

Solicitation #: 7549872

Solicitation Title: Slate Roof Replacement and Exterior Building Envelope
Repairs at the Wallum Lake House

BID FORM

To: The State of Rhode Island Department of Administration
Division of Purchases, 2nd Floor
One Capitol Hill, Providence, RI 02908-5855

Bidder: Crocker Architectural Sheet Metal Co., Inc.
Legal name of entity
129 Southbridge Road, North Oxford, MA 01537
Address (street/city/state/zip)
David Crocker david@crockerarchitectural.com
Contact name Contact email
508-987-9900 508-987-9902
Contact telephone Contact fax

1. BASE BID PRICE

The Bidder submits this bid proposal to perform all of the work (including labor and materials) described in the solicitation for this Base Bid Price (*including the costs for all Bonds, and Addenda*):

\$ 2,400,000.00

(base bid price *in figures* printed electronically, typed, or handwritten legibly in ink)

Two Million, Four Hundred Thousand Dollars and 00/100

(base bid price *in words* printed electronically, typed, or handwritten legibly in ink)

- Bonds

The Base Bid Price ***includes*** the costs for all Bid and Payment and Performance Bonds required by the solicitation.

- Addenda

The Bidder has examined the entire solicitation (including the following Addenda), and the Base Bid Price ***includes*** the costs of any modifications required by the Addenda.

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All Addenda must be acknowledged.

Addendum No. 1 dated: 9-11-2015

Addendum No. 2 dated: 9-28-2015

Addendum No. 3 dated: _____

Addendum No. 4 dated: _____

Addendum No. 5 dated: _____

Addendum No. 6 dated: _____

2. BID ALTERNATES (Additions/Subtractions to Base Bid Price)

The Bidder offers to: (i) perform the work described in this Alternate, and as identified in Section 01250, Alternates herein and as may be selected by the Owner as selected by the State in the order of priority specified below, based on the availability of funds and the best interest of the State; and (ii) increase or reduce the Base Bid Price by the amount set forth below for each Alternate selected.

Check "Add" or "Subtract."

____ Add Subtract Alternate No. 1: Deduct from the Base Bid Price, the cost to provide the specified work involving the new slate-shingle roof system as specified in Section 07321; instead, provide new composite-slate shingle roof system as specified in Section 07310.

\$ (\$ 32,000.00)

(amount *in figures* printed electronically, typed, or handwritten legibly in ink)

Thirty-two Thousands and 00/100

(amount *in words* printed electronically, typed, or handwritten legibly in ink)

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3. UNIT PRICES

The Bidder submits these predetermined Unit Prices as the basis for any change orders approved in advance by the State. These Unit Prices include **all** costs, including labor, materials, services, regulatory compliance, overhead, and profit.

Unit Price No. 1:

Add to or deduct the cost to provide repairs to the existing pre-cast gypsum-plank roof deck in accordance with the Bid Documents (assume 2" deep repairs):

ALLOW: \$ 18.00 /sq. ft.

Unit Price No. 2:

Add to or deduct the cost to provide pullout fastener tests at the Tube-Lok plywood roof deck fasteners:

ALLOW: \$ 0.00 /fastener

Unit Price No. 3:

Add to or deduct the cost to provide brick-masonry replacement in accordance with the Bid Documents:

ALLOW: \$ 195.00 /sq. ft.\

Unit Price No. 4:

Add to or deduct the cost to point existing mortar joints in accordance with the Bid Documents:

ALLOW: \$ 55.00 /sq. ft.

Unit Price No. 5:

Add to or deduct the cost to provide wood replacement at existing built-in box gutters in accordance with the Bid Documents:

ALLOW: \$ 49.00 /linear. ft.

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Unit Price No. 6:

Add to or Deduct from the Base Bid Price, the cost to provide the specified work involving the new elastomeric coatings over the existing exterior standing-seam lead-coated copper vertical walls located below the gutter line at each side of the center roof, at the elevator shaft and all sandblasting/painting-related work at the existing exterior catwalk/railing/ladder assembly located at the elevator shaft:

ALLOW: \$ (20,000.00) /lump sum

4. CONTRACT TIME

The Bidder offers to perform the work in accordance with the timeline specified below:

- Start of construction: Within (2) weeks of award
- Substantial completion: (75) calendar days after start
- Final completion including closeout: (15) days after substantial completion

5. LIQUIDATED DAMAGES

The successful bidder awarded a contract pursuant to this solicitation shall be liable for and pay the State, as liquidated damages and not as a penalty, the following amount for each calendar day of delay beyond the date for substantial completion, as determined in the sole discretion of the State: \$ 250 .

6. ATTACHED TO THIS BID ARE THE FOLLOWING

- A. Bid Deposit (see State Agency Solicitation)
- B. Non-Collusive Affidavit
- C. Contractor's Qualification Statement

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This bid proposal is irrevocable for 60 days from the bid proposal submission deadline.

If the Bidder is determined to be the successful bidder pursuant to this solicitation, the Bidder will promptly: (i) comply with each of the requirements of the Tentative Letter of Award; and (ii) commence and diligently pursue the work upon issuance and receipt of the purchase order from the State and authorization from the user agency.

The person signing below certifies that he or she has been duly authorized to execute and submit this bid proposal on behalf of the Bidder.

BIDDER

Date: 9/28/2015

Crocker Architectural Sheet Metal Co., Inc.

Name of Bidder

Signature in ink

David H. Crocker

Printed name and title of person signing on behalf of Bidder

143R

Bidder's Contractor Registration Number

END OF DOCUMENT

State of Rhode Island and Providence Plantations
Department of Administration
Division of Purchases

RIVIP BIDDER CERTIFICATION COVER FORM
SECTION 1 - BIDDER INFORMATION

Bidder must be registered as a vendor on the RIVIP system at www.purchasing.ri.gov to submit a bid proposal.

Solicitation Number: 7549872
Solicitation Title: SLATE ROOF REPLACEMENT AND EXTERIOR BUILDING ENVELOPE REPAIRS/WALLUM LAKE HOUSE - (1 ZIP FILE)

**Bid Proposal Submission
Deadline Date & Time:** 9/28/2015 10:30 AM

RIVIP Vendor ID #: 16917
Bidder Name: Crocker Architectural Sheet Metal Co., Inc.
Address: 129 Southbridge Rd.
N. Oxford, , MA 01537
USA

Telephone: (508) 987-9900
Fax: (508) 987-9902
Contact Name: Christine Crocker
Contact Title: President
Contact Email: chris@crockerarchitectural.com

SECTION 2 —DISCLOSURES

Bidders must respond to every statement. Bid proposals submitted without a complete response may be deemed nonresponsive.

