID NUMBER: 7550054
TITLE: Elevator Maintenance and Repairs at Zambarano Hospital, DOA

BLANKET START : 01-JAN-16
BLANKET END : 31-DEC-18
BID CLOSING DATE AND TIME:16-NOV-2015 11:30:00

BUYER: Ohara 2nd, John F
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DOA-FACILITIES AND MAINTENANCE
ZAMBARANO HOSPITAL
2090WALLUM LAKE ROAD
PASCOAG, RI 02859-1813
US

Requisition Number:

Line	Description	Quantity	Unit	Unit Price	Total

Delivery: _____

Terms of Payment: _____

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Elevator Maintenance & Repair
Eleanor Slater Hospital - Zambarano Unit

The purpose of this service agreement is ensuring constant, proper and safe operation of the elevators located at the Eleanor Slater Hospital/Zambarano Unit. Maintenance, monitoring and repairs covered by this agreement will start on 1/1/16.

General Requirements:

1. The Vendor will be responsible for insuring that all work performed is completed in compliance with all statues, rules and regulations of the State of Rhode Island as amended. The vendor is also responsible for payment of all permit fees as required.
2. If at any time during the contract period, the Rhode Island Department of Labor & Training Division of Occupational Safety determines that the completed work has not been done in compliance with previously cited portions of Rhode Island law, the Vendor shall correct the work to insure compliance at no additional cost to the Agency.
3. Vendor personnel will be licensed to perform required work in the State of Rhode Island. Vendor personnel working at the facility will check in and out with authorized Facilities staff during business hours (8 a.m. to 4 p.m., Monday through Friday) and at the Information Desk/Switchboard outside of business hours.
4. Any state property damaged or altered during the performance of this service will be restored at no cost to the State.

Scope of Service:

1. This is a full maintenance contract. The Vendor will provide maintenance and service to the elevators, including parts, supplies and tools as set forth herein. Said maintenance shall include, but is not limited to, the following:
 - A. Vendor will maintain all elevator safety devices and equalize tension on all hoisting ropes as needed.
 - B. Replace all wire ropes, repair or replace all electric conductor cables as frequently as is necessary to maintain appropriate factors of operational safety.
 - C. Repair and replacement of all electric equipment, including M-G sets, driving motors, controllers, selectors, rotating elements, commutators, windings, brushes, bearings, coils, contacts, resistances, door contracts and interlocks, car and landing operating stations, signal fixture bulbs, including electric wiring upon such devices are dependent for proper operation.
2. Vendor will regularly and systematically (not less than once per month) visually examine, adjust, refill and/or lubricate as required and necessary, for the maintenance outlined herein, all elevator equipment subject to this contract, including the furnishing of all proper lubricants/fluids and if, in Vendor's opinion, conditions warrant, repair or replace the following components:

- A. Car equipment including safety edge, guide shoes or rollers when reasonably necessary to ensure smooth and quiet operation and proper lubrication of guide rails except where roller guides are used.
 - B. Buffers, guide rails, top and bottom limit switches, springs.
 - C. Entrance hardware including door interlocks and hangers, door guides and closing mechanism(s) and all door open safety devices.
 - D. Car door equipment including power operator, Gibbs motors and contacts.
 - E. Regular cleaning of the elevator pit and machine room of dirt, debris, etc. accumulated due to elevator operation not less than every three (3) months.
 - F. Supply of lubricants specific to meet manufacturer's specifications.
 - G. Hoistway enclosure, including hoistway doors and gates, hoistway door frames and sill and hoistway cleaning.
 - H. Relamping of all signal fixtures during monthly examination.
3. Vendor may invoice, on a time and material basis, for authorized call-back in which the service requirements result from vandalism, negligence, abuse or misuse of the elevator by persons other than the Vendor, his/her agents or employees or damages resulting from a cause other than normal wear and tear.
- A. Vendor will send one (1) service person. If a helper is necessary, the vendor must explain to the Agency, in advance, why a helper is needed and receive prior approval from the Agency for more than one person. The vendor must not send two (2) people as a matter of routine.
 - B. Invoices for billable call-back services as required and described in Paragraph 3 must include the date and time of the call and the name of the authorized caller. Also included shall be a copy of the technicians' time sheet or service report clearly showing the time of arrival and departure, the nature of the service problem and its resolution, materials used and a statement of the operational status of the elevator. It shall be the Vendor's responsibility to insure that his/her employees and agents maintain sufficient records to provide the Maintenance & Plant Operations office with all documentation required by this paragraph.
 - C. No charges other than parts & labor on the job are permitted – no travel, no mileage, no miscellaneous charges, nor portal-to-portal.
4. Parts, Inventory & Wiring Diagrams
- A. The vendor will, during the term of this contract, maintain for the performance routine preventive maintenance either in each elevator machine or as part of their inventory a supply of frequently used replacement parts, lubricants and oil(s) selected by the Vendor to meet the specific requirements of the units. Any parts replaced under this contract with new parts, manufactured or selected by the Vendor or with parts refurbished to manufacturer's standards. The Vendor will furnish all replacements parts in exchange for the parts replaced. The Vendor further agrees to maintain a supply of replacement parts in their local parts warehouse, available for express

