State of Rhode Island and Providence Plantations
Department of Administration
Division of Purchases

RIVIP BIDDER CERTIFICATION COVER FORM

SECTION 1 - BIDDER INFORMATION

Bidder must be registered as a vendor on the RIVIP system at www.purchasing.ri.gov to submit a bid proposal.

Solicitation Number:	7549543A3
Solicitation Title:	HVAC UPGRADE AT THE RI LOTTERY BUILDING, DOR - ADDENDUM 3 (5 PGS)
Bid Proposal Submission Deadline Date & Time:	6/8/2015 2:30 PM
RIVIP Vendor ID #:	31492
Bidder Name:	Delta Mechanical Contractors LLC
Address:	44 Wilclar Street Warwick , RI 02886 USA
Telephone:	(401) 737-3500
Fax:	(401) 739-7376
Contact Name:	Bruce Bookbinder
Contact Title:	President
Contact Email:	j.bookbinder@deltamechllc.com

SECTION 2 —DISCLOSURES

Bidders must respond to every statement. Bid proposals submitted without a complete response may be deemed nonresponsive.

Indicate "Y" (Yes) or "N" (No) for Disclosures 1-4, and if "Yes," provide details below. Complete Disclosure 5. If the Bidder is publicly held, the Bidder may provide owner information about only those stockholders, members, partners, or other owners that hold at least 10% of the record or beneficial equity interests of the Bidder.

N 1. State whether the Bidder, or any officer, director, manager, stockholder, member, partner, or other owner or principal of the Bidder or any parent, subsidiary, or affiliate has been subject to suspension or debarment by any federal, state, or municipal governmental authority, or the subject of criminal prosecution, or convicted of a criminal offense within the previous 5 years. If "Yes," provide details below.

N 2. State whether the Bidder, or any officer, director, manager, stockholder, member, partner, or other owner or principal of the Bidder or any parent, subsidiary, or affiliate has had any contracts with a federal, state, or municipal governmental authority terminated for any reason within the previous 5 years. If "Yes," provide details below.

N 3. State whether the Bidder, or any officer, director, manager, stockholder, member, partner, or other owner or principal of the Bidder or any parent, subsidiary, or affiliate has been fined more than $5000 for violation(s) of any Rhode Island environmental law(s) by the Rhode Island Department of Environmental Management within the previous 5 years. If "Yes," provide details below.

N

4. State whether any officer, director, manager, stockholder, member, partner, or other owner or principal of the Bidder is serving or has served within the past two calendar years as either an appointed or elected official of any state governmental authority or quasi-public corporation, including without limitation, any entity created as a legislative body or public or state agency by the general assembly or constitution of this state.

5. List each officer, director, manager, stockholder, member, partner, or other owner or principal of the Bidder, and each intermediate parent company and the ultimate parent company of the Bidder. For each individual, provide his or her name, business address, principal occupation, position with the Bidder, and the percentage of ownership, if any, he or she holds in the Bidder, and each intermediate parent company and the ultimate parent company of the Bidder.

Disclosure details (continue on additional sheet if necessary):

Bruce Beckhinder - President
David Greenberg - Vice President
Kim Beckhinder - Treasurer
Michael Spooner - Secretary

SECTION 3 —CERTIFICATIONS

Bidders must respond to every statement. Bid proposals submitted without a complete response may be deemed nonresponsive.

Indicate "Y" (Yes) or "N" (No), and if "No," provide details below.

THE BIDDER CERTIFIES THAT:

Y 1. The Bidder will immediately disclose, in writing, to the State Purchasing Agent any potential conflict of interest which may occur during the term of any contract awarded pursuant to this solicitation.

Y 2. The Bidder possesses all licenses and anyone who will perform any work will possess all licenses required by applicable federal, state, and local law necessary to perform the requirements of any contract awarded pursuant to this solicitation and will maintain all required licenses during the term of any contract awarded pursuant to this solicitation. In the event that any required license shall lapse or be restricted or suspended, the Bidder shall immediately notify the State Purchasing Agent in writing.

Y 3. The Bidder will maintain all required insurance during the term of any contract pursuant to this solicitation. In the event that any required insurance shall lapse or be canceled, the Bidder will immediately notify the State Purchasing Agent in writing.

Y 4. The Bidder understands that falsification of any information in this bid proposal or failure to notify the State Purchasing Agent of any changes in any disclosures or certifications in this Bidder Certification may be grounds for suspension, debarment, and/or prosecution for fraud.

Y 5. The Bidder has not paid and will not pay any bonus, commission, fee, gratuity, or other remuneration to any employee or official of the State of Rhode Island or any subdivision of the State of Rhode Island or other governmental authority for the purpose of obtaining an award of a contract pursuant to this solicitation. The Bidder further certifies that no bonus, commission, fee, gratuity, or other remuneration has been or will be received from any third party or paid to any third party contingent on the award of a contract pursuant to this solicitation.

Y 6. This bid proposal is not a collusive bid proposal. Neither the Bidder, nor any of its owners, stockholders, members, partners, principals, directors, managers, officers, employees, or agents has in any way colluded, conspired, or agreed, directly or indirectly, with any other bidder or person to submit a collusive bid proposal in response to the solicitation or to refrain from submitting a bid proposal in response to the solicitation, or has in any manner, directly or indirectly, sought by agreement or collusion or other communication with any other bidder or person to fix the price or prices in the bid proposal or the bid proposal of any other bidder, or to fix any overhead, profit, or cost component of the bid price in the bid proposal or the bid proposal of any other bidder, or to secure through any collusion, conspiracy, or unlawful agreement any advantage against the State of Rhode Island or any person with an interest in the contract awarded pursuant to this solicitation. The bid price in the bid proposal is fair and proper and is not tainted by any collusion, conspiracy, or unlawful agreement on the part of the Bidder, its owners, stockholders, members, partners, principals, directors, managers, officers, employees, or agents.

Y 7. The Bidder: (i) is not identified on the General Treasurer's list created pursuant to R.I. Gen. Laws § 37-2.5-3 as a person or entity engaging in investment activities in Iran described in § 37-2.5-2(b); and (ii) is not engaging in any such investment activities in Iran.

Y 8. The Bidder will comply with all of the laws that are incorporated into and/or applicable to any contract with the State of Rhode Island.

Certification details (continue on additional sheet if necessary):

Submission by the Bidder of a bid proposal pursuant to this solicitation constitutes an offer to contract with the State of Rhode Island through the Division of Purchases on the terms and conditions contained in this solicitation and the bid proposal. The Bidder certifies that: (1) the Bidder has reviewed this solicitation and agrees to comply with its terms and conditions; (2) the bid proposal is based on this solicitation; and (3) the information submitted in the bid proposal (including this Bidder Certification Cover Form) is accurate and complete. The Bidder acknowledges that the terms and conditions of this solicitation and the bid proposal will be incorporated into any contract awarded to the Bidder pursuant to this solicitation and the bid proposal. The person signing below represents, under penalty of perjury, that he or she is fully informed regarding the preparation and contents of this bid proposal and has been duly authorized to execute and submit this bid proposal on behalf of the Bidder.

BIDDER

Date: 6/8/2015

DELTA MECHANICAL CONTRACTORS, LLC
Name of Bidder

Signature in ink

Bare Bockbinder - President
Printed name and title of person signing on behalf of Bidder

Solicitation #: 7549543 Addendum Number Three
Solicitation Title: HVAC Upgrade at the RI Lottery Building, DOR

BID FORM

To: The State of Rhode Island Department of Administration
Division of Purchases, 2nd Floor
One Capitol Hill, Providence, RI 02908-5855

Bidder: DELTA MECHANICAL CONTRACTORS, LLC
Legal name of entity
44 WILCLAR St. WARWICK, RI 02886
Address (street/city/state/zip)
Bruce Backbinder B.backbinder@deltamechllc.com
Contact name Contact email
401-737-3500 401-739-7376
Contact telephone Contact fax

1. BASE BID PRICE

The Bidder submits this bid proposal to perform all of the work (including labor and materials) described in the solicitation for this Base Bid Price (*including the costs for all Allowances, Bonds, and Addenda)*:

$ 372,000
(base bid price *in figures* printed electronically, typed, or handwritten legibly in ink)

THREE HUNDRED SEVENTY TWO THOUSAND DOLLARS
(base bid price *in words* printed electronically, typed, or handwritten legibly in ink)

- **Allowances**

The Base Bid Price ***includes*** the costs for the following Allowances:

No. 1: Temporary Ventilation & Air Conditioning	$ 40,000.00
No. 2: Hazardous Material Abatement	$15,000.00
No. 3: Contingency	$20,000.00
Total Allowances:	$75,000.00

Solicitation #: 7549543 Addendum Number Three
Solicitation Title: HVAC Upgrade at the RI Lottery Building, DOR

- **Bonds**

  The Base Bid Price ***includes*** the costs for all Bid and Payment and Performance Bonds required by the solicitation.

- **Addenda**

  The Bidder has examined the entire solicitation (including the following Addenda), and the Base Bid Price ***includes*** the costs of any modifications required by the Addenda.

  All Addenda must be acknowledged.

  Addendum No. 1 dated: May 22nd 2015

  Addendum No. 2 dated: June 1st 2015

  Addendum No. 3 dated: June 1st 2015

2. **ALTERNATES** (*Additions* to Base Bid Price)

The Bidder offers to: (i) perform the work described in these Alternates as selected by the State in the order of priority specified below, based on the availability of funds and the best interest of the State; and (ii) increase or reduce the Base Bid Price by the amount set forth below for each Alternate selected.
Check "Add" or "Subtract."

Add Alternate No. 1: The scope include replacement of existing cooling tower with new cooling tower as shown on construction document M-1.0, M-2.0, M-3.0 & M-4.0

$ 64,500
(amount *in figures* printed electronically, typed, or handwritten legibly in ink)

SIXTY FOUR THOUSAND FIVE HUNDRED DOLLARS
(amount *in words* printed electronically, typed, or handwritten legibly in ink)

Solicitation #: 7549543 Addendum Number Three
Solicitation Title: HVAC Upgrade at the RI Lottery Building, DOR

3. UNIT PRICES

The Bidder submits these predetermined Unit Prices as the basis for any change orders approved in advance by the State. These Unit Prices include ***all*** costs, including labor, materials, services, regulatory compliance, overhead, and profit.

Unit Price No. 1: _____ $_____

4. CONTRACT TIME

The Bidder offers to perform the work in accordance with the timeline specified below:

- Start of construction: UPON RECEIPT OF PURCHASE ORDER
- Substantial completion: **120 Days**

5. LIQUIDATED DAMAGES

The successful bidder awarded a contract pursuant to this solicitation shall be liable for and pay the State, as liquidated damages and not as a penalty, the following amount for each calendar day of delay beyond the date for substantial completion, as determined in the sole discretion of the State: $250.00

Solicitation #: 7549543 Addendum Number Three
Solicitation Title: HVAC Upgrade at the RI Lottery Building, DOR

This bid proposal is irrevocable for 60 days from the bid proposal submission deadline.

If the Bidder is determined to be the successful bidder pursuant to this solicitation, the Bidder will promptly: (i) comply with each of the requirements of the Tentative Letter of Award; and (ii) commence and diligently pursue the work upon issuance and receipt of the purchase order from the State and authorization from the user agency.

The person signing below certifies that he or she has been duly authorized to execute and submit this bid proposal on behalf of the Bidder.