Indicate "Y" (Yes) or "N" (No) for Disclosures 1-4, and if "Yes," provide details below. Complete Disclosure 5. If the Bidder is publicly held, the Bidder may provide owner information about only those stockholders, members, partners, or other owners that hold at least 10% of the record or beneficial equity interests of the Bidder.

- "N"** 1. State whether the Bidder, or any officer, director, manager, stockholder, member, partner, or other owner or principal of the Bidder or any parent, subsidiary, or affiliate has been subject to suspension or debarment by any federal, state, or municipal governmental authority, or the subject of criminal prosecution, or convicted of a criminal offense within the previous 5 years. If "Yes," provide details below.
- "N"** 2. State whether the Bidder, or any officer, director, manager, stockholder, member, partner, or other owner or principal of the Bidder or any parent, subsidiary, or affiliate has had any contracts with a federal, state, or municipal governmental authority terminated for any reason within the previous 5 years. If "Yes," provide details below.
- "N"** 3. State whether the Bidder, or any officer, director, manager, stockholder, member, partner, or other owner or principal of the Bidder or any parent, subsidiary, or affiliate has been fined more than \$5000 for violation(s) of any Rhode Island environmental law(s) by the Rhode Island Department of Environmental Management within the previous 5 years. If "Yes," provide details below.

- "N"** 4. State whether any officer, director, manager, stockholder, member, partner, or other owner or principal of the Bidder is serving or has served within the past two calendar years as either an appointed or elected official of any state governmental authority or quasi-public corporation, including without limitation, any entity created as a legislative body or public or state agency by the general assembly or constitution of this state.
5. List each officer, director, manager, stockholder, member, partner, or other owner or principal of the Bidder, and each intermediate parent company and the ultimate parent company of the Bidder. For each individual, provide his or her name, business address, principal occupation, position with the Bidder, and the percentage of ownership, if any, he or she holds in the Bidder, and each intermediate parent company and the ultimate parent company of the Bidder.

Disclosure details (continue on additional sheet if necessary):

SECTION 3 —CERTIFICATIONS

Bidders must respond to every statement. Bid proposals submitted without a complete response may be deemed nonresponsive.

Indicate "Y" (Yes) or "N" (No), and if "No," provide details below.

THE BIDDER CERTIFIES THAT:

- "Y"** 1. The Bidder will immediately disclose, in writing, to the State Purchasing Agent any potential conflict of interest which may occur during the term of any contract awarded pursuant to this solicitation.
- "Y"** 2. The Bidder possesses all licenses and anyone who will perform any work will possess all licenses required by applicable federal, state, and local law necessary to perform the requirements of any contract awarded pursuant to this solicitation and will maintain all required licenses during the term of any contract awarded pursuant to this solicitation. In the event that any required license shall lapse or be restricted or suspended, the Bidder shall immediately notify the State Purchasing Agent in writing.
- "Y"** 3. The Bidder will maintain all required insurance during the term of any contract pursuant to this solicitation. In the event that any required insurance shall lapse or be canceled, the Bidder will immediately notify the State Purchasing Agent in writing.
- "Y"** 4. The Bidder understands that falsification of any information in this bid proposal or failure to notify the State Purchasing Agent of any changes in any disclosures or certifications in this Bidder Certification may be grounds for suspension, debarment, and/or prosecution for fraud.
- "Y"** 5. The Bidder has not paid and will not pay any bonus, commission, fee, gratuity, or other remuneration to any employee or official of the State of Rhode Island or any subdivision of the State of Rhode Island or other governmental authority for the purpose of obtaining an award of a contract pursuant to this solicitation. The Bidder further certifies that no bonus, commission, fee, gratuity, or other remuneration has been or will be received from any third party or paid to any third party contingent on the award of a contract pursuant to this solicitation.
- "Y"** 6. This bid proposal is not a collusive bid proposal. Neither the Bidder, nor any of its owners, stockholders, members, partners, principals, directors, managers, officers, employees, or agents has in any way colluded, conspired, or agreed, directly or indirectly, with any other bidder or person to submit a collusive bid proposal in response to the solicitation or to refrain from submitting a bid proposal in response to the solicitation, or has in any manner, directly or indirectly, sought by agreement or collusion or other communication with any other bidder or person to fix the price or prices in the bid proposal or the bid proposal of any other bidder, or to fix any overhead, profit, or cost component of the bid price in the bid proposal or the bid proposal of any other bidder, or to secure through any collusion, conspiracy, or unlawful agreement any advantage against the State of Rhode Island or any person with an interest in the contract awarded pursuant to this solicitation. The bid price in the bid proposal is fair and proper and is not tainted by any collusion, conspiracy, or unlawful agreement on the part of the Bidder, its owners, stockholders, members, partners, principals, directors, managers, officers, employees, or agents.
- "Y"** 7. The Bidder: (i) is not identified on the General Treasurer's list created pursuant to R.I. Gen. Laws § 37-2.5-3 as a person or entity engaging in investment activities in Iran described in § 37-2.5-2(b); and (ii) is not engaging in any such investment activities in Iran.
- "Y"** 8. The Bidder will comply with all of the laws that are incorporated into and/or applicable to any contract with the State of Rhode Island.

Certification details (continue on additional sheet if necessary):

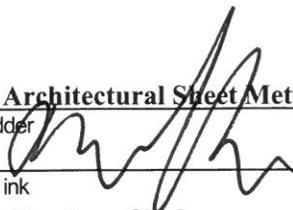
Lined area for certification details.

Submission by the Bidder of a bid proposal pursuant to this solicitation constitutes an offer to contract with the State of Rhode Island through the Division of Purchases on the terms and conditions contained in this solicitation and the bid proposal. The Bidder certifies that: (1) the Bidder has reviewed this solicitation and agrees to comply with its terms and conditions; (2) the bid proposal is based on this solicitation; and (3) the information submitted in the bid proposal (including this Bidder Certification Cover Form) is accurate and complete. The Bidder acknowledges that the terms and conditions of this solicitation and the bid proposal will be incorporated into any contract awarded to the Bidder pursuant to this solicitation and the bid proposal. The person signing below represents, under penalty of perjury, that he or she is fully informed regarding the preparation and contents of this bid proposal and has been duly authorized to execute and submit this bid proposal on behalf of the Bidder.