delivery in the case of emergencies. The Vendor, during the term of the contract, must obtain and maintain all original wiring diagrams for the units on site.

5. Safety Testing

- A. The Vendor shall schedule and conduct all tests in compliance with applicable Rhode Island General Law including, but not limited to, annual testing of car safety and speed governor devices and 3/5-year static load testing. Required testing shall be scheduled and completed on a timely basis each year and in the presence of a State Elevator Inspector or other authorized inspector(s).
- B. The Vendor shall furnish all necessary labor, tools, weights, etc. required for all testing. All necessary retests, as may be required by the State Elevator Inspector, will be made at no cost to the Agency.
- C. All deficiencies uncovered during the inspection and covered by this contract will be corrected within (30) days of the inspection. A record of these deficiencies and corrective action will be provided to the Agency.

6. Reports & Records

- A. Under Rhode Island General Laws, the Vendor is responsible for providing a written maintenance control program. The Vendor will furnish and maintain, in the appropriate mechanical room, a comprehensive service record for each elevator. All inspections, service, repairs, maintenance visits, etc. will be recorded in the appropriate service log, as required by law.

Emergency Service:

1. All emergency and intervening services calls are included in the monthly cost of this contract. Service shall consist of prompt response to a request for service, evaluation of cause of non-operation through visual inspection and such adjustment and/or repairs as may be required to restore the elevator to safe and satisfactory operation. Response times shall be:
 - A. One (1) hour during business hours
 - B. Two (2) hours during non-business hours
2. Call-back service shall be initiated by authorized Facilities staff. Service requests from other sources must be verified before responding.

Service Locations & Equipment:

Eleanor Slater Hospital - Zambarano Facility, Pascoag, RI

Hospital Unit:	2090 Wallum Lake Rd
Garage:	2135 Wallum Lake Rd
Danford Bldg:	2088 Wallum Lake Rd

All elevators are mechanical wire-rope operation and are not from the same manufacturer.

<u>Building</u>	<u>Location</u>	<u>Elevator #</u>	<u>Manufacturer</u>	<u>Type</u>
Hospital	South	92692	Payne	Passenger

Hospital	Center	92693	Payne	Passenger
Hospital	North	92694	Payne	Passenger
Danford	N/A	92695	A. B. See	Freight
Garage	N/A	92700	Otis	Material Lift



STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS

Department of Administration
DIVISION OF PURCHASES
One Capitol Hill
Providence, RI 02908-5855

Tel: (401) 574-8100
Fax: (401) 574-8387
Website: www.purchasing.ri.gov

**DIVISION OF PURCHASES
INSTRUCTIONS TO BIDDERS
PUBLIC WORKS SERVICES (PWS)**

Compliance with Instructions to Bidders

These Instructions to Bidders contain terms and conditions that will govern the preparation and submission of a bid proposal and any contract awarded pursuant to this solicitation.

Bidders must comply with each and every requirement of these Instructions to Bidders. Any failure to comply with any requirement may result in the determination of a nonresponsive bid proposal and/or the rejection of the bid proposal.