BIDDER

Date: 6/8/2015

DELTA MECHANICAL CONTRACTORS, LLC
Name of Bidder

Signature in ink

Bruce Bucklbinder - President
Printed name and title of person signing on behalf of Bidder

34713
Bidder's Contractor Registration Number

BID BOND

Any singular reference to Contractor, Surety, Owner or other party shall be considered plural where applicable.

CONTRACTOR:
(Name, legal status and address)
DELTA MECHANICAL CONTRACTORS, LLC
44 WILCLAR STREET
WARWICK, RI 02886

SURETY:
(Name, legal status and principal place of business):
BERKLEY INSURANCE COMPANY
475 STEAMBOAT ROAD
GREENWICH, CT 06830

OWNER:
(Name, legal status and address)
STATE OF RHODE ISLAND
DEPARTMENT OF ADMINISTRATION, DIVISION OF PURCHASES
ONE CAPITOL HILL, SECOND FLOOR
PROVIDENCE, RI 02908

BOND AMOUNT: $ FIVE PERCENT (5%) OF THE ATTACHED BID DOLLARS

PROJECT: 7549543
(Name, location or address, and Project number, if any)
HVAC UPGRADES - RI LOTTERY BUILDING
1425 PONTIAC AVENUE
CRANSTON, RI 02920

The Contractor and Surety are bound to the Owner in the amount set forth above, for the payment of which the Contractor and Surety bind themselves, their heirs, executors, administrators, successors and assigns, jointly and severally, as provided herein. The conditions of this Bond are such that if the Owner accepts the bid of the Contractor within the time specified in the bid documents, or within such time period as may be agreed to by the Owner and Contractor, and the Contractor either (1) enters into a contract with the Owner in accordance with the terms of such bid, and gives such bond or bonds as may be specified in the bidding or Contract Documents, with a Surety admitted in the jurisdiction of the Project and otherwise acceptable to the Owner, for the faithful performance of such Contract and for the prompt payment of labor and material furnished in the prosecution thereof; or (2) pays to the Owner the difference, not to exceed the amount of this Bond, between the amount specified in said bid and such larger amount for which the Owner may in good faith contract with another party to perform the work covered by said bid, then this obligation shall be null and void, otherwise to remain in full force and effect. The Surety hereby waives any notice of an agreement between the Owner and Contractor to extend the time in which the Owner may accept the bid. Waiver of notice by the Surety shall not apply to any extension exceeding sixty (60) days in the aggregate beyond the time for acceptance of bids specified in the bid documents, and the Owner and Contractor shall obtain the Surety's consent for an extension beyond sixty (60) days.

If this Bond is issued in connection with a subcontractor's bid to a Contractor, the term Contractor in this Bond shall be deemed to be Subcontractor and the term Owner shall be deemed to be Contractor.

When this Bond has been furnished to comply with a statutory or other legal requirement in the location of the Project, any provision in this Bond conflicting with said statutory or legal requirement shall be deemed deleted herefrom and provisions conforming to such statutory or other legal requirements shall be deemed incorporated herein. When so furnished, the intent is that this Bond shall be construed as a statutory bond and not as a common law bond.

Signed and sealed this 8th day of June , 2015 .

DELTA MECHANICAL CONTRACTORS, LLC

(Witness)

(Principal) *(Seal)*

BRUCE BOOKBINDER, PRESIDENT
(Title)

(Witness) SHANNON L. CROWLEY

BERKLEY INSURANCE COMPANY
(Surety) *(Seal)*

(Attorney-in-Fact) BRIAN M. ROSSI

The Company executing this bond vouches that this document conforms to American Institute of Architects Document A310 - 2010 Edition

No. BI-7966a

POWER OF ATTORNEY
BERKLEY INSURANCE COMPANY
WILMINGTON, DELAWARE

NOTICE: The warning found elsewhere in this Power of Attorney affects the validity thereof. Please review carefully.

KNOW ALL MEN BY THESE PRESENTS, that BERKLEY INSURANCE COMPANY (the "Company"), a corporation duly organized and existing under the laws of the State of Delaware, having its principal office in Greenwich, CT, has made, constituted and appointed, and does by these presents make, constitute and appoint: ***Brian M. Rossi; Robert G. Padula; Christopher A. Iannotti; Richard A. Padula; Joseph J. Padula; Christopher A. Plympton; Judith A. Briggs; Elisa Cardone; or Shannon L. Crowley of Gencorp Insurance Group, Inc. of E. Greenwich, RI*** its true and lawful Attorney-in-Fact, to sign its name as surety only as delineated below and to execute, seal, acknowledge and deliver any and all bonds and undertakings, with the exception of Financial Guaranty Insurance, providing that no single obligation shall exceed **Fifty Million and 00/100 U.S. Dollars (U.S.$50,000,000.00),** to the same extent as if such bonds had been duly executed and acknowledged by the regularly elected officers of the Company at its principal office in their own proper persons.

This Power of Attorney shall be construed and enforced in accordance with, and governed by, the laws of the State of Delaware, without giving effect to the principles of conflicts of laws thereof. This Power of Attorney is granted pursuant to the following resolutions which were duly and validly adopted at a meeting of the Board of Directors of the Company held on January 25, 2010:

RESOLVED, that, with respect to the Surety business written by Berkley Surety Group, the Chairman of the Board, Chief Executive Officer, President or any Vice President of the Company, in conjunction with the Secretary or any Assistant Secretary are hereby authorized to execute powers of attorney authorizing and qualifying the attorney-in-fact named therein to execute bonds, undertakings, recognizances, or other suretyship obligations on behalf of the Company, and to affix the corporate seal of the Company to powers of attorney executed pursuant hereto; and said officers may remove any such attorney-in-fact and revoke any power of attorney previously granted; and further

RESOLVED, that such power of attorney limits the acts of those named therein to the bonds, undertakings, recognizances, or other suretyship obligations specifically named therein, and they have no authority to bind the Company except in the manner and to the extent therein stated; and further

RESOLVED, that such power of attorney revokes all previous powers issued on behalf of the attorney-in-fact named; and further

RESOLVED, that the signature of any authorized officer and the seal of the Company may be affixed by facsimile to any power of attorney or certification thereof authorizing the execution and delivery of any bond, undertaking, recognizance, or other suretyship obligation of the Company; and such signature and seal when so used shall have the same force and effect as though manually affixed. The Company may continue to use for the purposes herein stated the facsimile signature of any person or persons who shall have been such officer or officers of the Company, notwithstanding the fact that they may have ceased to be such at the time when such instruments shall be issued.

IN WITNESS WHEREOF, the Company has caused these presents to be signed and attested by its appropriate officers and its corporate seal hereunto affixed this 31st day of October, 2014.

Attest:

(Seal)

By _____
Ira S. Lederman
Senior Vice President & Secretary

Berkley Insurance Company

By _____
Jeffrey M. Hafter
Senior Vice President

WARNING: THIS POWER INVALID IF NOT PRINTED ON BLUE "BERKLEY" SECURITY PAPER.

STATE OF CONNECTICUT)
) ss:
COUNTY OF FAIRFIELD)

Sworn to before me, a Notary Public in the State of Connecticut, this 31st day of October, 2014, by Ira S. Lederman and Jeffrey M. Hafter who are sworn to me to be the Senior Vice President and Secretary, and the Senior Vice President, respectively, of Berkley Insurance Company.

MARIA C. RUNDBAKEN
NOTARY PUBLIC
MY COMMISSION EXPIRES
APRIL 30, 2019

_____
Notary Public, State of Connecticut

CERTIFICATE

I, the undersigned, Assistant Secretary of BERKLEY INSURANCE COMPANY, DO HEREBY CERTIFY that the foregoing is a true, correct and complete copy of the original Power of Attorney; that said Power of Attorney has not been revoked or rescinded and that the authority of the Attorney-in-Fact set forth therein, who executed the bond or undertaking to which this Power of Attorney is attached, is in full force and effect as of this date.

Given under my hand and seal of the Company, this 8th day of JUNE, 2015.

(Seal)

_____
Andrew M. Tuma

WARNING – Any unauthorized reproduction or alteration of this document is prohibited. This power of attorney is void unless seals are readable and the certification seal at the bottom is embossed. The background imprint, warning and confirmation (on reverse) must be in blue ink.

AIA® Document A305™ – 1986

Contractor's Qualification Statement

The Undersigned certifies under oath that the information provided herein is true and sufficiently complete so as not to be misleading.

SUBMITTED TO: State of Rhode Island - Department of Administration

ADDRESS: One Capitol Hill, Providence, RI 02908

SUBMITTED BY: Delta Mechanical Contractors, LLC.

NAME: David M. Greenberg

ADDRESS: 44 Wilclar Street Warwick, RI 02886

PRINCIPAL OFFICE:

[ ] Corporation
[ ] Partnership
[ ] Individual
[ ] Joint Venture
[X] Other LLC.

NAME OF PROJECT *(if applicable)*: HVAC UPGRADE AT THE RI LOTTERY BUILDING, DOR RIVIP# 7549543A3

TYPE OF WORK (file separate form for each Classification of Work):

[X] General Construction
[X] HVAC
[X] Electrical
[ ] Plumbing
[ ] Other (please specify)

§ 1. ORGANIZATION

§ 1.1 How many years has your organization been in business as a Contractor? 23

§ 1.2 How many years has your organization been in business under its present business name? 8

§ 1.2.1 Under what other or former names has your organization operated? Delta Mechanical Inc; Delta Mechanical of New England, Inc.; Delta Keyspan Inc.; Delta Keyspan LLC.

ADDITIONS AND DELETIONS: The author of this document has added information needed for its completion. The author may also have revised the text of the original AIA standard form. An *Additions and Deletions Report* that notes added information as well as revisions to the standard form text is available from the author and should be reviewed. A vertical line in the left margin of this document indicates where the author has added necessary information and where the author has added to or deleted from the original AIA text.

This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

This form is approved and recommended by the American Institute of Architects (AIA) and The Associated General Contractors of America (AGC) for use in evaluating the qualifications of contractors. No endorsement of the submitting party or verification of the information is made by AIA or AGC.

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User Notes:
(3633154100)

§ 1.3 If your organization is a corporation, answer the following:

§ 1.3.1 Date of incorporation: August 1999

§ 1.3.2 State of incorporation: Delaware

§ 1.3.3 President's name: Bruce Bookbinder

§ 1.3.4 Vice-president's name(s) David Greenberg

§ 1.3.5 Secretary's name: Ass't; Michael Spooner, Dennis Medeiros, Steven Wiseman , Kevin Munroe

§ 1.3.6 Treasurer's name: Kimberly Bookbinder

§ 1.4 If your organization is a partnership, answer the following:

§ 1.4.1 Date of organization:

§ 1.4.2 Type of partnership (if applicable):

§ 1.4.3 Name(s) of general partner(s)

§ 1.5 If your organization is individually owned, answer the following:

§ 1.5.1 Date of organization:

§ 1.5.2 Name of owner:

§ 1.6 If the form of your organization is other than those listed above, describe it and name the principals:

§ 2. LICENSING

§ 2.1 List jurisdictions and trade categories in which your organization is legally qualified to do business, and indicate registration or license numbers, if applicable. RI Master Plumber 001953 RI Master Pipefitter 00006195 MA Master Plumber Gasfitter 11526 MA Master Pipefitter 22972 RI Master Fire Protection 00000355 MA Refrigeration Tech 15292

§ 2.2 List jurisdictions in which your organization's partnership or trade name is filed.