BIDDER

Date: 9/28/2015

Crocker Architectural Sheet Metal Co., Inc.
Name of Bidder


Signature in ink

David H. Crocker, Clerk
Printed name and title of person signing on behalf of Bidder

SECTION 00401

FORM OF NON-COLLUSIVE AFFIDAVIT

State of Massachusetts

County of Worcester

David H. Crocker, being first duly sworn, deposes and says:

that he is Crocker Architectural Sheet Metal Co., Inc.
(a partner or officer of the firm, etc.)

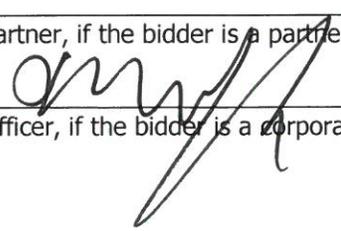
of the party making the foregoing proposal or bid, that such proposal or bid is genuine and not collusive or sham; that said bidder has not colluded, conspired, connived or agreed, directly or indirectly, with any bidder or person, to put in a sham bid or to refrain from bidding, and has not in any manner, directly or indirectly, sought by agreement or collusion, or communication or conference, with any person, to fix the bid price of affiant or of any bidder, or to fix any overhead profit or cost element of said bid price, or of that of any other bidder, or to secure any advantage against the State of Rhode Island, or any person interested in the proposed contract; and that all statements in said proposal or bid are true.

Signature of:

Bidder, if the bidder is an individual;

Partner, if the bidder is a partnership;

Officer, if the bidder is a corporation;

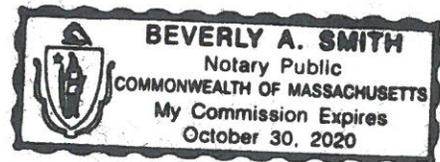


Subscribed and sworn to before me

this 28th day of September, 2015

Beverly A. Smith
(Notary Public)

My commission expires October 30, 2020





Document A310™ – 2010

Conforms with The American Institute of Architects AIA Document 310

Bid Bond

CONTRACTOR:

(Name, legal status and address)

Crocker Architectural Sheet Metal Co., Inc.
129 Southbridge Road
North Oxford, MA 01537

SURETY:

(Name, legal status and principal place of business)

The Ohio Casualty Insurance Company
62 Maple Avenue
Keene, NH 03431

OWNER:

(Name, legal status and address)

State of Rhode Island Department of
Administration, Division of Purchases
One Capitol Hill
Providence, RI 02908-5855

Mailing Address for Notices

The Ohio Casualty Insurance Company
Attention: Surety Claims Department
1001 4th Avenue, Suite 1700
Seattle, WA 98154

This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

Any singular reference to Contractor, Surety, Owner or other party shall be considered plural where applicable.

BOND AMOUNT: Five percent (5%) of the enclosed bid

PROJECT:

(Name, location or address, and Project number, if any)

Slate Roof Replacement & Exterior Repairs at the Wallum Lake House, 2090 Wallum Lake Road, Pascoag, RI

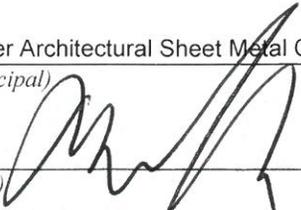
The Contractor and Surety are bound to the Owner in the amount set forth above, for the payment of which the Contractor and Surety bind themselves, their heirs, executors, administrators, successors and assigns, jointly and severally, as provided herein. The conditions of this Bond are such that if the Owner accepts the bid of the Contractor within the time specified in the bid documents, or within such time period as may be agreed to by the Owner and Contractor, and the Contractor either (1) enters into a contract with the Owner in accordance with the terms of such bid, and gives such bond or bonds as may be specified in the bidding or Contract Documents, with a surety admitted in the jurisdiction of the Project and otherwise acceptable to the Owner, for the faithful performance of such Contract and for the prompt payment of labor and material furnished in the prosecution thereof; or (2) pays to the Owner the difference, not to exceed the amount of this Bond, between the amount specified in said bid and such larger amount for which the Owner may in good faith contract with another party to perform the work covered by said bid, then this obligation shall be null and void, otherwise to remain in full force and effect. The Surety hereby waives any notice of an agreement between the Owner and Contractor to extend the time in which the Owner may accept the bid. Waiver of notice by the Surety shall not apply to any extension exceeding sixty (60) days in the aggregate beyond the time for acceptance of bids specified in the bid documents, and the Owner and Contractor shall obtain the Surety's consent for an extension beyond sixty (60) days.

If this Bond is issued in connection with a subcontractor's bid to a Contractor, the term Contractor in this Bond shall be deemed to be Subcontractor and the term Owner shall be deemed to be Contractor.

When this Bond has been furnished to comply with a statutory or other legal requirement in the location of the Project, any provision in this Bond conflicting with said statutory or legal requirement shall be deemed deleted herefrom and provisions conforming to such statutory or other legal requirement shall be deemed incorporated herein. When so furnished, the intent is that this Bond shall be construed as a statutory bond and not as a common law bond.

Signed and sealed this 28th day of September, 2015


(Witness)

Crocker Architectural Sheet Metal Co., Inc.
(Principal) _____ (Seal)

(Title)


(Witness)

The Ohio Casualty Insurance Company
(Surety)

(Title) Laurie A. Penniman, Attorney-in-fact

POWER OF ATTORNEY
The Ohio Casualty Insurance Company

Bond Number: _____

Principal: Crocker Architectural Sheet Metal Co., Inc.

Agency Name: Marsh & McLennan Agency LLC

Obligee: State of Rhode Island, Department of Administration

Agent Code: 206164

Know All Men by These Presents: That The Ohio Casualty Insurance Company, pursuant to the authority granted by Article IV, Section 12 of the Code of Regulations and By-Laws of The Ohio Casualty Insurance Company, do hereby nominate, constitute and appoint: Joseph B. Battaini, Darlene F. Beshaw, Laurie A. Penniman of WORCESTER, Massachusetts its true and lawful agent(s) and attorney(ies)-in-fact, to make, execute, seal and deliver for and on its behalf as surety, and as its act and deed **any and all BONDS, UNDERTAKINGS, and RECOGNIZANCES**, excluding, however, any bond(s) or undertaking(s) guaranteeing the payment of notes and interest thereon.

And the execution of such bonds or undertakings in pursuance of these presents, shall be as binding upon said Company, as fully and amply, to all intents and purposes, as if they had been duly executed and acknowledged by the regularly elected officers of said Company at their administrative offices in Keene, New Hampshire, in their own proper persons. The authority granted hereunder supersedes any previous authority heretofore granted the above named attorney(ies)-in-fact.