Priority of Terms and Conditions

The terms and conditions in these Instructions to Bidders *supersede* any and all inconsistent or conflicting terms and conditions in any other provision of any other document in this solicitation or in the bid proposal and govern this solicitation, the bid proposal, and any contract awarded pursuant to this solicitation.

Offer to Contract

Bid proposals constitute an offer to contract with the State of Rhode Island through the Department of Administration Division of Purchases on the terms and conditions contained in the solicitation, the laws of the State of Rhode Island, including all procurement statutes and regulations (available at www.purchasing.ri.gov), and applicable federal and local law, all of which are incorporated into this solicitation and any contract awarded pursuant to this solicitation by this reference.

Addenda

Responses to questions from bidders, interpretations of plans and specifications, changes prior to the bid proposal submission deadline, approvals of any substitutions, and supplemental instructions and terms will be posted as addenda on the Division of Purchases website at www.purchasing.ri.gov, and all addenda become incorporated into this solicitation upon posting. Bidders are responsible for checking the website to determine the issuance of any addenda. No addenda will be posted within the 5-day period preceding the bid proposal submission deadline except for an addendum withdrawing the solicitation or extending the bid proposal submission deadline.

Inspection

The bidder is responsible for carefully reviewing all of the requirements of this solicitation, inspecting the project location, including checking and/or verifying site conditions, any limitations, and other details, prior to preparing and submitting its bid proposal. Claims for additional costs or time resulting from the bidder's failure to inspect and/or verify will not be considered.

Prebid Conference

At the discretion of the State Purchasing Agent, a prebid conference - mandatory or nonmandatory - may be held. Bidders must attend a mandatory prebid conference and are encouraged to attend a nonmandatory prebid conference. The bidder's representative must register with the Division of Purchases at a mandatory prebid conference and identify the bidder he or she represents.

Costs

The bidder is responsible for all costs and expenses to develop and submit a bid proposal in response to this solicitation.

Preparation of Bid Proposal

Bid proposals must be made on the Request for Quote included in the solicitation. The bidder must complete the Unit Price and Total columns for each item listed and include specifications (including specifications where the solicitation requires a particular brand) in a legible manner, printed electronically, typed, or handwritten in ink. Items in catalogs must be clearly marked and pages tabbed. In the event of any contradictory terms, handwritten terms prevail over printed or typed terms, and words prevail over figures. Signatures must be in ink. No additional provisions, conditions, or limitations may be made by the bidder, and any erasures and/or corrections must be initialed in ink by the person signing on behalf of the bidder.

This solicitation contains a Bid Preparation Checklist to assist the bidder in preparing a bid proposal for submission.

Submission of Bid Proposal

Each bid proposal (a complete package, with the signed Bidder Certification Cover Form, signed Request for Quote, Bid Surety, IRS Form W-9, and public copy CD-R media disk) must be submitted in a *separate sealed envelope* with the bidder's name and address and the specific "Solicitation Number," "Solicitation Title," and the "Submission Deadline" marked in the upper left-hand corner of the envelope.

The bid proposal must be delivered to the Division of Purchases (via mail, messenger service, or personal delivery by the bidder) by the date and time specified for the bid proposal submission deadline. Bidders should mail bid proposals sufficiently in advance of the bid proposal submission deadline to ensure timely delivery to the Division of Purchases or, when delivering a bid proposal in person or by messenger, should allow additional time for parking and clearance through security checkpoints. Bid proposals must be addressed to:

Rhode Island Department of Administration
Division of Purchases
One Capitol Hill, Second Floor
Providence, RI 02908-5855

Bid proposals that are not received by the Division of Purchases by the bid proposal submission deadline for whatever reason will be deemed late and will not be considered. The submission time will be determined by the time clock in the Division of Purchases. Postmarks will not be considered proof of timely submission.

At the bid proposal submission deadline, bid proposals will be opened and read aloud in public.

Charges

Bid proposals shall include only materials, parts, and labor in the Unit Price and Total. Travel, mileage, or other miscellaneous charges shall not be included in the Unit Price or Total.