Rhode Island, Connecticut, Massachusetts, Delaware, New Hampshire & South Carolina

§ 3. EXPERIENCE

§ 3.1 List the categories of work that your organization normally performs with its own forces.

Plumbing, HVAC & Fire Protection

§ 3.2 Claims and Suits. (If the answer to any of the questions below is yes, please attach details.)

§ 3.2.1 Has your organization ever failed to complete any work awarded to it?

NO

§ 3.2.2 Are there any judgments, claims, arbitration proceedings or suits pending or outstanding against your organization or its officers?

NO

§ 3.2.3 Has your organization filed any law suits or requested arbitration with regard to construction contracts within the last five years?

NO (we have been asked to join in arbitration)

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User Notes:
(3633154100)

§ 3.3 Within the last five years, has any officer or principal of your organization ever been an officer or principal of another organization when it failed to complete a construction contract? (If the answer is yes, please attach details.)

NO

§ 3.4 On a separate sheet, list major construction projects your organization has in progress, giving the name of project, owner, architect, contract amount, percent complete and scheduled completion date.

See Attached Item #1

§ 3.4.1 State total worth of work in progress and under contract:

$46,591,953

§ 3.5 On a separate sheet, list the major projects your organization has completed in the past five years, giving the name of project, owner, architect, contract amount, date of completion and percentage of the cost of the work performed with your own forces.

See Attached Item #2

§ 3.5.1 State average annual amount of construction work performed during the past five years:

$51,973,251

§ 3.6 On a separate sheet, list the construction experience and present commitments of the key individuals of your organization. See Attached Item #3 - Resume's of key individuals

We currently have sufficient bonding capacity and available work force

§ 4. REFERENCES

§ 4.1 Trade References: Seekonk Supply - Matt Quirk - 508-336-6650
Newman Associates - Hank Newman - 781-329-4000
Johnson Insulation - Steve Johnson - 401-433-5116

§ 4.2 Bank References: Sovereign Bank - Attn: Michael Smith 401-752-1431
One Financial Plaza
Providence, RI 02903

§ 4.3 Surety:

§ 4.3.1 Name of bonding company: Berkley Surety Group
290 Donald J Lynch Blvd
Marlborough, MA 01752

§ 4.3.2 Name and address of agent: Brian Rossi - Gencorp Insurance Group - 401-884-7800
16 Main Street
East Greenwich, RI 02818

§ 5. FINANCING

§ 5.1 Financial Statement.

§ 5.1.1 Attach a financial statement, preferably audited, including your organization's latest balance sheet and income statement showing the following items: See Attached Item #4

Current Assets (e.g., cash, joint venture accounts, accounts receivable, notes receivable, accrued income, deposits, materials inventory and prepaid expenses); $14,765,545

Net Fixed Assets; $427,544

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User Notes:
(3633154100)

Other Assets; $83,119

Current Liabilities (e.g., accounts payable, notes payable, accrued expenses, provision for income taxes, advances, accrued salaries and accrued payroll taxes); $10,926,876

Other Liabilities (e.g., capital, capital stock, authorized and outstanding shares par values, earned surplus and retained earnings). $4,276,644

§ 5.1.2 Name and address of firm preparing attached financial statement, and date thereof:
Feeley & Driscoll

200 Portland Street

Boston, MA 02114 - Date: October 30, 2013

§ 5.1.3 Is the attached financial statement for the identical organization named on page one?
No, Delta is a wholly owned subsidiary of Sycamore Enterprises, LLC.

Delta's assets, liabilities and equity are identified on pages 26-30 of the audited statements.

§ 5.1.4 If not, explain the relationship and financial responsibility of the organization whose financial statement is provided (e.g., parent-subsidiary).

Parent-subsidiary

§ 5.2 Will the organization whose financial statement is attached act as guarantor of the contract for construction? Yes

§ 6. SIGNATURE

§ 6.1 Dated at this 8th day of June 2015

Name of Organization: Delta Mechanical Contractors, LLC

By:

Title: President

6.2

M being duly sworn deposes and says that the information provided herein is true and sufficiently complete so as not to be misleading.

Subscribed and sworn before me this 8 day of June 2015

Notary Public:

My Commission Expires: 12/11/16

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User Notes:
(3633154100)

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS

Department of Labor and Training
Center General Complex
1511 Pontiac Avenue
Cranston, RI 02920-4407

TTY: Via RI Relay 711

Lincoln D. Chafee
Governor
Charles J. Fogarty
Director

STATE CONTRACT ADDENDUM

RHODE ISLAND DEPARTMENT OF LABOR AND TRAINING

PREVAILING WAGE REQUIREMENTS (37-13-1 ET SEQ.)

The prevailing wage requirements are generally set forth in RIGL 37-13-1 et seq. These requirements refer to the prevailing rate of pay for regular, holiday, and overtime wages to be paid to each craftsmen, mechanic, teamster, laborer, or other type of worker performing work on public works projects when state or municipal funds exceed one thousand dollars ($1,000).

All Prevailing Wage Contractors and Subcontractors are required to:

1. Submit to the Awarding Authority a list of the contractor's subcontractors for any part or all of the prevailing wage work in accordance with RIGL § 37-13-4;

2. Pay all prevailing wage employees at least once per week and in accordance with RIGL §37-13-7 (see Appendix B attached);

3. Post the prevailing wage rate scale and the Department of Labor and Training's prevailing wage poster in a prominent and easily accessible place on the work site in accordance with RIGL §37-13-11; posters may be downloaded at www.dlt.ri.gov/pw/Posters.htm .poster/htm or obtained from the Department of Labor and Training, Center General Complex, 1511 Pontiac Avenue, Cranston, Rhode Island;

4. Access the Department of Labor and Training website, at www.dlt.ri.gov on or before July 1st of each year, until such time as the contract is completed, to ascertain the current prevailing wage rates and the amount of payment or contributions for each covered prevailing wage employee and make any necessary adjustments to the covered employee's prevailing wage rates effective July 1st of each year in compliance with RIGL §37-13-8;

5. Attach a copy of this CONTRACT ADDENDUM and its attachments as a binding obligation to any and all contracts between the contractor and any

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS

Department of Labor and Training
Center General Complex
1511 Pontiac Avenue
Cranston, RI 02920-4407

Telephone; (401) 462-8000
TTY; Via RI Relay 711

Lincoln D. Chafee
Governor
Charles J. Fogarty
Director

subcontractors and their assignees for prevailing wage work performed pursuant to this contract;

6. Provide for the payment of overtime for prevailing wage employees who work in excess of eight (8) hours in any one day or forty (40) hours in any one week as provided by RIGL §37-13-10;

7. Maintain accurate prevailing wage employee payroll records on a Rhode Island Certified Weekly Payroll form available for download at www.dlt.ri.gov/pw.forms/htm, as required by RIGL §37-13-13, and make those records available to the Department of Labor and Training upon request;

8. Furnish the fully executed RI Certified Weekly Payroll Form to the awarding authority on a monthly basis for all work completed in the preceding month.

9. For general or primary contracts one million dollars ($1,000,000) or more, shall maintain on the work site a fully executed RI Certified Prevailing Wage Daily Log listing the contractor's employees employed each day on the public works site; the RI Certified Prevailing Wage Daily Log shall be available for inspection on the public works site at all times; this rule shall not apply to road, highway, or bridge public works projects. Where applicable, furnish both the Rhode Island Certified Prevailing Wage Daily Log together with the Rhode Island Weekly Certified Payroll to the awarding authority.

10. Assure that all covered prevailing wage employees on construction projects with a total project cost of one hundred thousand dollars ($100,000) or more has a OSHA ten (10) hour construction safety certification in compliance with RIGL § 37-23-1;

11. Employ apprentices for the performance of the awarded contract when the contract is valued at one million dollars ($1,000,000) or more, and comply with the apprentice to journeyperson ratio for each trade approved by the apprenticeship council of the Department of Labor and Training in compliance with RIGL §37-13-3.1;

12. Assure that all prevailing wage employees who perform work which requires a Rhode Island trade license possess the appropriate Rhode Island trade license in compliance with Rhode Island law; and

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13. Comply with all applicable provisions of RIGL §37-13-1, et. seq;

Any questions or concerns regarding this CONTRACT ADDENDUM should be addressed to the contractor or subcontractor's attorney. Additional Prevailing Wage information may be obtained from the Department of Labor and Training at www.dlt.ri.gov/pw.

CERTIFICATION

I hereby certify that I have reviewed this CONTRACT ADDENDUM and understand my obligations as stated above.

By: _____

Title: President

Subscribed and sworn before me this 8 day of June, 2015

_____
Notary Public
My commission expires: 12/11/16

An Equal Opportunity Employer/Program, /Auxiliary aids and services are available upon request to individuals with disabilities.
TTY via RI Relay 711

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APPENDIX A

TITLE 37
Public Property and Works

CHAPTER 37-13
Labor and Payment of Debts by Contractors

SECTION 37-13-5

§37-13-5 Payment for trucking or materials furnished - Withholding of sums due. -A contractor or subcontractor on public works authorized by a proper authority shall pay any obligation or charge for trucking and material which have been furnished for the use of the contractor or subcontractor, in connection with the public works being performed by him or her, within ninety (90) days after the obligation or charge is incurred or the trucking service has been performed or the material has been delivered to the site of the work, whichever is later. When it is brought to the notice of the proper authority in a city or town, or the proper authority in the state having supervision of the contract, that the obligation or charge has not been paid by the contractor or subcontractor, the proper authority may deduct and hold for a period not exceeding sixty (60) days, from sums of money due to the contractor or subcontractor, the equivalent amount of such sums certified by a trucker or materialman creditor as due him or her, as provided in this section, and which the proper authority determines is reasonable for trucking performed or materials furnished for the public works.

APPRENDIX B

TITLE 37
Public Property and Works

CHAPTER 37-13
Labor and Payment of Debts by Contractors

SECTION 37-13-7

§ 37-13-7 Specification in contract of amount and frequency of payment of wages. -(a) Every call for bids for every contract in excess of one thousand dollars ($1,000), to which the state of Rhode Island or any political subdivision thereof or any public agency or quasi-public agency is a party, for construction, alteration, and/or repair, including painting and decorating, of public buildings or public works of the state of Rhode Island or any political subdivision thereof, or any public agency or quasi-public agency and which requires or involves the employment of employees, shall contain a provision stating the minimum wages to be paid various types of employees which shall be based upon the wages that will be determined by the director of labor and training to be prevailing for the corresponding types of employees employed on projects of a character similar to the contract work in the city, town, village, or other appropriate political subdivision of the state of Rhode Island in which the work is to be performed. Every contract shall contain a stipulation that the contractor or his or her subcontractor shall pay all the employees employed directly upon the site of the work, unconditionally and not less often than once a week, and without subsequent deduction or rebate on any account, the full amounts accrued at time of payment computed at wage rates not less than those stated in the call for bids, regardless of any contractual relationships which may be alleged to exist between the contractor or subcontractor and the employees, and that the scale of wages to be paid shall be posted by the contractor in a prominent and easily accessible place at the site of the work; and the further stipulation that there may be withheld from the contractor so much of the accrued payments as may be considered necessary to pay to the employees employed by the contractor, or any subcontractor on the work, the difference between the rates of wages required by the contract to be paid the employees on the work and the rates of wages received by the employees and not refunded to the contractor, subcontractors, or their agents.