In WITNESS WHEREOF, the undersigned officer of the said The Ohio Casualty Insurance Company has hereunto subscribed his name and affixed the Corporate Seal of said Company this 18th day of November, 2013.



David M. Carey, Assistant Secretary

STATE OF PENNSYLVANIA
COUNTY OF MONTGOMERY

On this 18th day of November, 2013 before the subscriber, a Notary Public of the State of Pennsylvania, in and for the County of Montgomery, duly commissioned and qualified, came David M. Carey, Assistant Secretary of The Ohio Casualty Insurance Company, to me personally known to be the individual and officer described in, and who executed the preceding instrument, and he acknowledged the execution of the same, and being by me duly sworn deposes and says that he is the officer of the Company aforesaid, and that the seal affixed to the preceding instrument is the Corporate Seal of said Company, and the said Corporate Seal and his signature as officer were duly affixed and subscribed to the said instrument by the authority and direction of the said Corporation.

IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed my Official Seal at the City of Plymouth Meeting, State of Pennsylvania, the day and year first above written.



COMMONWEALTH OF PENNSYLVANIA
Notarial Seal
Teresa Pastella, Notary Public
Plymouth Twp., Montgomery County
My Commission Expires March 28, 2017
Member, Pennsylvania Association of Notaries

Notary Public in and for County of Montgomery, State of Pennsylvania
My Commission expires March 28, 2017

This power of attorney is granted under and by authority of Article IV, Section 12 of the By-Laws of The Ohio Casualty Insurance Company, extracts from which read:

ARTICLE IV - Officers: Section 12. Power of Attorney.

Any officer or other official of the Corporation authorized for that purpose in writing by the Chairman or the President, and subject to such limitation as the Chairman or President may prescribe, shall appoint such attorneys-in-fact, as may be necessary to act in behalf of the Corporation to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bond, recognizances and other surety obligations. Such attorneys-in-fact, subject to the limitations set forth in their respective powers of attorney, shall have full power to bind the Corporation by their signature and execution of any such instruments and to attach thereto the seal of the Corporation. When so executed, such instruments shall be as binding as if signed by the President and attested to by the Secretary.

Any power or authority granted to any representative or attorney-in-fact under the provisions of this article may be revoked at any time by the Board, the Chairman, the President or by the officer or officers granting such power or authority.

This certificate and the above power of attorney may be signed by facsimile or mechanically reproduced signatures under and by authority of the following vote of the board of directors of The Ohio Casualty Insurance Company effective on the 15th day of February, 2011:

VOTED that the facsimile or mechanically reproduced signature of any assistant secretary of the company, wherever appearing upon a certified copy of any power of attorney issued by the company in connection with surety bonds, shall be valid and binding upon the company with the same force and effect as though manually affixed.

CERTIFICATE

I, the undersigned Assistant Secretary of The Ohio Casualty Insurance Company, do hereby certify that the foregoing power of attorney, the referenced By-Laws of the Company and the above resolution of their Board of Directors are true and correct copies and are in full force and effect on this date.

IN WITNESS WHEREOF, I have hereunto set my hand and the seal of the Company this 28th day of September, 2015.



Gregory W. Davenport, Assistant Secretary

State of Rhode Island
**PAYER'S REQUEST FOR TAXPAYER
 IDENTIFICATION NUMBER AND CERTIFICATION**

THE IRS REQUIRES THAT YOU FURNISH YOUR TAXPAYER IDENTIFICATION NUMBER TO US. FAILURE TO PROVIDE THIS INFORMATION CAN RESULT IN A \$60 PENALTY BY THE IRS. IF YOU ARE AN INDIVIDUAL, PLEASE PROVIDE US WITH YOUR SOCIAL SECURITY NUMBER (SSN) IN THE SPACE INDICATED BELOW. IF YOU ARE A COMPANY OR A CORPORATION, PLEASE PROVIDE US WITH YOUR EMPLOYER IDENTIFICATION NUMBER (EIN) WHERE INDICATED.

Taxpayer Identification Number (T.I.N.)

Enter your taxpayer identification number in the appropriate box. For most individuals, this is your social security number.

Social Security No. (SSN)

Employer ID No. (EIN)

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04	3128742
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NAME Crocker Architectural Sheet Metal Co., Inc.

ADDRESS 129 Southbridge Road

(REMITTANCE ADDRESS, IF DIFFERENT) _____

CITY, STATE AND ZIP CODE North Oxford, MA 01537

CERTIFICATION: Under penalties of perjury, I certify that:

- (1) The number shown on this form is my correct Taxpayer Identification Number (or I am waiting for a number to be issued to me), and
- (2) I am not subject to backup withholding because either: (A) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (B) the IRS has notified me that I am no longer subject to backup withholding.

Certification Instructions – You must cross out item (2) above if you have been notified by the IRS that you are subject to backup withholding because of under-reporting interest or dividends on your tax return. However, if after being notified by IRS that you were subject to backup withholding you received another notification from IRS that you are no longer subject to backup withholding, do not cross out item (2).

PLEASE SIGN HERE

SIGNATURE _____

TITLE Clerk

DATE 9/28/15

TEL NO. 508-987-9900

BUSINESS DESIGNATION:

- Please Check One: Individual Medical Services Corporation Government/Nonprofit Corporation
 Partnership Corporation Trust/Estate Legal Services Corporation

NAME: Be sure to enter your full and correct name as listed in the IRS file for you or your business.

ADDRESS, CITY, STATE AND ZIP CODE: Enter your primary business address and remittance address if different from your primary address). If you operate a business at more than one location, adhere to the following:

- 1) Same T.I.N. with more than one location -- attach a list of location addresses with remittance address for each location and indicate to which location the year-end tax information return should be mailed.
- 2) Different T.I.N. for each different location -- submit a completed W-9 form for each T.I.N. and location. (One year-end tax information return will be reported for each T.I.N. and remittance address.)

CERTIFICATION -- Sign the certification, enter your title, date, and your telephone number (including area code and extension).

BUSINESS TYPE CHECK-OFF -- Check the appropriate box for the type of business ownership.

Mail to: Supplier Coordinator, One Capitol Hill, Providence, RI 02908

AIA[®] Document A305[™] – 1986

Contractor's Qualification Statement

The Undersigned certifies under oath that the information provided herein is true and sufficiently complete so as not to be misleading.

SUBMITTED TO: The State of Rhode Island Department of Administration

ADDRESS: One Capital Hill, Providence, RI 02908

SUBMITTED BY: Crocker Architectural Sheet Metal Co., Inc.