Bidder Certification Cover Form

The bidder must download, complete, sign, and submit the Bidder Certification Cover Form for this solicitation as the first document with each bid proposal. The Bidder Certification Cover Form is downloadable with the solicitation from the Division of Purchases website by logging in as a RIVIP vendor and clicking on the applicable "Bid Number."

Public Copy

Bid proposals submitted in response to this solicitation are public records pursuant to the Rhode Island "Access to Public Records Act," R. I. Gen. Laws §§ 38-2-1 *et seq.* Each bid proposal must include a "public copy" to be available for public inspection upon the opening of bids. The public copy must be submitted in .pdf (portable document file) format on a **read-only** CD-R media disk. The disk must include **all of the documents** submitted in response to the solicitation concatenated or merged into one file.

The public copy disk must be separately enclosed in a protective cover clearly marked "Public Copy" and include the following information: (1) Solicitation Title; (2) name of bidder and RIVIP vendor ID number; (3) Solicitation Number; and (4) bid proposal submission deadline.

The .pdf file must be named in the following manner:

SolicitationNumber_Bid Proposal Submission Deadline_BidderName_VendorID.pdf

The bid proposal submission deadline must appear as mm-dd-yyyy. The bidder name must appear as one word, with no spaces or punctuation. Underscores must separate the fields.

Example: 7543210_11-08-2013_OceanStateCompanyInc_9867.pdf

The public copy of each bid proposal will be posted on the Division of Purchases website. Bidders may redact in the public copy any trade secrets or commercial or financial information which is of a privileged or confidential nature pursuant to the Access to Public Records Act.

*For Rhode Island Department of Transportation highway and bridge projects, in addition to the Quest Lite compatible electronic copy and one hard copy, the bidder must also include a duplicate original of the Quest Lite compatible electronic copy on a **read-only** CD-R media disk as the "public copy."*

Contractors Registration

The bidder must have and maintain a valid certificate of registration issued by the Contractors' Registration Board throughout the term of the contract awarded pursuant to this solicitation and ensure that its subcontractors, unless exempt from registration, also obtain and maintain valid certificates of registration.

Subcontractors

The bidder must demonstrate that it is able to perform a substantial portion of the work using its own workforce. Any bidder that does not maintain a permanent workforce and/or proposes to perform a disproportionate amount of the work through one or more subcontractors will be considered unqualified. The successful bidder must establish to the satisfaction of the State Purchasing Agent the reliability and responsibility of any subcontractors proposed to perform any work pursuant to this solicitation.

Taxes

The State of Rhode Island is exempt from federal excise taxes and state and municipal sales and use taxes. The bidder shall not include such taxes in any prices in the bid proposal.

Bid Surety

Bidders must furnish, with their bid proposals, either a bid bond from a surety licensed to conduct business in the State of Rhode Island or a certified check payable to the State of Rhode Island in the amount of five (5%) percent of the bid proposal. (*Bidders for Rhode Island Department of Transportation highway and bridge projects must furnish, with their bid proposals, a bid bond from a surety licensed to conduct business in the State of Rhode Island. Certified checks are not permitted for these projects.*) An attorney-in-fact who executes a bond on behalf of the surety must provide a certified current copy of the power of attorney. A successful bidder who fails to submit the additional documentation required by the tentative letter of award and/or fails to commence and pursue the work in accordance with the contract awarded pursuant to this solicitation may forfeit, at the discretion of the State Purchasing Agent, the full amount of the bid surety as liquidated damages. The State will retain the bid surety of all bidders until the earliest of: (i) the issuance of the Purchase Order; (ii) the 61st day following the bid proposal submission deadline; or (iii) the rejection of all bid proposals.

Divestiture of Investments in Iran Requirement

No bidder engaged in investment activities in Iran as described in R.I. Gen. Laws § 37-2.5-2(b) may submit a bid proposal to, or renew a contract with, the Division of Purchases. Each bidder submitting a bid proposal or entering into a renewal of a contract is required to certify that the bidder does not appear on the list maintained by the General Treasurer pursuant to R.I. Gen. Laws § 37-2.5-3.

Domestic Steel

Any steel products required by the plans and specifications in this solicitation must be formed, extruded, forged, cast, fabricated, or otherwise processed from steel made in the United States.