(b) The terms "wages", "scale of wages", "wage rates", "minimum wages", and "prevailing wages" shall include:

(1) The basic hourly rate of pay; and

(2)) The amount of:

(A) The rate of contribution made by a contractor or subcontractor to a trustee or to a third person pursuant to a fund, plan, or program; and

(B) The rate of costs to the contractor or subcontractor which may be reasonably anticipated in providing benefits to employees pursuant to an enforceable commitment to carry out a financially responsible plan or program which was communicated in writing to the employees affected, for medical or hospital care, pensions on retirement or death, compensation for injuries or illness resulting from occupational activity, or insurance to provide any of the foregoing, for unemployment benefits, life insurance, disability and sickness insurance, or accident insurance, for vacation and holiday pay, for defraying costs of apprenticeship or other similar programs, or for other bona fide fringe benefits, but only where the contractor or subcontractor is not required by other federal, state, or local law to provide any of the benefits; provided, that the obligation of a contractor or subcontractor to make payment in accordance with the prevailing wage determinations of the director of labor and training insofar as this chapter of this title and other acts incorporating this chapter of this title by reference are concerned may be discharged by the making of payments in cash, by the making of contributions of a type referred to in subsection (b)(2), or by the assumption of an enforceable commitment to bear the costs of a plan or program of a type referred to in this subdivision, or any combination thereof, where the aggregate of any payments, contributions, and costs is not less than the rate of pay described in subsection (b)(1) plus the amount referred to in subsection (b)(2).

(c) The term "employees", as used in this section, shall include employees of contractors or subcontractors performing jobs on various types of public works including mechanics, apprentices, teamsters, chauffeurs, and laborers engaged in the transportation of gravel or fill to the site of public works, the removal and/or delivery of gravel or fill or ready-mix concrete, sand, bituminous stone, or asphalt flowable fill from the site of public works, or the transportation or removal of gravel or fill from one location to another on the site of public works, and the employment of the employees shall be subject to the provisions of subsections (a) and (b).

(d) The terms "public agency" and "quasi-public agency" shall include, but not be limited to, the Rhode Island industrial recreational building authority, the Rhode Island economic development corporation, the Rhode Island airport corporation, the Rhode Island industrial facilities corporation, the Rhode Island refunding bond authority, the Rhode Island housing and mortgage finance corporation, the Rhode Island resource recovery corporation, the Rhode Island public transit authority, the Rhode Island student loan authority, the water resources board corporate, the Rhode Island health and education building corporation, the Rhode Island higher education assistance authority, the Rhode Island turnpike and bridge authority, the Narragansett Bay water quality management district commission, Rhode Island telecommunications authority, the convention center authority, the board of governors for higher education, the board of regents for elementary and secondary education, the capital center commission, the housing resources commission, the Quonset Point-Davisville management corporation, the Rhode Island children's crusade for higher education, the Rhode Island depositors economic protection corporation, the Rhode Island lottery commission, the Rhode Island

partnership for science and technology, the Rhode Island public building authority, and the Rhode Island underground storage tank board.

Form **W-9**
(Rev. December 2011)
Department of the Treasury
Internal Revenue Service

Request for Taxpayer Identification Number and Certification

Give Form to the requester. Do not send to the IRS.

Print or type
See Specific Instructions on page 2.

Name (as shown on your income tax return)
Delta Mechanical Contractors, LLC

Business name/disregarded entity name, if different from above

Check appropriate box for federal tax classification:
☐ Individual/sole proprietor ☐ C Corporation ☐ S Corporation ☐ Partnership ☐ Trust/estate

☑ Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=partnership) ▶ S

☐ Other (see instructions) ▶

☐ Exempt payee

Address (number, street, and apt. or suite no.)
44 Wilclar Street

Requester's name and address (optional)

City, state, and ZIP code
Warwick, RI 02886

List account number(s) here (optional)

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on the "Name" line to avoid backup withholding. For individuals, this is your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the Part I instructions on page 3. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN* on page 3.

Note. If the account is in more than one name, see the chart on page 4 for guidelines on whose number to enter.

Social security number
			–			–				

Employer identification number
0	5	–	0	5	0	7	0	6	7

Part II Certification

Under penalties of perjury, I certify that:

1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me), and
2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding, and
3. I am a U.S. citizen or other U.S. person (defined below).

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions on page 4.

Sign Here Signature of U.S. person ▶ [signature] Date ▶ 11/13/13

General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Purpose of Form

A person who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) to report, for example, income paid to you, real estate transactions, mortgage interest you paid, acquisition or abandonment of secured property, cancellation of debt, or contributions you made to an IRA.

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN to the person requesting it (the requester) and, when applicable, to:

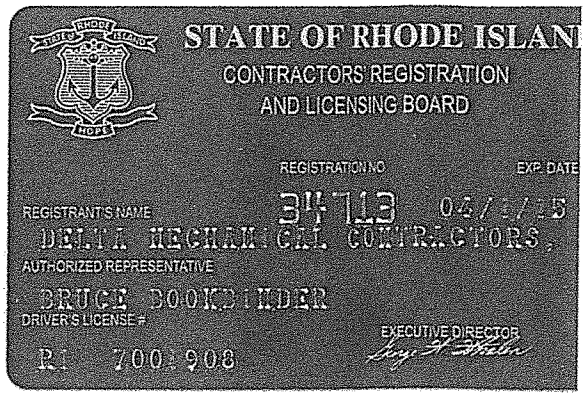
1. Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
2. Certify that you are not subject to backup withholding, or
3. Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income.

Note. If a requester gives you a form other than Form W-9 to request your TIN, you must use the requester's form if it is substantially similar to this Form W-9.

Definition of a U.S. person. For federal tax purposes, you are considered a U.S. person if you are:

- An individual who is a U.S. citizen or U.S. resident alien,
- A partnership, corporation, company, or association created or organized in the United States or under the laws of the United States,
- An estate (other than a foreign estate), or
- A domestic trust (as defined in Regulations section 301.7701-7).

Special rules for partnerships. Partnerships that conduct a trade or business in the United States are generally required to pay a withholding tax on any foreign partners' share of income from such business. Further, in certain cases where a Form W-9 has not been received, a partnership is required to presume that a partner is a foreign person, and pay the withholding tax. Therefore, if you are a U.S. person that is a partner in a partnership conducting a trade or business in the United States, provide Form W-9 to the partnership to establish your U.S. status and avoid withholding on your share of partnership income.


STATE OF RHODE ISLAN
CONTRACTORS' REGISTRATION
AND LICENSING BOARD
REGISTRATION NO
3471
EXP. DATE
04/1/15
REGISTRANT'S NAME
DELTA MECHANICAL CONTRACTORS
AUTHORIZED REPRESENTATIVE
BRUCE BOOKBINDER
DRIVER'S LICENSE #
RI 7001908
EXECUTIVE DIRECTOR

master Sprinkler
00000355

DELTA MECHANICAL CONTRACTORS, LLC			
SUMMARY OF SIGNIFICANT CONTRACTS			
		CONTRACT VALUE	% COMPLETE
PROJECT :	CCRI-LINCOLN	$5,153,963	85%
OWNER :	STATE OF RHODE ISLAND		
CONTRACTOR:	DELTA MECHANICAL CONTRACTORS LLC		
CONTACT :	MICHAEL SPOONER 401 737 3500		
ARCHITECT :	RAYMOND L. HORRIDGE & ASSOCIATES, INC		
COMPLETION :	AUGUST 2012		
% WITH OWN FORCES	50%		
PROJECT :	URI COLLEGE OF PHARMACY	$13,665,574	90%
OWNER :	STATE OF RHODE ISLAND		
CONTRACTOR:	SUFFOLK CONSTRUCTION COMPANY		
CONTACT :	JOHN KHAYALI 617 445 3500		
ARCHITECT :	PAYETTE ASSOCIATES		
COMPLETION :	JUNE 2012		
% WITH OWN FORCES	75%		
PROJECT :	VA MEDICAL FCA	$1,865,822	75%
OWNER :	DEPARTMENT OF VETERANS AFFAIRS		
CONTRACTOR:	KWV, INC.		
CONTACT :	THOMAS MARON 401 714 0209		
ARCHITECT :	SMRT		
COMPLETION :	JUNE 2012		
% WITH OWN FORCES	65%		
PROJECT :	P451 OTC QUARTERS	$5,592,624	50%
OWNER :	US DEPARTMENT OF NAVY		
CONTRACTOR:	ABSHER CONSTRUCTION COMPANY		
CONTACT :	MICHAEL STEINTHAL 253 845 9544		
ARCHITECT :	DESIGN BUILD PROJECT		
COMPLETION :	DECEMBER 2012		
% WITH OWN FORCES	75%		
PROJECT :	URI HILLSIDE RESIDENCE	$3,002,546	65%
OWNER :	RI BOARD OF GOVERNORS FOR HIGHER EDUCATION		
CONTRACTOR:	KBE BUILDING CORPORATION		
CONTACT :	CRAIG ORTOLA 860 284 7411		
ARCHITECT :	LERNER/LADDS + BARTELS, INC.		
COMPLETION :	NOVEMBER 2012		
% WITH OWN FORCES	65%		
PROJECT :	RIC RECREATION CENTER	$1,852,512	80%
OWNER :	RI BOARD OF GOVERNORS FOR HIGHER EDUCATION		
CONTRACTOR:	KBE BUILDING CORPORATION		
CONTACT :	TONY MANCINI 860 284 7411		
ARCHITECT :	DESIGN PARTNERSHIP OF CAMBRIDGE		
COMPLETION :	JULY 2012		
% WITH OWN FORCES	75%		

DELTA MECHANICAL CONTRACTORS, LLC			
SUMMARY OF SIGNIFICANT CONTRACTS			
		CONTRACT VALUE	% COMPLETE
PROJECT :	CCRI KNIGHT CAMPUS	$3,263,000	85%
OWNER :	STATE OF RHODE ISLAND		
CONTRACTOR:	DELTA MECHANICAL CONTRACTORS (GC)		
CONTACT :	FREDERICK PUCILLO		
ARCHITECT :	HUGHES ASSOCIATES, INC.		
COMPLETION :	JUNE 2012		
% WITH OWN FORCES	90%		
PROJECT :	CCRI FLANAGAN CAMPUS	$1,375,135	90%
OWNER :	STATE OF RHODE ISLAND		
CONTRACTOR:	DELTA MECHANICAL CONTRACTORS (GC)		
CONTACT :	FREDERICK PUCILLO		
ARCHITECT :	HUGHES ASSOCIATES, INC.		
COMPLETION :	JUNE 2012		
% WITH OWN FORCES	80%		
PROJECT :	ELECTROMAGNETIC SENSOR FACILITY	$3,480,000	5%
OWNER :	NAVFAC MID ATLANTIC		
CONTRACTOR:	SUFFOLK CONSTRUCTION COMPANY		
CONTACT :	KEVIN KOEHLER		
ARCHITECT :			
COMPLETION :	JUNE 2013		
% WITH OWN FORCES	70%		
PROJECT :	CITIZENS BANK JB EAST	$1,084,700	75%
OWNER :	CITIZENS BANK		
CONTRACTOR:	GILBANE BUILDING COMPANY		
CONTACT :	PAUL TIERNEY		
ARCHITECT :	ROGER FERRIS & PARTNERS		
COMPLETION :	JUNE 2012		
% WITH OWN FORCES	75%		
PROJECT :	URI CBLS 4TH FLOOR	$1,282,100	40%
OWNER :	RI BOARD OF GOVERNORS FOR HIGHER EDUCATION		
CONTRACTOR:	GILBANE BUILDING COMPANY		
CONTACT :	ALISON SVENNINGSEN		
ARCHITECT :	PAYETTE ASSOCIATES		
COMPLETION :	OCTOBER 2012		
% WITH OWN FORCES	85%		