NAME: David H. Crocker

ADDRESS: 129 Southbridge Road North Oxford, MA 01537

PRINCIPAL OFFICE: 129 Southbridge Road North Oxford, MA 01537

- Corporation
- Partnership
- Individual
- Joint Venture
- Other

NAME OF PROJECT (if applicable): Cutler Hall

TYPE OF WORK (file separate form for each Classification of Work):

- General Construction
- HVAC
- Electrical
- Plumbing
- Other (please specify) **Roofing**

§ 1. ORGANIZATION

§ 1.1 How many years has your organization been in business as a Contractor? **24 Years**

§ 1.2 How many years has your organization been in business under its present business name? **24 Years**

§ 1.2.1 Under what other or former names has your organization operated? **n/a**

§ 1.3 If your organization is a corporation, answer the following:

§ 1.3.1 Date of incorporation: **7/22/1991**

§ 1.3.2 State of incorporation: **Massachusetts**

§ 1.3.3 President's name: **Christine Crocker-Lusignan**

ADDITIONS AND DELETIONS:

The author of this document has added information needed for its completion. The author may also have revised the text of the original AIA standard form. An *Additions and Deletions Report* that notes added information as well as revisions to the standard form text is available from the author and should be reviewed. A vertical line in the left margin of this document indicates where the author has added necessary information and where the author has added to or deleted from the original AIA text.

This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

This form is approved and recommended by the American Institute of Architects (AIA) and The Associated General Contractors of America (AGC) for use in evaluating the qualifications of contractors. No endorsement of the submitting party or verification of the information is made by AIA or AGC.

AIA Document A305[™] – 1986. Copyright © 1964, 1969, 1979 and 1986 by The American Institute of Architects. All rights reserved. **WARNING: This AIA[®] Document is protected by U.S. Copyright Law and International Treaties. Unauthorized reproduction or distribution of this AIA[®] Document, or any portion of it, may result in severe civil and criminal penalties, and will be prosecuted to the maximum extent possible under the law.** This document was produced by AIA software at 16:14:50 on 05/19/2015 under Order No.1886711622_1 which expires on 02/28/2016, and is not for resale.

User Notes:

(1379485031)

§ 1.3.4 Vice-president's name(s) **David H. Crocker**

§ 1.3.5 Secretary's name: **David H. Crocker**

§ 1.3.6 Treasurer's name: **Christine Crocker-Lusignan**

§ 1.4 If your organization is a partnership, answer the following: **n/a**

§ 1.4.1 Date of organization:

§ 1.4.2 Type of partnership (if applicable):

§ 1.4.3 Name(s) of general partner(s)

§ 1.5 If your organization is individually owned, answer the following: **n/a**

§ 1.5.1 Date of organization:

§ 1.5.2 Name of owner:

§ 1.6 If the form of your organization is other than those listed above, describe it and name the principals:

§ 2. LICENSING

§ 2.1 List jurisdictions and trade categories in which your organization is legally qualified to do business, and indicate registration or license numbers, if applicable.

Massachusetts (Sheet Metal License Certificate No. 84) (DCAMM Certificate No. 0983) //

Connecticut (MCO.0900691) (DAS Contractor Certi// Rhode Island (143R) // New Hampshire (NH DOT Certified) // Vermont // Southern Maine

§ 2.2 List jurisdictions in which your organization's partnership or trade name is filed.

§ 3. EXPERIENCE

§ 3.1 List the categories of work that your organization normally performs with its own forces.

Historical Building Restoration, Historical Roofing, Roofing, Exterior Siding & Painting

§ 3.2 Claims and Suits. (If the answer to any of the questions below is yes, please attach details.)

§ 3.2.1 Has your organization ever failed to complete any work awarded to it?

No

§ 3.2.2 Are there any judgments, claims, arbitration proceedings or suits pending or outstanding against your organization or its officers?

No

§ 3.2.3 Has your organization filed any law suits or requested arbitration with regard to construction contracts within the last five years?

No

§ 3.3 Within the last five years, has any officer or principal of your organization ever been an officer or principal of another organization when it failed to complete a construction contract? (If the answer is yes, please attach details.)

No

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User Notes:

(1379485031)

§ 3.4 On a separate sheet, list major construction projects your organization has in progress, giving the name of project, owner, architect, contract amount, percent complete and scheduled completion date.

"See Attached Projects in Progress Sheet"

§ 3.4.1 State total worth of work in progress and under contract:

\$ 5,135,000.00

§ 3.5 On a separate sheet, list the major projects your organization has completed in the past five years, giving the name of project, owner, architect, contract amount, date of completion and percentage of the cost of the work performed with your own forces.

"See Attached Project Reference Sheet"

§ 3.5.1 State average annual amount of construction work performed during the past five years:

\$ 7,000,000.00

§ 3.6 On a separate sheet, list the construction experience and present commitments of the key individuals of your organization.

"See Resumes Attached"

§ 4. REFERENCES

§ 4.1 Trade References:

ABC Supply Corp // 90 Carando Dr. Ste. 1, Springfield, MA // John Rockett // 508-767-2947 ext. 13

NES Rentals // New Bond St., Worcester, MA 01606 // Wendy Harvey // 508-856-9171

Architectural Finish Systems // 12900 34th Street North, Clearwater, FL 33762 // Brian Simon // 727-561-9334

§ 4.2 Bank References:

Webster Five Cents Savings Bank // 100 Front Street, Suite 1900, Worcester, MA 01608

Bernard Gagnon // 508-438-4711 // bgagnon@web5.com

§ 4.3 Surety:

§ 4.3.1 Name of bonding company: Ohio Casualty Group

§ 4.3.2 Name and address of agent: Marsh & McLennan Agency
100 Front Street, Suite 800
Worcester, MA 01608

§ 5. FINANCING

§ 5.1 Financial Statement. **"See Attached Financial Statement"**

§ 5.1.1 Attach a financial statement, preferably audited, including your organization's latest balance sheet and income statement showing the following items:

Current Assets (e.g., cash, joint venture accounts, accounts receivable, notes receivable, accrued income, deposits, materials inventory and prepaid expenses);

Net Fixed Assets;

Other Assets;

Current Liabilities (e.g., accounts payable, notes payable, accrued expenses, provision for income taxes, advances, accrued salaries and accrued payroll taxes);

Other Liabilities (e.g., capital, capital stock, authorized and outstanding shares par values, earned surplus and retained earnings).