Withdrawal

A bidder may withdraw its bid proposal at any time prior to the bid proposal submission deadline. Bid proposals are irrevocable for a period of 60 days following the bid proposal submission deadline.

Reservation of Rights

The Division of Purchases reserves the right, at any time, for any reason, in its sole discretion, to: (i) revoke, suspend, or terminate this solicitation; (ii) accept or reject any and all bid proposals, in whole or in part; (iii) waive any technical defects, irregularities, or omissions in any bid proposals; and/or (iv) terminate any contract awarded pursuant to this solicitation, with or without cause.

Award

The State Purchasing Agent, in his or her sole discretion, will award the contract pursuant to this solicitation to the responsive and responsible bidder who submits the lowest responsive and responsible bid proposal. The State Purchasing Agent may determine, in his or her sole discretion, the low bid proposal on the basis of the amount of the Base Bid Price plus the alternates selected in accordance with the Request for Quote. The successful bidder will receive a tentative letter of award from the Division of Purchases with instructions for the bidder to submit further documentation. A binding contract, to the extent of available funds, between the State of Rhode Island and the successful bidder will be formed by the issuance, *and only by the issuance*, of a Purchase Order from the Division of Purchases. The successful bidder shall be authorized to commence work only upon the issuance of the Purchase Order and, in addition, an authorization from the user agency. The issuance of the Purchase Order and the continuation of any contract awarded pursuant to this solicitation is contingent upon the availability of funds.

Prevailing Wages

The successful bidder and its subcontractors must pay their workers at the applicable prevailing wage rates (adjusted every July 1) for the various trades on a weekly basis, pay their workers one and one-half times the applicable prevailing wage rates for each hour worked in excess of 8 hours in any one day or 40 hours in any one week, and submit certified weekly payroll forms on a monthly basis to the user agency. Prevailing wage posters and rate schedules, available at the Rhode Island Department of Labor and Training website at www.dlt.ri.gov, must be posted at the project site.

Occupational Safety

The successful bidder must ensure (if the total contract price is at least \$100,000) that all employees at the project site possess a card issued by the United States Department of Labor certifying successful completion of an OSHA ten (10) hour construction safety program.

Hazardous Substances

The successful bidder must submit a chemical identification list to the Rhode Island Department of Labor and Training upon receipt of a Purchase Order from the Division of Purchases prior to performance of the contract awarded pursuant to this solicitation and make available to all employees a list of any hazardous substances that may present a risk of exposure.

Substitutions

Any proposal in response to a request for substitutions in the solicitation must include the detailed information necessary for a comprehensive evaluation, including (without limitation) the name of the material or equipment of the proposed substitution and a complete description of the proposed substitution, with drawings and performance and test data. Products specified in this solicitation establish a standard of quality, performance, dimension, function, and appearance. Proposed substitutions must meet the standard and will not be considered without the prior written approval of the Division of Purchases. All substitution approvals will be posted, as addenda to the solicitation on the Division of Purchases website.

Licenses

The successful bidder and anyone performing any services on the contract awarded pursuant to this solicitation must possess all of the licenses required by any federal, state, or local law to perform such work.

Insurance

The successful bidder must submit a certificate of insurance that references the solicitation number and names the State of Rhode Island as "certificate holder" and as "additional insured" upon the issuance of the tentative letter of award, on an annual basis during the term of the contract awarded pursuant to this solicitation, and from time to time upon request. The certificate of insurance must state that 20 days' advance notice of cancellation (referencing the solicitation number) will be sent to: Rhode Island Department of Administration, Division of Purchases, One Capitol Hill, Providence, Rhode Island 02908-5855, fax # 401-574-8387, and provide evidence of the following specific types and amounts of insurance:

<u>Type of Insurance</u>	<u>Amount of Coverage</u>
Comprehensive General Liability	
Bodily injury	\$1 Million each occurrence \$1 Million annual aggregate
Property damage	\$500,000 each occurrence \$500,000 annual aggregate
Independent contractors Contractual (including construction "hold harmless" and other types of Contracts or agreements in effect for insured operations) Completed operations Personal injury (with employee exclusion deleted)	
Automobile Liability	
Combined Single Limit	\$1 Million each occurrence
Bodily injury, property damage, including nonowned and/or hired vehicles and equipment	
Workers Compensation	
Coverage B	\$100,000
Environmental Impairment ("pollution control")	\$1 Million or 5% of contract amount, whichever is greater

The State Purchasing Agent reserves the right to accept alternate forms and plans of insurance and/or to require additional or more extensive coverage.