DELTA MECHANICAL CONTRACTORS, LLC
SUMMARY OF SIGNIFICANT CONTRACTS

		CONTRACT VALUE	% COMPLETE
PROJECT :	FCA SPD & HVAC	$1,050,000	0%
OWNER :	VA MEDICAL CENTER		
CONTRACTOR:	WILLIAMS BUILDING COMPANY		
CONTACT :	CARL ALTAMURA		
ARCHITECT :	SMRT		
COMPLETION :	MAY 2013		
% WITH OWN FORCES	85%		
PROJECT :	PHA - FOGARTY AND KENNEDY	$1,534,000	5%
OWNER :	PAWTUCKET HOUSING AUTHORITY		
CONTRACTOR:	IRON CONSTRUCTION GROUP		
CONTACT :	STEVEN DEPASQUALE		
ARCHITECT :	LPL DESIGN CONSULTANTS INC		
COMPLETION :	NOVEMBER 2012		
% WITH OWN FORCES	95%		
PROJECT :	CLAIBORNE BELL ELEMENTARY SCHOOL	$3,450,000	0%
OWNER :	NEWPORT SCHOOL COMMITTEE		
CONTRACTOR:	BACON CONSTRUCTION COMPANY		
CONTACT :	JOSEPH MCDONALD		
ARCHITECT :	GARCIA GALUSKA DESOUSA		
COMPLETION :	JULY 2013		
% WITH OWN FORCES	70%		

DELTA MECHANICAL CONTRACTORS, LLC				
SUMMARY OF SIGNIFICANT CONTRACTS				
		CONTRACT VALUE		% COMPLETE
PROJECT :	CENTRAL HIGH SCHOOL	$7,855,000		100%
OWNER :	PROVIDENCE PUBLIC BUILDING AUTHORITY			
CONTRACTOR:	GILBANE BUILDING COMPANY			
CONTACT :	ANGELO PETROCELLI 401 456 5800			
ARCHITECT :	MOUNT VERNON GROUP			
COMPLETION :	AUGUST 2007			
% WITH OWN				
FORCES	80%			
PROJECT :	RI TRAFFIC TRIBUNAL	$3,390,000		100%
OWNER :	STATE OF RI			
CONTRACTOR:	O AHLBORG & SONS INC			
CONTACT :	LUANN SCOTT 401 467 6300			
ARCHITECT :	EDWARD ROWSE ARCHITECTS			
COMPLETION :	MARCH 2007			
% WITH OWN				
FORCES	60%			
PROJECT :	BROOKS / ECKERD DRUG	$5,144,000		100%
OWNER :	BROOKS / ECKERD DRUG			
CONTRACTOR:	DF PRAY AND SONS			
CONTACT :	VINCENT VILLELLA 508 336 3366			
ARCHITECT :	AHARONIAN & ASSOCIATES, INC.			
COMPLETION :	MAY 2007			
% WITH OWN				
FORCES	80%			
PROJECT :	WYATT DETENTION CENTER	$8,493,000		100%
OWNER :	CENTRAL FALLS FACILITY CORPORATION			
CONTRACTOR:	O AHLBORG & SONS INC			
CONTACT :	FERNANDO TAVARES 401 467 6300			
ARCHITECT :	EDWARD ROWSE ARCHITECTS			
COMPLETION :	JULY 2007			
% WITH OWN				
FORCES	75%			
PROJECT :	URI STUDENT HOUSING (PLUMBING & HEATING)	$12,038,000		100%
OWNER :	STATE OF RI			
CONTRACTOR:	GILBANE BUILDING COMPANY			
CONTACT :	CHRIS BROWNING 401 456 5800			
ARCHITECT :	S/L/A/M			
COMPLETION :	APRIL 2007			
% WITH OWN				
FORCES	75%			
PROJECT :	TF GREEN AIRPORT TERMINAL IMPROVEMENTS	$6,665,000		100%
OWNER :	RI AIRPORT CORPORATION			
CONTRACTOR:	SKANSKA USA			
CONTACT :	CAMERON SMITH 401 461 8265			
ARCHITECT :	RDK ENGINEERS			
COMPLETION :	SEPTEMBER 2007			
% WITH OWN				
FORCES	75%			
PROJECT :	RI JUVENILE TRAINING CENTER	$9,849,000		100%
OWNER :	STATE OF RI			
CONTRACTOR:	GILBANE BUILDING COMPANY			

DELTA MECHANICAL CONTRACTORS, LLC				
SUMMARY OF SIGNIFICANT CONTRACTS				
		CONTRACT		%
		VALUE		COMPLETE
CONTACT :	ROBERT VIERRA 401 456 5800			
ARCHITECT :	RICCI GREENE ASSOCIATES			
COMPLETION :	DECEMBER 2007			
% WITH OWN				
FORCES	75%			
PROJECT :	PONAGANSETT MIDDLE SCHOOL	$3,943,000		100%
OWNER :	FOSTER/GLOCESTER REGIONAL BUILDING			
CONTRACTOR:	HV COLLINS			
CONTACT :	EDWARD HADFIELD 401 421 4080			
ARCHITECT :	AHARONIAN & ASSOCIATES			
COMPLETION :	AUGUST 2007			
% WITH OWN				
FORCES	75%			
PROJECT :	TOWER AT CARNEGIE ABBEY	$13,467,000		100%
OWNER :	CARNEGIE ABBEY DEVELOPMENT			
CONTRACTOR:	BOVIS LEND LEASE			
CONTACT :	THOMAS PERRY 617 598 4300			
ARCHITECT :	BURT HILL RITTLEMAN KOSAR ASSOCIATES			
COMPLETION :	APRIL 2009			
% WITH OWN				
FORCES	85%			
PROJECT :	NORTH SMITHFIELD MIDDLE SCHOOL	$3,962,000		100%
OWNER :	TOWN OF NORTH SMITHFIELD			
CONTRACTOR:	GILBANE BUILDING COMPANY			
CONTACT :	MATTEO ALIBRIO 401 456 5800			
ARCHITECT :	ROBINSON GREEN BERETTA, INC.			
COMPLETION :	SEPTEMBER 2008			
% WITH OWN				
FORCES	80%			
PROJECT :	URI BIO AND LIFESCIENCE CENTER	$7,953,000		100%
OWNER :	STATE OF RHODE ISLAND			
CONTRACTOR:	GILBANE BUILDING COMPANY			
CONTACT :	LYLE REED 401 456 5800			
ARCHITECT :	PAYETTE ASSOCIATES			
COMPLETION :	OCTOBER 2008			
% WITH OWN				
FORCES	75%			
PROJECT :	FIDELITY INVESTMENTS	$3,564,000		100%
OWNER :	FIDELITY INVESTMENTS			
CONTRACTOR:	GILBANE BUILDING COMPANY			
CONTACT :	HARM BROUWER 401 456 5800			
ARCHITECT :	RDK ENGINEERS			
COMPLETION :	DECEMBER 2008			
% WITH OWN				
FORCES	75%			

DELTA MECHANICAL CONTRACTORS, LLC
SUMMARY OF SIGNIFICANT CONTRACTS

		CONTRACT VALUE		% COMPLETE
PROJECT :	HANLEY CTE	$2,339,000		100%
OWNER :	CITY OF PROVIDENCE			
CONTRACTOR:	GILBANE BUILDING COMPANY			
CONTACT :	ANTHONY MURGIDA 401 228 7429			
ARCHITECT :	STUDIO JAED			
COMPLETION :	OCTOBER 2008			
% WITH OWN				
FORCES	80%			
PROJECT :	NAVY SUPPLY SCHOOL / BUILDING 1112	$4,450,000		100%
OWNER :	US DEPARTMENT OF NAVY			
CONTRACTOR:	HV COLLINS COMPANY			
CONTACT :	EDWARD HADFIELD 401 421 4018			
ARCHITECT :	CREATIVE ENVIRONMENT			
COMPLETION :	APRIL 2010			
% WITH OWN				
FORCES	75%			
PROJECT :	OCEAN HOUSE HOTEL	$6,395,000		100%
OWNER :	BLUFF AVENUE LLC			
CONTRACTOR:	DIMEO CONSTRUCTION COMPANY			
CONTACT :	MARK BANFIELD 401 781 9800			
ARCHITECT :	CENTERBROOK ARCHITECTS AND PLANNERS			
COMPLETION :	JUNE 2010			
% WITH OWN				
FORCES	75%			
PROJECT :	MIDDLETOWN POLICE FACILITY	$1,439,000		100%
OWNER :	TOWN OF MIDDLETOWN			
CONTRACTOR:	EW BURMAN INC			
CONTACT :	JOHN WRIGHT 401 738 5400			
ARCHITECT :	THE LAWRENCE ASSOCIATES			
COMPLETION :	JUNE 2009			
% WITH OWN				
FORCES	70%			
PROJECT :	BLUE CROSS / BLUE SHIELD OF RI	$15,428,000		100%
OWNER :	BLUE CROSS / BLUE SHIELD OF RI			
CONTRACTOR:	DIMEO CONSTRUCTION COMPANY			
CONTACT :	SCOTT EATON 401 781 9800			
ARCHITECT :	SYMMES MAINI & MCKEE			
COMPLETION :	SEPTEMBER 2009			
% WITH OWN				
FORCES	65%			
PROJECT :	COLT / ANDREWS SCHOOLS	$2,588,000		100%
OWNER :	TOWN OF BRISTOL, RI			
CONTRACTOR:	LUSI CONSTRUCTION CO			
CONTACT :	ARMAND LUSI 401 232 1010			
ARCHITECT :	L A TORRADO ARCHITECTS			
COMPLETION :	SEPTEMBER 2008			
% WITH OWN				
FORCES	75%			

DELTA MECHANICAL CONTRACTORS, LLC				
SUMMARY OF SIGNIFICANT CONTRACTS				
		CONTRACT		%
		VALUE		COMPLETE
PROJECT :	URI PELL MARINE SCIENCE CENTER	$3,110,000		100%
OWNER :	STATE OF RHODE ISLAND			
CONTRACTOR:	GILBANE BUILDING COMPANY			
CONTACT :	GEORGE MALAKIDIS 401 456 5800			
ARCHITECT :	BURT HILL RITTLEMAN KOSAR ASSOCIATES			
COMPLETION :	APRIL 2009			
% WITH OWN				
FORCES	75%			
PROJECT :	NARRAGANSETT SCHOOLS 2008	$2,820,000		100%
OWNER :	TOWN OF NARRAGANSETT			
CONTRACTOR:	DIMEO CONSTRUCTION COMPANY			
CONTACT :	GEOFF BEDDOU 401 781 9800			
ARCHITECT :	LA TORRADO ARCHITECTS			
COMPLETION :	FEBRUARY 2009			
% WITH OWN				
FORCES	80%			
PROJECT :	NATHAN BISHOP SCHOOL	$5,680,000		100%
OWNER :	CITY OF PROVIDENCE			
CONTRACTOR:	AGOSTINI CONSTRUCTION CO., INC.			
CONTACT :	CHAD HAWKSLEY 401 435 4848			
ARCHITECT :	ARCHITECTURE INVOLUTION, INC.			
COMPLETION :	AUGUST 2009			
% WITH OWN				
FORCES	75%			
PROJECT :	ROGER WILLIAMS UNIVERSITY RESIDENCE HALL	$6,660,000		100%
OWNER :	ROGER WILLIAMS UNIVERSITY			
CONTRACTOR:	BOND BROTHERS			
CONTACT :	TED NEVELLS 617 387 3400			
ARCHITECT :	PERKINS & WILL			
COMPLETION :	AUGUST 2009			
% WITH OWN				
FORCES	75%			
PROJECT :	PROVIDENCE SCHOOLS - CTE	$5,562,000		100%
OWNER :	CITY OF PROVIDENCE			
CONTRACTOR:	GILBANE BUILDING COMPANY			
CONTACT :	ANTHONY MURGIDA 401 228 7429			
ARCHITECT :	STUDIO JAED			
COMPLETION :	AUGUST 2009			
% WITH OWN				
FORCES	75%			
PROJECT :	WOONSOCKET MIDDLE SCHOOLS	$9,259,000		100%
OWNER :	CITY OF WOONSOCKET			
CONTRACTOR:	GILBANE BUILDING COMPANY			
CONTACT :	JOANNA KRIPP 401 456 5800			
ARCHITECT :	STUDIO JAED			
COMPLETION :	NOVEMBER 2009			
% WITH OWN				
FORCES	80%			