§ 5.1.2 Name and address of firm preparing attached financial statement, and date thereof:
Feeley & Driscoll, P.C.
200 Portland Street, Boston, MA 02114
12/31/2014

§ 5.1.3 Is the attached financial statement for the identical organization named on page one?
Yes

§ 5.1.4 If not, explain the relationship and financial responsibility of the organization whose financial statement is provided (e.g., parent-subsiary).
n/a

§ 5.2 Will the organization whose financial statement is attached act as guarantor of the contract for construction?
n/a

§ 6. SIGNATURE

§ 6.1 Dated at this 28 day of September, 2015

Name of Organization: Crocker Architectural Sheet Metal Co., Inc.

By:

Title: David H. Crocker, Clerk

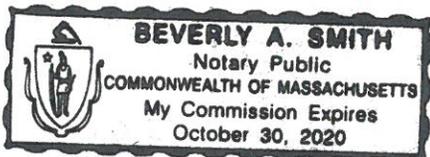
§ 6.2

M being duly sworn deposes and says that the information provided herein is true and sufficiently complete so as not to be misleading.

Subscribed and sworn before me this 28 day of September, 2015

Notary Public: Beverly A. Smith

My Commission Expires: 10/30/20



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CROCKER ARCHITECTURAL SHEET METAL CO., INC.

3.5 Completed Projects
Historical Project References

Project Name	Location	Scope	Year	Cost	Owner/GC/Architect
Stoneholm Condominium Built 1906	Brookline, MA	Roofing & Related Work	2014	\$1,029,900	Noblin & Associates LLC Peter Czepiel 603-740-9400
Phillips Exeter Academy Webster Hall Built 1912	Exeter, NH	Slate & Copper Roofing Gutters, Down	2014	\$463,590	Culter Associates Josh Gaucher 508-757-7500
Worcester Academy Walker Hall	Worcester, MA	Slate and TPO Roofing	2013	\$142,000	Consigli Construction Co., Inc Philip Brault 508-473-2580
Mt. Carmel Church	Worcester, MA	Tile, Metal, Roofing Copper Flashing & Gutters	2013	\$426,800	Consigli Construction Co., Inc Mark Mairrow 508-458-0494
Ames Free Library Built 1883 <i>Listed on the National Register of Historic Places</i>	North Easton, MA	Roofing & Masonry	2013	\$199,300	James Thomas Architects James Thomas 508-631-2987
White House Roof Yale University	Norfolk, CT	Metal Roofing, Shingles & Downspouts	2012	\$603,800	Shawmut Design & Construcion Joshua Walker 203-889-5607
Whittemore Memorial Library Built 1894 <i>Listed on the National Register of Historic Places</i>	Naugatuck, CT	Restoration of Rotunda Skylight	2012	\$325,000	Howard Whittemore Memorial Library Jocelyn Miller 203-729-4591
Monson Memorial Town Hall Built 1885 <i>Listed on the National Register of Historic Places 1984</i>	Monson, MA	Copper and Slate Repairs	2012	\$326,000	Town of Monson Dennis Swierad 413-267-5376
WPI-Washburn Hall Built 1868 <i>Listed on the National Register of Historic Places</i>	Worcester, MA	Copper and Slate Roofing	2011	\$487,000	Culter Associates Ron Soderman 508-767-2991
Holy Trinity Hellenic Orthodox Built 1906 <i>Listed on the National Register of Historic Places 1987</i>	Lowell, MA	Slate, Copper and Downspouts	2011 2010	\$355,000	Nicholas C. Sarris Nick Sarris 978-458-9762

Project Name	Location	Scope	Year	Cost	Owner/GC/Architect
Clinton Historical Society Built 1900 <i>Listed on the National Register of Historic Places 1996</i>	Clinton, MA	Copper Baluster, Finials and Copper Repairs	2010	\$67,000	Clinton Historical Society Jack Graves 978-365-4877
Emmanuel Church Built 1900 <i>Listed on the National Register of Historic Places 1996</i>	Newport, RI	Roof Repairs and Copper Package	2010	\$365,600	Emmanuel Church Nick Brown 401-272-5050
Walker Building	Marlborough, MA	Slate and Copper	2010	\$99,700	City of Marlborough John Ghiloni 508-460-3521
Tabernacle-Martha's Vineyard Built 1879 <i>Listed on the National Register of Historic Places 1979</i>	Oaks Bluff, MA	Historical Building Restoration	2010 2009 2008	\$1,045,000	Martha's Vineyard Camp Meeting Association Russell Dagnell 330-633-1962
St. George's School Chapel Built 1928 <i>Listed on the National Register of Historic Places</i>	Middleton, RI	Roof Restoration	2008	\$640,000	St. George's School George Staples 401-842-6688
Chateau-sur-Mer Built 1851 <i>Listed on the National Register of Historic Places 1968</i> <i>2009 Laurel Award Historic Restoration given by The Preservation Society of Newport</i>	Newport, RI	Historical Roofing Slate and Copper	2010 2009	\$1,841,000	Preservation Society of Newport Curt Genga 401-847-1000 x 115
Athol Rail Depot	Athol, MA	Roofing, flashing Gutters and downspouts	2007	\$516,000	Community Transit Service Fran Gromelski 978-575-9966
University of New Hampshire Thompson Hall Built 1893 <i>Listed on the National Register of Historic Places</i>	Durham, NH	Historical Roof Restoration	2006	\$582,000	University of New Hampshire Brenda Whitmore 330-633-1962
Pequot Library Built 1889 <i>Listed on the National Register of Historic Places</i>	Southport, CT	Clay Tile Roof	2006	\$249,000	Petra Construction Corp. Dave Harlow 203-865-6043

Project Name	Location	Scope	Year	Cost	Owner/GC/Architect
NYS Capitol Rehab South Quadrant Built 1898 <i>Listed on the National Register of Historic Places 1971</i>	Albany, NY	Historical Roofing Slate and Copper	2005	\$2,200,000	NYS Office of General Services James Jamison
Old North Church Built 1723 <i>Listed on the National Register of Historic Places 1966</i>	Boston, MA	Copper Gutters and Downspouts	2004	\$37,800	Westmill Preservation Service Mason Cook 781-293-5479
The Breakers Mansion Built 1892 <i>Listed on the National Register of Historic Places 1971</i>	Newport, RI	Complete Roof Restoration Tile, Copper & Copper Skylights	2003	\$800,000	Preservation Society of Newport Curt Genga 401-847-1000 X115
Stonehurst Robert Treat Paine House Built 1866 <i>Listed on the National Register of Historic Places 1975</i>	Waltham, MA	Copper Restoration & Wood Shingle	2003	\$104,000	Town of Waltham James McGrath 781-314-3242
Monson Memorial Town Hall Built 1885 <i>Listed on the National Register of Historic Places 1984</i>	Monson, MA	Copper & Slate	2001	\$207,367	Gretchen E. Neggers Monson, MA 01057 413-267-4100
United First Parish (Church of Presidents) Built 1828 <i>Listed on the National Register of Historic Places 1970</i>	Quincy, MA	Slate & Copper Roofing & Wood Window Restoration	2000	\$384,000	Rev. Sheldon Bennett Quincy, MA 02169 617-773-1290
Shepherd Brooks Manor Built 1880 <i>Listed on the National Register of Historic Places 1975</i>	Medford, MA	Slate & Copper	2000	\$237,000	Clodagh Stocker-Long Medford, MA 02155 781-393-2480
Mogan Cultural Center	Lowell, MA	Slate & Copper	1999	\$159,000	Janet Marchacos Lowell Nat'l Historical Park Lowell, MA 01852 978-275-1713