Minority Business Enterprises

The Division of Purchases reserves the right to give additional consideration to bid proposals submitted by minority/women business enterprises certified by the Division of Purchases, Minority Business Office ("MBEs") provided that any such bid proposal is fully responsive to the terms and conditions of this solicitation, and the bid price is determined, in the discretion of the Division of Purchases, to be within a competitive range.

Any bidder who does not intend to perform all of the work with its own forces shall recruit and engage MBEs to perform at least 10% of the dollar value of the contract awarded pursuant to this solicitation. To reach that goal, the bidder may allocate up to 60% of its costs for materials and supplies obtained from MBE dealers or 100% of its costs for materials and supplies obtained from MBE manufacturers.

The successful bidder must submit a plan to meet this requirement for approval by the Division of Purchases, Minority Business Enterprise Compliance Office within the 21-day period following the tentative letter of award, identifying all MBEs, and must also demonstrate its good faith best efforts to meet these MBE goals. Information about this requirement and a directory of MBEs certified in Rhode Island is available at www.mbe.ri.gov or (401) 574-8670.

Equal Opportunity

The successful bidder must demonstrate a commitment to equal opportunity and submit an affirmative action plan for review by the Rhode Island Department of Administration State Equal Opportunity Office) within the 21-day period following the tentative letter of award. Information about this requirement is available at www.diversity.ri.gov/eo/eoophagehome.htm or (401) 222-3090.

Drug-Free Workplace

The successful bidder shall comply, and require that its employees comply, with the State of Rhode Island Drug Free Workplace policy and provide a certificate of compliance within the 21-day period following the tentative letter of award.

Sprinkler Impairment

The successful bidder must comply with the requirements of the State of Rhode Island's insurance carrier for sprinkler impairment and hot work, accessible at the Division of Purchases website at www.purchasing.ri.gov.

Foreign Corporations

No foreign corporation or limited liability company may transact business in the State of Rhode Island until it shall have obtained a Certificate of Authority from the Rhode Island Secretary of State, and no foreign limited partnership may transact business in the State of Rhode Island until it shall have obtained a Certificate of Registration from the Rhode Island Secretary of State. The successful bidder, if a corporation or limited liability company, will be required to provide a Good Standing Certificate, and if a limited partnership, will be required to provide a Letter of Legal Existence, issued by the Rhode Island Secretary of State within the 21-day period following the tentative letter of award.

Campaign Finance

The successful bidder who has contributed, within the 24 months preceding the contract award, an aggregate amount of more than \$250.00 within a calendar year to any Rhode Island general officer, candidate for general office, any member of the general assembly, or any Rhode Island political party, must file a "Vendor Affidavit" with the State of Rhode Island Board of Elections. Information about "Vendor Affidavits" and electronic filing is available at www.elections.ri.gov or Board of Elections, Campaign Finance, (401) 222-2056.

Binding Contract

A binding contract between the State of Rhode Island and the successful bidder will be formed by the issuance of a Purchase Order from the Division of Purchases, *and only by the issuance of a Purchase Order, and only to the extent of available funds.* The binding contract will incorporate and be subject to the terms and conditions of the solicitation, including the Invitation to Bid, the Instructions to Bidders, the Bid Preparation Checklist, the Request for Quote, the Bidder Certification Cover Form, the Agreement (if applicable to this solicitation), and also the Purchase Order. The successful bidder shall be authorized to commence work only upon the issuance of the Purchase Order and, in addition, an authorization from the user agency.

Compliance with Terms of Contract

Failure of the successful bidder to comply with the terms and conditions of any contract awarded pursuant to this solicitation may result in nonpayment, suspension or termination of the contract, suspension or debarment of the bidder, or any other necessary or appropriate remedy.



STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS

Department of Labor and Training

Center General Complex
1511 Pontiac Avenue
Cranston, RI 02920-4407

TTY: Via RI Relay 711

Lincoln D. Chafee
Governor

Charles J. Fogarty
Director

STATE CONTRACT ADDENDUM

RHODE ISLAND DEPARTMENT OF LABOR AND TRAINING

**PREVAILING WAGE REQUIREMENTS
(37-13-1 ET SEQ.)**

The prevailing wage requirements are generally set forth in RIGL 37-13-1 et seq. These requirements refer to the prevailing rate of pay for regular, holiday, and overtime wages to be paid to each craftsmen, mechanic, teamster, laborer, or other type of worker performing work on public works projects when state or municipal funds exceed one thousand dollars (\$1,000).

All Prevailing Wage Contractors and Subcontractors are required to:

1. Submit to the Awarding Authority a list of the contractor's subcontractors for any part or all of the prevailing wage work in accordance with RIGL § 37-13-4;
2. Pay all prevailing wage employees at least once per week and in accordance with RIGL §37-13-7 (see Appendix B attached);
3. Post the prevailing wage rate scale and the Department of Labor and Training's prevailing wage poster in a prominent and easily accessible place on the work site in accordance with RIGL §37-13-11; posters may be downloaded at www.dlt.ri.gov/pw/Posters.htm .poster/htm or obtained from the Department of Labor and Training, Center General Complex, 1511 Pontiac Avenue, Cranston, Rhode Island;
4. Access the Department of Labor and Training website, at www.dlt.ri.gov on or before July 1st of each year, until such time as the contract is completed, to ascertain the current prevailing wage rates and the amount of payment or contributions for each covered prevailing wage employee and make any necessary adjustments to the covered employee's prevailing wage rates effective July 1st of each year in compliance with RIGL §37-13-8;
5. Attach a copy of this CONTRACT ADDENDUM and its attachments as a binding obligation to any and all contracts between the contractor and any

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TTY via RI Relay 711



Lincoln D. Chafee
Governor
Charles J. Fogarty
Director

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS

Department of Labor and Training

Center General Complex
1511 Pontiac Avenue
Cranston, RI 02920-4407

Telephone; (401) 462-8000
TTY; Via RI Relay 711

subcontractors and their assignees for prevailing wage work performed pursuant to this contract;

6. Provide for the payment of overtime for prevailing wage employees who work in excess of eight (8) hours in any one day or forty (40) hours in any one week as provided by RIGL §37-13-10;
7. Maintain accurate prevailing wage employee payroll records on a Rhode Island Certified Weekly Payroll form available for download at www.dlt.ri.gov/pw.forms/htm, as required by RIGL §37-13-13, and make those records available to the Department of Labor and Training upon request;
8. Furnish the fully executed RI Certified Weekly Payroll Form to the awarding authority on a monthly basis for all work completed in the preceding month.
9. For general or primary contracts one million dollars (\$1,000,000) or more, shall maintain on the work site a fully executed RI Certified Prevailing Wage Daily Log listing the contractor's employees employed each day on the public works site; the RI Certified Prevailing Wage Daily Log shall be available for inspection on the public works site at all times; this rule shall not apply to road, highway, or bridge public works projects. Where applicable, furnish both the Rhode Island Certified Prevailing Wage Daily Log together with the Rhode Island Weekly Certified Payroll to the awarding authority.
10. Assure that all covered prevailing wage employees on construction projects with a total project cost of one hundred thousand dollars (\$100,000) or more has a OSHA ten (10) hour construction safety certification in compliance with RIGL § 37-23-1;
11. Employ apprentices for the performance of the awarded contract when the contract is valued at one million dollars (\$1,000,000) or more, and comply with the apprentice to journey person ratio for each trade approved by the apprenticeship council of the Department of Labor and Training in compliance with RIGL §37-13-3.1;
12. Assure that all prevailing wage employees who perform work which requires a Rhode Island trade license possess the appropriate Rhode Island trade license in compliance with Rhode Island law; and

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13. Comply with all applicable provisions of RIGL §37-13-1, et. seq;

Any questions or concerns regarding this CONTRACT ADDENDUM should be addressed to the contractor or subcontractor's attorney. Additional Prevailing Wage information may be obtained from the Department of Labor and Training at www.dlt.ri.gov/pw.