DELTA MECHANICAL CONTRACTORS, LLC				
SUMMARY OF SIGNIFICANT CONTRACTS				
		CONTRACT VALUE		% COMPLETE
PROJECT :	RI PUBLIC TRANSIT AUTHORITY	$3,959,000		100%
OWNER :	STATE OF RHODE ISLAND			
CONTRACTOR:	HV COLLINS COMPANY			
CONTACT :	PATRICK COLLINS 401 421 4080			
ARCHITECT :	THE ROBINSON GREEN BERETTA COMPANY			
COMPLETION :	MAY 2010			
% WITH OWN				
FORCES	75%			
PROJECT :	WARWICK INTERMODAL FACILITY	$7,030,000		100%
OWNER :	RI AIRPORT CORPORATION			
CONTRACTOR:	GILBANE BUILDING COMPANY			
CONTACT :	JAMES KENNEDY 401 456 5800			
ARCHITECT :	JACOBS EDWARDS & KELCEY			
COMPLETION :	OCTOBER 2010			
% WITH OWN				
FORCES	75%			
PROJECT :	RI SCHOOL FOR THE DEAF	$3,851,000		100%
OWNER :	RI SCHOOL FOR THE DEAF			
CONTRACTOR:	BACON CONSTRUCTION CO., INC			
CONTACT :	ROBERT GILCHRIST 401 431 1200			
ARCHITECT :	THE ROBINSON GREEN BERETTA COMPANY			
COMPLETION :	OCTOBER 2010			
% WITH OWN				
FORCES	75%			
PROJECT :	CVS DATA CENTER	$3,725,000		100%
OWNER :	CVS / CAREMARK CORPORATION			
CONTRACTOR:	DIMEO CONSTRUCTION COMPANY			
CONTACT :	ROBERT LAKE 401 781 9800			
ARCHITECT :	CUBE 3			
COMPLETION :	JUNE 2010			
% WITH OWN				
FORCES	90%			
PROJECT :	RI DIVISION OF MOTOR VEHICLES	$3,981,000		100%
OWNER :	STATE OF RHODE ISLAND			
CONTRACTOR:	DIMEO CONSTRUCTION COMPANY			
CONTACT :	JEFFREY MORRIS 401 781 9800			
ARCHITECT :	LERNER/LADDS + BARTELS, INC			
COMPLETION :	AUGUST 2010			
% WITH OWN				
FORCES	75%			
PROJECT :	EAST GREENWICH MIDDLE SCHOOLS	$4,320,562		100%
OWNER :	TOWN OF EAST GREENWICH			
CONTRACTOR:	GILBANE BUILDING COMPANY			
CONTACT :	JOANNA KRIPP 401 456 5800			
ARCHITECT :	SYMMES MAINI & MCKEE ASSOCIATES			
COMPLETION :	MAY 2011			
% WITH OWN				
FORCES	75%			

DELTA MECHANICAL CONTRACTORS, LLC				
SUMMARY OF SIGNIFICANT CONTRACTS				
		CONTRACT VALUE		% COMPLETE
PROJECT :	P112V MARITIME SUBSURFACE	$2,291,912		100%
OWNER :	US DEPARTMENT OF THE NAVY			
CONTRACTOR:	HV COLLINS CO			
CONTACT :	ROBERT GRZYB 401 421 4018			
ARCHITECT :	EDWARD ROWSE ARCHITECTS			
COMPLETION :	MAY 2011			
% WITH OWN				
FORCES	75%			
PROJECT :	CCRI-LINCOLN	$5,153,963		100%
OWNER :	STATE OF RHODE ISLAND			
CONTRACTOR:	DELTA MECHANICAL CONTRACTORS LLC			
CONTACT :	MICHAEL SPOONER 401 737 3500			
ARCHITECT :	RAYMOND L. HORRIDGE & ASSOCIATES, INC			
COMPLETION :	AUGUST 2012			
% WITH OWN				
FORCES	50%			
PROJECT :	URI COLLEGE OF PHARMACY	$13,665,574		95%
OWNER :	STATE OF RHODE ISLAND			
CONTRACTOR:	SUFFOLK CONSTRUCTION COMPANY			
CONTACT :	JOHN KHAYALI 617 445 3500			
ARCHITECT :	PAYETTE ASSOCIATES			
COMPLETION :	FEBRUARY 2013			
% WITH OWN				
FORCES	75%			
PROJECT :	VA MEDICAL FCA	$1,865,822		100%
OWNER :	DEPARTMENT OF VETERANS AFFAIRS			
CONTRACTOR:	KWV, INC.			
CONTACT :	THOMAS MARON 401 714 0209			
ARCHITECT :	SMRT			
COMPLETION :	JUNE 2012			
% WITH OWN				
FORCES	65%			
PROJECT :	P451 OTC QUARTERS	$5,592,624		95%
OWNER :	US DEPARTMENT OF NAVY			
CONTRACTOR:	ABSHER CONSTRUCTION COMPANY			
CONTACT :	MICHAEL STEINTHAL 253 845 9544			
ARCHITECT :	DESIGN BUILD PROJECT			
COMPLETION :	DECEMBER 2012			
% WITH OWN				
FORCES	75%			
PROJECT :	URI HILLSIDE RESIDENCE	$3,002,546		100%
OWNER :	RI BOARD OF GOVERNORS FOR HIGHER EDUCATION			
CONTRACTOR:	KBE BUILDING CORPORATION			
CONTACT :	CRAIG ORTOLA 860 284 7411			
ARCHITECT :	LERNER/LADDS + BARTELS, INC.			
COMPLETION :	NOVEMBER 2012			
% WITH OWN				
FORCES	65%			

DELTA MECHANICAL CONTRACTORS, LLC				
SUMMARY OF SIGNIFICANT CONTRACTS				
		CONTRACT VALUE		% COMPLETE
PROJECT :	RIC RECREATION CENTER	$1,852,512		100%
OWNER :	RI BOARD OF GOVERNORS FOR HIGHER EDUCATION			
CONTRACTOR:	KBE BUILDING CORPORATION			
CONTACT :	TONY MANCINI 860 284 7411			
ARCHITECT :	DESIGN PARTNERSHIP OF CAMBRIDGE			
COMPLETION :	JULY 2012			
% WITH OWN				
FORCES	75%			
PROJECT :	CCRI KNIGHT CAMPUS	$3,263,000		100%
OWNER :	STATE OF RHODE ISLAND			
CONTRACTOR:	DELTA MECHANICAL CONTRACTORS (GC)			
CONTACT :	FREDERICK PUCILLO			
ARCHITECT :	HUGHES ASSOCIATES, INC.			
COMPLETION :	JUNE 2012			
% WITH OWN				
FORCES	90%			
PROJECT :	CCRI FLANAGAN CAMPUS	$1,375,135		100%
OWNER :	STATE OF RHODE ISLAND			
CONTRACTOR:	DELTA MECHANICAL CONTRACTORS (GC)			
CONTACT :	FREDERICK PUCILLO			
ARCHITECT :	HUGHES ASSOCIATES, INC.			
COMPLETION :	JUNE 2012			
% WITH OWN				
FORCES	80%			
PROJECT :	ELECTROMAGNETIC SENSOR FACILITY	$3,480,000		60%
OWNER :	NAVFAC MID ATLANTIC			
CONTRACTOR:	SUFFOLK CONSTRUCTION COMPANY			
CONTACT :	KEVIN KOEHLER			
ARCHITECT :				
COMPLETION :	JUNE 2013			
% WITH OWN				
FORCES	70%			
PROJECT :	CITIZENS BANK JB EAST	$1,084,700		100%
OWNER :	CITIZENS BANK			
CONTRACTOR:	GILBANE BUILDING COMPANY			
CONTACT :	PAUL TIERNEY			
ARCHITECT :	ROGER FERRIS & PARTNERS			
COMPLETION :	JUNE 2012			
% WITH OWN				
FORCES	75%			
PROJECT :	URI CBLS 4TH FLOOR	$1,282,100		100%
OWNER :	RI BOARD OF GOVERNORS FOR HIGHER EDUCATION			
CONTRACTOR:	GILBANE BUILDING COMPANY			
CONTACT :	ALISON SVENNINGSEN			
ARCHITECT :	PAYETTE ASSOCIATES			
COMPLETION :	OCTOBER 2012			
% WITH OWN				
FORCES	85%			

DELTA MECHANICAL CONTRACTORS, LLC				
SUMMARY OF SIGNIFICANT CONTRACTS				
		CONTRACT		%
		VALUE		COMPLETE
PROJECT :	FCA SPD & HVAC	$1,050,000		20%
OWNER :	VA MEDICAL CENTER			
CONTRACTOR:	WILLIAMS BUILDING COMPANY			
CONTACT :	CARL ALTAMURA			
ARCHITECT :	SMRT			
COMPLETION :	NOVEMBER 2013			
% WITH OWN				
FORCES	85%			
PROJECT :	PHA - FOGARTY AND KENNEDY	$1,534,000		95%
OWNER :	PAWTUCKET HOUSING AUTHORITY			
CONTRACTOR:	IRON CONSTRUCTION GROUP			
CONTACT :	STEVEN DEPASQUALE			
ARCHITECT :	LPL DESIGN CONSULTANTS INC			
COMPLETION :	NOVEMBER 2012			
% WITH OWN				
FORCES	95%			
PROJECT :	CLAIBORNE BELL ELEMENTARY SCHOOL	$3,450,000		55%
OWNER :	NEWPORT SCHOOL COMMITTEE			
CONTRACTOR:	BACON CONSTRUCTION COMPANY			
CONTACT :	JOSEPH MCDONALD			
ARCHITECT :	GARCIA GALUSKA DESOUSA			
COMPLETION :	JULY 2013			
% WITH OWN				

ITEM 3

Bruce A. Bookbinder
Delta Mechanical Contractors, LLC
President / CEO

OVERVIEW:

With over 35 years experience in the plumbing and mechanical contracting industries, Bruce Bookbinder has successfully owned and managed Delta Mechanical Contractors to be one of the fastest growing, premier mechanical contracting operations in New England.