Project Name	Location	Scope	Year	Cost	Owner/GC/Architect
Merrick Public Library	Brookfield, MA	Spanish Tile & Copper	1999	\$130,000	Ann Hrobsky Brookfield, MA 01502 508-867-6339
Cyclorama Boston Center for Arts Built 1884 <i>Listed on the National Register of Historic Places 1973</i> <i>ABC- Excellence in Construction Award Winner</i> <i>Boston Preservation Alliance 1998 Award Winner</i>	Boston, MA	Historical Copper Dome & Skylight	1998	\$700,000	Anne Brown Boston Center for Arts 617-426-1119

Crocker Architectural Sheet Metal Co., Inc.

3.4 Contractor's Qualification Statement

Work in Progress

1	2	3	4	5	6	7
PROJECT TITLE & LOCATION	WORK CATEGORY	START AND END DATES	ON SCHEDULE (yes/ no)	CONTRACT PRICE	% NOT COMPLETE	\$ VALUE OF WORK NOT COMPLETE
Olympia Place Amherst, MA	Batten Seam Roof	8/2015- 11/2015	Yes	\$1,550,000	99%	\$1,534,500.00
Elms Carriage House Newport, RI	Slate and Copper Roofing,	6/2015- 9/2015	Yes	\$338,890	88%	\$298,223.00
St. George's School Middletown, RI	Flashing and Trim	12/2014- 12/2015	Yes	\$977,000	46%	\$439,650.00
Tufts-FHSA N. Grafton, MA	Roofing	9/2015- 12/2015	Yes	\$181,800	100%	\$979,009.00
Waterbury State Complex Waterbury, VT	Slate, Copper & Alum Siding	7/2014- 12/2015	Yes	\$2,083,000	47%	\$979,010.00
Cranston Street Armory Providence, RI	EPDM Roofing & Flashing	7/2015- 10/2015	Yes	\$490,700	85%	\$417,095.00
Wellesley College- Shakespeare House Wellesley, MA	Flashings, Dormers & Tile Repairs	6/2015- 9/2015	Yes	\$97,200	44%	\$42,768.00
Loomis Chaffe-Cutler Hall Windsor, CT	Slate Roofing, gutters & downspouts	12/2015- 6/2015	Yes	\$456,000.0	100%	\$456,000.00
Wellesley College- Pendleton Wellesley, MA	Slate and Copper	12/2015- 5/2016	Yes	\$537,000.0	100%	\$537,000.00
CCTA Downtown Burlington Station Burlington, MA	Wall Panels and Roofing	12/2015- 6/2016	Yes	\$843,350.0	98%	\$826,483.00
UVM STEM Initiative Burlington, VT	Roofing and Metal Panel Scope	8/2016- 1/2017	Yes	\$2,700,000.0	100%	\$2,700,000.00

**CHRISTINE CROCKER-LUSIGNAN
NO. OXFORD, MA 01537**

PRESENT POSITION:

CROCKER ARCHITECTURAL SHEET METAL CO., INC.
129 Southbridge Rd.
North Oxford, MA 01537

Owner/President/CFO

Created and established a sound financial structure for a new corporation as well as the directing of all corporate operations.

- Financial Management including bank financing and Bonding
- Financial statement preparation
- Project Accounting overview
- Appoint employment positions
- Marketing
- Bids, proposals and contract negotiations
- Human Resource and Educational Training

EMPLOYMENT RECORD:

1987 - 1991

CROCKER & WEST SHEET METAL CO., INC.,
Shrewsbury, MA
Treasurer

Responsibilities included directing the corporations accounting, data processing and overall office management activities and administrative duties; formulating and implementing appropriate financial control policies, including the computer cost management accounting system. Served as a liaison with the bank and outside legal and accounting firms. Major metal and supplies purchasing. Direct contact with field superintendents - evaluating cost control and overall job status.

1984 - 1987

WONDER MARKETS, INC.
Worcester, MA
Accounting Manager/Assistant Controller

Responsibilities included managing all accounting functions at corporation headquarters of 19 store chain; oversee accounting staff of 25 people; formulating and implementing appropriate financial control policies.

1979 - 1984

KUNIN FELT., INC.
Worcester, MA
Controller

**DAVID H. CROCKER
WORCESTER, MA 01602**

PRESENT POSITION:
1991-present

CROCKER ARCHITECTURAL SHEET METAL CO., INC.
129 Southbridge Rd.
North Oxford, MA 01537

Owner/CEO

Sales /Estimator/Project Manager

Oversees project negotiations, job estimating, scheduling and shop drawings. Field Superintendent – overseeing project including field production, materials, installation and project management.

EMPLOYMENT RECORD:

1987 – 1991

CROCKER & WEST SHEET METAL CO., INC.
Worcester, MA

Partner

~ Job Estimates, appraisals, assessments and costing
~ Oversees material purchasing, inventory control and fabrication

July 1982 - May 1987

B.H. CUTLER ROOFING CO., INC.
Worcester, MA

Sheet Metal Superintendent

~ Responsibility of production work of sheet metal workers, shop and field
~ Job estimates - appraisals and assessment on small and large jobs. Size up, measurements and job calculations.
~ Accounts Receivable - worked directly with accounting department on customer billings, payment terms and accounts receivable collections.
~ Drawings, layout and sketch proposed projects

July 1980 - July 1982

NEW ENGLAND ROOFING CO.
Worcester, MA

Sheet Metal Superintendent

~ Responsibility of production work of sheet metal workers
~ Job estimates - small jobs and produced submittals
~ Job Scheduling - coordinated men in the field and shop
~ Supervised roofers on all types of roofing jobs

Jeremy Crocker

PRESENT POSTION:

1999 - Present

Crocker Architectural Sheet Metal Co., Inc.