CERTIFICATION

I hereby certify that I have reviewed this CONTRACT ADDENDUM and understand my obligations as stated above.

By: *Susan Martin*
Title: *Account Manager*

Subscribed and sworn before me this *14* day of *December* 20*15*

Melanie L. Lanctot
Notary Public
My commission expires: *1/16/17*

MELANIE L. LANCTOT
Notary Public, State of Rhode Island
My Commission Expires Jan. 16, 2017
ID # 754884

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TTY via RI Relay 711*

Request for Taxpayer Identification Number and Certification

**Give Form to the
 requester. Do not
 send to the IRS.**

Print or type See Specific Instructions on page 2.	Name (as shown on your income tax return) ThyssenKrupp Elevator	
	Business name/disregarded entity name, if different from above	
	Check appropriate box for federal tax classification: <input type="checkbox"/> Individual/sole proprietor <input checked="" type="checkbox"/> C Corporation <input type="checkbox"/> S Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> Trust/estate <input type="checkbox"/> Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=partnership) ▶ _____ <input type="checkbox"/> Other (see instructions) ▶ _____	
	Exemptions (see instructions): Exempt payee code (if any) _____ Exemption from FATCA reporting code (if any) _____	
	Address (number, street, and apt. or suite no.) 44 Albion Road Suite 103 City, state, and ZIP code Lincoln, RI 02865	Requester's name and address (optional)
List account number(s) here (optional)		

Part I Taxpayer Identification Number (TIN)													
Enter your TIN in the appropriate box. The TIN provided must match the name given on the "Name" line to avoid backup withholding. For individuals, this is your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the Part I instructions on page 3. For other entities, it is your employer identification number (EIN). If you do not have a number, see <i>How to get a TIN</i> on page 3.													
Social security number													
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6	2	-	1	2	1	1	2	6	7				
Note. If the account is in more than one name, see the chart on page 4 for guidelines on whose number to enter.													

Part II Certification	
Under penalties of perjury, I certify that:	
1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me), and 2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding, and 3. I am a U.S. citizen or other U.S. person (defined below), and 4. The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.	
Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions on page 3.	
Sign Here	Signature of U.S. person ▶ <i>Sarah Martin</i>
	Date ▶ 7/27/15

General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Future developments. The IRS has created a page on IRS.gov for information about Form W-9, at www.irs.gov/w9. Information about any future developments affecting Form W-9 (such as legislation enacted after we release it) will be posted on that page.

Purpose of Form

A person who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) to report, for example, income paid to you, payments made to you in settlement of payment card and third party network transactions, real estate transactions, mortgage interest you paid, acquisition or abandonment of secured property, cancellation of debt, or contributions you made to an IRA.

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN to the person requesting it (the requester) and, when applicable, to:

- Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
- Certify that you are not subject to backup withholding, or
- Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income, and

4. Certify that FATCA code(s) entered on this form (if any) indicating that you are exempt from the FATCA reporting, is correct.

Note. If you are a U.S. person and a requester gives you a form other than Form W-9 to request your TIN, you must use the requester's form if it is substantially similar to this Form W-9.

Definition of a U.S. person. For federal tax purposes, you are considered a U.S. person if you are:

- An individual who is a U.S. citizen or U.S. resident alien,
- A partnership, corporation, company, or association created or organized in the United States or under the laws of the United States,
- An estate (other than a foreign estate), or
- A domestic trust (as defined in Regulations section 301.7701-7).

Special rules for partnerships. Partnerships that conduct a trade or business in the United States are generally required to pay a withholding tax under section 1446 on any foreign partners' share of effectively connected taxable income from such business. Further, in certain cases where a Form W-9 has not been received, the rules under section 1446 require a partnership to presume that a partner is a foreign person, and pay the section 1446 withholding tax. Therefore, if you are a U.S. person that is a partner in a partnership conducting a trade or business in the United States, provide Form W-9 to the partnership to establish your U.S. status and avoid section 1446 withholding on your share of partnership income.