After graduating from the University of Miami in 1975 with a degree in Aerospace Management, Bruce joined his family's union plumbing and heating business. Over the years, Bruce developed relationships with all the prominent general contractors in the area and in 1991 he started his own open shop company, Delta Mechanical.

Since Delta's inception in 1991, it has completed over $600,000,000 of plumbing and HVAC systems. With current annual revenues exceeding $60,000,000 and a surety bond program that supports a $25,000,000 single job limit and an aggregate work program of $80,000,000, Delta Mechanical has distance itself from the competition and provides its customers with unparalleled professional service.

Clients can rely on Delta to deliver its scope of work with a high degree of quality and timeliness.

Bruce retains overall responsibility for estimating, purchasing and project management on renovations and new construction. Mr. Bookbinder's years of experience give him a unique ability to analyze designs and systems and recommend the most cost effective and viable approach while maintaining the original intent of the owner and engineer.

RECENT RELEVANT EXPERIENCE:

University of Rhode Island – New Student Housing

This recently completed $12,000,000 plumbing and HVAC new construction project houses several hundred students at the Kingston campus of the State University. Significant time pressures existed and were met to ensure the housing requirements of the school.

RECENT RELEVANT EXPERIENCE (CONT'D)

TF Green Airport Terminal Improvements and Maintenance Facility

The expansion project at the Providence Airport provided Delta with over $9,000,000 of plumbing, HVAC and Fire Protection work. This project required a significant coordination effort to minimize the disruption to the functionality of the terminal as well as working through extraordinary security precautions. The contract included over $750,000 of change orders and was completed on time.

Wyatt Detention Facility

We performed the plumbing and heating work for this $8,500,000 renovation and addition to the Federal Prison in Central Falls Rhode Island. The project consisted of providing 180 new cells for inmates along with visitation areas, hearing rooms, administrative offices, kitchen facility and a central boiler / chiller plant. In addition, we performed renovations to approximately 25,000 square feet of the existing occupied facility. Extensive security measures and a timely turnover of cells were essential to the project success.

Bank of America Operations Center

This rapid paced 174,000 square foot operations center was completed in a record 6 month time frame at a cost of $9,700,000. We installed computer room cooling with approximately 150 tons of cooling at 60000 cfm, space heating with 8,000,000 BTU's of heat through 20000 feet of pipe, 420 tons of space cooling with 10 roof top units and 168,000 cfm and plumbing with over 200 fixtures and 600 gallons of hot water storage.

Ponagansett Middle School

We provided $4,200,000 of new plumbing and HVAC systems to this state of the art school in Glocester, Rhode Island. Our work throughout the facility included classrooms, auditorium, cafeteria and gymnasium areas. The job was completed 4 weeks ahead of schedule.

RECENT RELEVANT EXPERIENCE (CONT'D)

RI Juvenile Training Center

Delta has a $9,800,000 contract to construct the plumbing, HVAC and Fire Protection work in these two state of the art facilities that exemplifies contemporary security systems as well as exceeds all energy conservation expectations. This project required additional attention due to the numerous modifications issued during the course of the project. Through the experience, expertise and dedication of Delta, the mechanical construction schedule is on track and will be completed as planned.

Brooks / Eckerd Drugs Headquarters

Our plumbing, heating and fire protection teams performed the work in this 260,000 square-foot World Headquarter facility. We provided over $5,100,000 of new construction at this predominately office location. The installation included 12,000,000 BTU's of hydronic heating from six Patterson Kelley boilers. The facility was air conditioned by 17 fifty (50) ton Lennox Roof Top units that supply air through over 240 VAV boxes. Johnson Controls provided the automation.

Meeting Street School

Delta installed over $2,200,000 of new HVAC and fire protection systems in Providence at this LEED certified schooling facility for the handicapped. Our work included Energy Recovery Roof Top Units and the Taco Load-Match hot water and chilled water piping systems.

Newport Heights 3

This $2,000,000 new plumbing and heating job in Middletown, RI was for 71 affordable condominium units.

G Tech Corporate Center

Located in downtown Providence, this landmark project included HVAC and plumbing for a 10 story office facility with underground parking and first floor retail areas. Based on our superior performance, we were awarded the tenant fit-out package and along with some of the retail areas. Our aggregate contract value exceeded $6,700,000.

RECENT RELEVANT EXPERIENCE (CONT'D)

Central High School

This $6,800,000 plumbing, mechanical and fire protection work has been on-going for over two years. Our original contract value was $1,800,000 and as a result of continued quality performance, we have been engaged over the past two years to perform significant change order work. This school is in a downtown Providence location, is 4 stories tall and is scheduled for completion in the winter of 2007. The project requirements included careful manpower and work area planning to avoid disruption to the daily school activities.

Independence Hall

Another time sensitive project, this $2,500,000 contract was for the renovation of classrooms, the central lobby, study hall, and auditorium areas. The building is comprised of 3 stories and 125,000 square feet. We provided an energy efficient water source heat pump system along with energy recovery units for the make up air in the central area's of the interior.

DAVID M. GREENBERG

7 Toni Circle
North Smithfield, Rhode Island 02896
(401) 996-8300 / d.greenberg@deltamechllc.com

PROFILE:

Successful entrepreneur with over 25 years of construction finance and management experience. In an eight year time frame, owned and developed a mechanical contracting operation from inception through sale to a major utility company. After the sale of the business, continued to act as an advisor to the Board of Directors of the acquiring company. Proficient as the liaison between the business operation and the financial community. Other experiences include working with construction businesses and the banking/bonding community; providing consultation services to businesses; developing business plans; preparing financial statements; planning and administrating budgets; negotiating lines of credit and bonding programs with growth oriented companies; maintaining financial controls from startup venture to takeover/buyout; negotiating company sales; interacting with business brokers. Adept in building relationships with industry experts for insurance and bonding. Strong work ethic and integrity. Computer proficient.

EDUCATION:

BRYANT COLLEGE, Smithfield, Rhode Island
MASTER OF SCIENCE/TAXATION

BOSTON UNIVERSITY, Boston, Massachusetts
BACHELOR OF SCIENCE/BUSINESS ADMINISTRATION
Concentration: ACCOUNTING *Cum Laude*

CERTIFICATIONS:

Certified Public Accountant

PROFESSIONAL MEMBERSHIPS:

Construction Financial Management Association
Associated Builders and Contractors (Rhode Island Chapter)

EXPERIENCE:

1999-Present

DELTA MECHANICAL CONTRACTORS LLC, f/k/a DELTA KEYSPAN, LLC, Warwick, Rhode Island
Mechanical Contracting
CHIEF FINANCIAL ADVISOR

Direct overall finance management, planning, budgeting and reporting functions for Delta Mechanical the successor to Delta Keyspan LLC. During the Keyspan ownership period served as the CFO for the New England Hub of Keyspan Business Solutions (union and non-union mechanical contractors as well as HVAC service and control operations - aggregated revenues of this group approximates $115 million annually). Additional duties include: conducting job profitability reviews; overseeing financial statement preparation; forecasting revenue and profits; performing rolling projections; working with all facets of insurance; conducting weekly management meetings; identifying shortages/excesses; liaising between local operations and corporate office in New York regarding operational and financial reporting issues; developing and implementing systems and procedures; meeting deadlines.

Accomplishments:

- *Developed systems and procedures for accounts payable/receivable, payroll and job costing functions.*
- *Directed several computer migrations.*
- *Met all reporting deadlines.*

1991-1999

DELTA MECHANICAL, INC., Warwick, Rhode Island
OWNER/BUSINESS & FINANCIAL CONSULTANT

Led the financial and administrative areas of a highly successful, rapidly growing open shop mechanical contractor. Duties included: acting as a liaison between small businesses, financial and insurances communities; developing business plans; producing accurate, timely and reliable financial statements; performing financial projections; conducting insurance reviews; attending bank and board of director meetings; negotiating lines of credit; succession planning buyouts, cross-purchase agreements; structuring and coordinating estate planning.

Accomplishments:

- *Began in a part-time ownership role; assumed full time position.*
- *Company is recognized as a premier mechanical contractor in the State of Rhode Island*
- *Grew company on open/merit shop basis.*
- *Treasurer of Associated Builders and Contractors*
- *Achieved several years of 100% controlled annual revenue growth.*
- *Groomed, primed, and sold business for multi-million dollar transaction.*
- *Successfully managed growth from $300,000 to $30 million.*

1982-1989

MARSHALL CONTRACTORS, INC., East Providence, Rhode Island
General Contractors
VICE PRESIDENT OF FINANCE

Performed overall financial management. Primary contact for banking, bonding and surety relationships. Duties included: forecasting financials for real estate investments; syndicating real estate ventures; reporting financials; planning and administering budget.

Accomplishments:

- *Started employment as Accounting Manager; promoted to Controller 1983 and to Vice President of Finance 1984.*
- *Instrumental in company growth from a $57 million operation to a $300 million business.*
- *Directed and participated in several computer conversion projects.*

1979-1982

DELOITTE & TOUCHE, Boston, Massachusetts
International Certified public accountant Firm
SENIOR ACCOUNTANT-CONSTRUCTION INDUSTRY GROUP

Jeffrey Bookbinder
Delta Mechanical Contractors, LLC
Chief Estimator

OVERVIEW:

With over 35 years experience in the plumbing and mechanical contracting industries, and the last 12 years at Delta Mechanical, Jeff Bookbinder has been the primary estimating resource for this successful business operation.

Jeff attended Clarkson University and in 1969 joined the Rhode Island Plumbers and Pipefitters union. Jeff worked diligently learning the trades while being employed by his family's business that was started by his grandfather. After 25 years of various on site project experiences, Jeff became a full time estimator.

RECENT RELEVANT ESTIMATES:

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This recently completed $12,000,000 plumbing and HVAC new construction project houses several hundred students at the Kingston campus of the State University. Significant time pressures existed and were met to ensure the housing requirements of the school.

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Peter A. Rocchio

30 Rose Street, Narragansett, RI 02882 H (401) 783-8470 C (401) 286-5265
Email PMRocchio@aol.com

PROFILE: Over 15 years experience managing multiple HVAC, plumbing, refrigeration and general construction projects ranging in contract value from $1,000 to over $8,000,000.