129 Southbridge Road

North Oxford, MA 01537

Project Superintendent

Manages project job site crew including coordination of materials and installation and sub-contractor coordination.

RECENT PROJECTS AS MECHANIC OR SUPERINTENDENT

- Chateau Sur-mer, Newport RI – Historical Cornice repair
- St. Georges School, RI – Copper Roofing
- Grace & Harwood Residence – Copper & Slate Roofing
- St Johns – Restoration - Slate, Copper, & Sarnafil Membrane
- Shelter Harbour- Wood shingles, Copper standing seam & flat seams
- St. Mary's- Copper Dome
- Monmouth Road- Slate & Copper
- Residence- Standing Seam metal roof
- Merrill Road- Slate, Copper, & Eye brow dormers
- Athol Rail Depot – Historical roofing and turret restoration
- Sacred Heart Chapel- Copper roofing and wall system

EDUCATION:

Graduate of Worcester Vocational High School (third in class)

SCHOLARSHIPS

- New England Technical Institute
- Vocational Industrial Clubs of America
 - National VICA Champion one year sheet metal
 - Regional VICA Champion two year sheet metal

National Honor Society member

Personal References upon request.

CROCKER ARCHITECTURAL SHEET METAL CO., INC.

FINANCIAL STATEMENTS

DECEMBER 31, 2014



Feeley & Driscoll, P.C.
Certified Public Accountants / Business Consultants

To the Board of Directors and Stockholders
Crocker Architectural Sheet Metal Co., Inc.
North Oxford, Massachusetts

Independent Accountant's Review Report

We have reviewed the accompanying balance sheet of Crocker Architectural Sheet Metal Co., Inc. as of December 31, 2014, and the related statements of income, changes in stockholders' equity, and cash flows for the year then ended. A review includes primarily applying analytical procedures to management's financial data and making inquiries of company management. A review is substantially less in scope than an audit, the objective of which is the expression of an opinion regarding the financial statements as a whole. Accordingly, we do not express such an opinion.

Management is responsible for the preparation and fair presentation of the financial statements in accordance with accounting principles generally accepted in the United States of America and for designing, implementing, and maintaining internal control relevant to the preparation and fair presentation of the financial statements.

Our responsibility is to conduct the review in accordance with Statements on Standards for Accounting and Review Services issued by the American Institute of Certified Public Accountants. Those standards require us to perform procedures to obtain limited assurance that there are no material modifications that should be made to the financial statements. We believe that the results of our procedures provide a reasonable basis for our report.

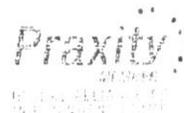
Based on our review, we are not aware of any material modifications that should be made to the accompanying financial statements in order for them to be in conformity with accounting principles generally accepted in the United States of America.

As discussed in Note 14 to the financial statements, during the year ended December 31, 2014, management has retrospectively adopted the provisions of FASB Accounting Standards Update No. 2014-7 *Applying Variable Interest Entities Guidance to Common Control Leasing Arrangements*, which changed its policy for the evaluation and consolidation of certain variable interest leasing entities. The Company has retrospectively deconsolidated its previously consolidated variable interest entity Llewellyn Realty, LLC.

Our review was made primarily for the purpose of expressing a conclusion that there are no material modifications that should be made to the financial statements in order for them to be in conformity with accounting principles generally accepted in the United States of America. The supplementary information included in the accompanying schedules, as described in the Table of Contents, is presented for purposes of additional analysis and is not a required part of the basic financial statements. Such information has been subjected to the inquiry and analytical procedures applied in the review of the basic financial statements, and we did not become aware of any material modifications that should be made to such information.

Boston, Massachusetts
February 10, 2015

200 Portland Street • Boston, Massachusetts 02114-1709 • (617) 742-7788
154 Broad Street • Nashua, New Hampshire 03061-3158 • (603) 889-0444
www.fdcpa.com • Fax: (617) 742-0210



CROCKER ARCHITECTURAL SHEET METAL CO., INC.

Balance Sheet

December 31, 2014

Assets

Current assets:

Cash and cash equivalents	\$ 75,505
Contract receivables, net	2,516,495
Inventories	197,017
Costs and estimated earnings in excess of billings on uncompleted contracts	28,361
Prepaid expenses and other current assets	89,884
Total current assets	<u>2,907,262</u>

Property and equipment:

Motor vehicles	525,402
Equipment	498,767
Office equipment	57,622
Leasehold improvements	25,377
Furniture and fixtures	19,562
	<u>1,126,730</u>
Less accumulated depreciation and amortization	919,519
	<u>207,211</u>

Other assets -

Premium advances on split dollar life insurance	<u>85,511</u>
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\$ 3,199,984

See accompanying independent accountant's review report
and notes to financial statements.

CROCKER ARCHITECTURAL SHEET METAL CO., INC.

Balance Sheet - Continued

December 31, 2014

Liabilities and Stockholders' Equity

Current liabilities:	
Current maturities of long-term debt	\$ 56,144
Accounts payable	263,334
Billings in excess of costs and estimated earnings on uncompleted contracts	1,662,615
Accrued expenses and other current liabilities	86,953
Total current liabilities	<u>2,069,046</u>
Long-term liabilities:	
Long-term debt, less current maturities	78,563
Line of credit	225,000
Due to officers	7,568
	<u>311,131</u>
Commitments and contingencies	
Stockholders' equity:	
Common stock, no par value; 10,000 shares authorized; 2,000 shares issued and outstanding	5,000
Retained earnings	814,807
Total stockholders' equity	<u>819,807</u>
	<u>\$ 3,199,984</u>

See accompanying independent accountant's review report
and notes to financial statements.

CROCKER ARCHITECTURAL SHEET METAL CO., INC.

Statement of Income

For the year ended December 31, 2014

Contract revenues earned	\$ 8,177,604
Cost of revenues earned	<u>6,146,930</u>
Gross profit	2,030,674
Selling, general and administrative expenses	<u>1,846,818</u>
Income from operations	<u>183,856</u>
Other (expense) income:	
Interest income	90
Loss on sale of property and equipment	(6,097)
Interest expense	(8,992)
Other expense	<u>(1,947)</u>
	<u>(16,946)</u>
Income before state income taxes	166,910
State income tax expense	<u>1,346</u>
Net income	<u><u>\$ 165,564</u></u>

See accompanying independent accountant's review report
and notes to financial statements.