EDUCATION: Bachelor or Science, Mechanical Engineering
University of Rhode Island, Kingston RI
Institute of Project Management CCE Certificate
University of Texas, Austin, TX

EXPERIENCE:

2001-Present *Delta Mechanical LLC, Warwick, RI*

1991-2001 *Marandola Mechanical, Warwick, RI*
Project Manager / Administrator

APPLIED PROFESSIONAL SKILLS/MULTIPLE & CONCURRENT PROJECTS

- Purchasing material & equipment that meets the projects requirements including alternate manufactures that meet the specifications with cost savings.
- Negotiating contracts with subcontractors, reviewing scope and purchasing competent subcontractors that will deliver the project on time and under budget.
- Scheduling a labor force to meet the demands of the contract schedule. Maximizing production by monitoring the job and adding crews with demand well as pulling back in order to maximize production
- Working with the job foreman in order to establish a plan and set goals that will be meet during all phases of construction.
- Expediting material and equipment deliveries in conjunction with the construction schedule to minimize materials handling and utilize equipment
- Scheduling Subcontractors and subcontractor trades as required by the contract schedule.
- Working with the Construction Management team throughout the project in order to assist in solving the many items that arise during a construction project.
- Value engineering equipment in systems to obtain similar results at a cost savings to the owner.
- Cost budget performance analysis
- Contract change orders estimating and negotiation of the cost impacts due to changes in contract scopes.
- Contract requisition billing

1989 - 1998 Estimator
Duties include estimating labor and material excess of *$15,000,000.*

Select Sampling of Projects Managed 1997 - 2005

Project Value	Location	System	Approx. Contract
Hilton Garden Inn	Warwick RI	HVAC, Plumbing & Fire	$1,700,000
Watson Center for Technology	Providence, RI	HVAC	$175,100
South County Hospital	Wakefield, RI	HVAC, Plumbing & Fire	$3,847,000
Cox Communications	West Warwick, RI	HVAC, Plumbing	$2,009,267
EMC	Hopkinton, MA	HVAC, Plumbing & Fire	$5,101,365
Jefferson @ Providence Place	Providence, RI	HVAC, Plumbing & Fire	$7,600,000

Wyeth Ayrest Pharmaceutical	West Greenwich, RI	HVAC, Plumbing	$2,400,000
URI Steam plant Upgrades	Kingston RI	HVAC, Plumbing & Site work	$5,500,000
Super Stop & Shop	Newport & Narragansett	HVAC & Plumbing	$1,000,000
Nordstrom	Providence. Place Mall	Plumbing	$900,000
Raytheon Systems Grid, Restrooms, Chiller & Cooling Tower	Portsmouth, RI	HVAC & Plumbing	$875,000
Beacon Mutual Insurance	Warwick, RI	Plumbing	$230,000
Met Life New Kitchen	Warwick, RI	HVAC & Plumbing	$140,000
South County Hospital	South Kingston, RI	HVAC & Plumbing	$1,100,000
Fatima Hospital	North Providence, RI	HVAC & Plumbing	$2,200,000
RIPTA Garage	Providence, RI	HVAC, Plumb & Process Pipe	$2,900,000

AFILATIONS: New England Mechanical Contractors
INTERESTS: Golf, Tennis, Running
REFRANCES: Available on Request

JOHN F. SMITH JR.

46 Pires Drive
Oakdale CT. 06370
(860) 848-8878 Home Phone
(401)473-4753 Cell Phone

SUMMARY: Experienced Mechanical Contractor, General Construction & Operations Manager with Connecticut P2 and S4 Journeyman Licenses (plumbing, heating & cooling, unlimited) Excellent command of Industrial, Commercial & Government applications / codes. Accustomed to bringing high dollar, technically complex projects in on time and on/under budget. Build strong working relationships with employees, architects, engineers, owners, fire & building code officials and all trades.

EXPERIENCE:

November 2005
Delta Mechanical Contractors, LLC
Project Manager
Responsible for overseeing mechanical projects at the University of Rhode Island.
Review and coordinate all materials, and labor to complete projects. Review and prepare all submittals, correspondence, schedules, change order requests, proposals and update project budgets.
Review and prepare all monthly requisitions.
Insure compliance with contracts, project schedules, client satisfaction and profit objectives.

May 2004 - September 2005
Ferguson Mechanical Co., Inc.
General Manager Mechanical Construction
Responsible for implementation of construction software Expedition, participate in final review of project estimates. Participate in contract negotiations, and contract review.
Oversee Project Managers and Project Assistants.
Prepare project cost codes, oversee job cost, review estimated cost to complete.
Oversee purchasing of subcontracts and materials
Hire & Supervise field personnel
Review & Prepare correspondence, change order requests, proposals, change orders update project budgets.
Review all monthly requisitions, Interact with accounting department.
Insure compliance with contracts, project schedules, client satisfaction and profit objectives.

June 2003 - May 2004
The Nutmeg Companies Inc.
General Contractors
Sr. Project Manager
Responsible for implementation of construction software Expedition, and implementation of project management duties. Responsible for managing General Construction of a design build medical center in Norwich CT., a negotiated three story Apartment complex in Norwich, Ct., and the State of Connecticut Appellate Court House project in Hartford CT.

March 1996 - September 2002
Delta KeySpan, LLC
Vice President of Operations:
On behalf of major Mechanical Contracting firm, responsible for coordinating all technical, logistical, and staffing needs for multi million dollar construction, new, design/build, and renovation projects (throughout RI and MA) Review and participate in choosing new projects, review estimates, negotiate contracts, create project budgets.
Assign and oversee Project Managers / Project Assistants.
Insure quality of work, compliance with contracts; project schedules client satisfaction and profit objectives.
Hire and supervise all management staff for operations.
Oversee purchasing of subcontractors, and materials.
Review correspondence; change order requests, proposals, and change orders, update project budgets.
Review estimated costs to complete on project with Project Managers finalize for CFO review
Review all monthly requisitions prepared by Project Managers Interact with accounting department.

1979-1996
Kendland Company Inc.
1990 - 1996 Vice President:
Oversee all office administrative functions, operations, estimating, and accounting, negotiated contracts, reviewed all estimated cost to complete and financial statements, reviewed bonding requirements for projects and bonding company.

1987 - 1990
Kendland Company Inc.
Operations Manager:
Reviewed projects awarded, assign and oversee Project Managers / Project Assistants,
Assign foreman.
Review all project correspondence, and purchasing.
Chair operations meetings with managers

1984 - 1987
Kendland Company Inc.
Project Manager:
Take projects from concept thru C.O Insure quality of work, compliance with specifications, codes, and project schedules & profits objectives.

- Establish schedules, manpower, equipment and material requirements.
- Procure permits, interpret drawings and contracts.
- Hire & supervise subcontractors. Oversee Purchasing, contract & budget administration
- Attend progress meetings, work closely with architects, engineers, owners and c supervisors to identify and resolve problems.
- Negotiate equitable fees for change orders/additional work requirements.

1979 - 1984
Served as Plumbing & HVAC Mechanic (3 years), Project Foreman / Supervisor (3 years) Held significant technical, operations management & site supervision responsibilities. Trouble shot design, installation, material and labor problems.

ASSETS: Excellent mechanical & management background, bring strong technical, logistical and communication skills to my work. Able to build consensus brings parties together in a common cause. Well organized, skilled in handling administrative and financial detail, labor demands. Safeguard company and client interests, bring jobs in on schedule and budget. Welcome responsibility, a fast pace and a chance to produce tangible results.

REFERENCES: Available upon Request

Project highlights include:

State of Connecticut Cheshire Correctional Facility	1.7 million
State of Connecticut Niantic Women's Correctional Facility	3.6 million
State of Connecticut Montville Correctional Facility	5.8 million
State of Rhode Island Department of Labor & Training Cranston R.I	3.1 million
Washington Hall Kitchen Renovations West Point Military Academy	3.5 million
Strategic Maritime Facility Newport R.I. Navy Base	7 million
Undersea Weapons facility Newport R.I. Navy Base	3.6 million
Underground Steam & Condensate replacement Westover Air Force Base	1.6 million
Building 260 Pfizer Central Research Groton CT.	2.7 million
Building 274 Cage Wash Pfizer Central Research Groton CT.	6.5 million
Building 156 Canine Facility Pfizer Central Research Groton CT.	2.7 million
Fidelity Investment Building B, Smithfield R.I	1.7 million
University of Mass. Dartmouth Student Dorm North Dartmouth Mass.	6.6 million
Factory Mutual Insurance Test Burn Facility Glouster R.I	6.1 million
Appellate Court House Hartford CT. General Construction	8.3 million
Wawecus Medical center design Build General Construction	5.3 million
A.I Prince Tech Renovation Hartford CT.	1.2 million
	15 million

MICHAEL R. SPOONER

60 Janet Drive
Cranston, RI 02921
(401) 941-6138

SUMMARY:

Experienced Mechanical Superintendent, General Foreman with Rhode Island State Master Plumbing License, and Medical Gas Licenses. Excellent knowledge of reading plans, and specifications for types of construction / codes, accustomed to bringing high dollar, technically complex projects in on time and on / under budget. Build strong working relationships with employees, architects, engineers, owners, fire and building code officials and all trades.

EXPERIENCE:

May 2000 – Present
Delta Mechanical Contractors, LLC
General Foreman / Superintendent
Responsible for overseeing plumbing and HVAC projects in the field, review and coordinate layout for field installation with project schedules, oversee manpower requirements, attend project meetings, schedule material deliveries insure compliance with contracts, project schedules, client satisfaction and project objectives.

Jan 1993 – April 2000
Pagnozzi Plumbing & Heating
Mechanical Foreman /Lead Mechanical Technician
Responsible for overseeing plumbing & heating projects in the field, coordinating all mechanical service calls & troubleshooting. Schedule field manpower and assist in scheduling all field issues.

Jan 1990 – Dec 1992
Spidel Textron
Boiler Plant Engineer
Responsible for maintaining plant boilers, while working at Spidel, attended New England Technical Institute in the evenings and obtained an Associates Degree in Plumbing and Heating and a certification in computers and electronics.

Jan 1988 – Dec 1989
A1 Security Owner
Modern Security Co – Owner
Responsible for selling and installation of Home, Auto, and Boat security systems.

Affiliated Qualifications / Certifications

OSHA 30 certified
CPR & First Aid Certified
Confined Space Entry Certified
3M Fire Stopping Certification
Equipment Lifts and Boom Lift certified
Pass Load Equipment certified
Gas Flex installation certification
Boiler Engineers License

Significant Project Highlights

Fidelity Investments, Smithfield, RI, General Superintendent
URI Residence Dorms Plumbing, General Superintendent
URI Residence Dorms HVAC, General Foreman
URI Hope Dining Facility, General Foreman
URI Little Four Renovations, General Foreman
Peerless Building, Providence, RI, General Foreman
Providence College, General Foreman
South County Hospital, Foreman
Building 1157, Newport Navy Base, Foreman

DELTA
MECHANICAL
CONTRACTORS, LLC.

Steven L. Wiseman

136-1 North Washington Street

Norton, MA. 02766

Resume

Qualification Summary:

I offer forty years of experience in the mechanical and electrical trades. This experience includes fuel oil installation and service, fire protection systems installation and service, refrigeration systems installation and service, pipefitting, plumbing and HVAC installation and service. I hold Massachusetts licenses in all of these trades. I am also experienced in electrical contracting and controls although I am not licensed in these trades. For the past twenty three years I have been employed as an estimator, operations manager or project manager in the mechanical contracting industry. I have managed projects in the residential, commercial and industrial fields including laboratories, power plants, water treatment, hospitals and drug industries.

Recent Projects:

HVAC Project Manager for The Macallen Building, the first 'green' residential development in Boston.

HVAC Project Manager for Nouvelle at Natick, luxury condominium high rise.

HVAC, Plumbing and Fire Protection Project Manager for two mixed use Buildings at Northeastern University.

HVAC project Manager for The Plymouth Trial Court.

HVAV and Plumbing Project Manager for the Fall River Trial Court.

HVAC and Process Piping Project Manager for the CUB Building and utilities distribution at the Bristol Myers Drug Manufacturing facility in Devens Massachusetts.

Employment Experience:

October 2008- Present: Project Executive for Plumbing and Mechanical Trades for Commercial, Educational and Government Projects.

2005 – 2008 Project Manager N. B. Kenney Co., Inc. Managing projects with a combined value of over fifty million dollars in the HVAC and Plumbing trades.

2002 – 2005 Project Manager J. C. Cannistraro LLC. Managed projects in the HVAC, Plumbing and Fire Protection trades.

2000 – 2002 Project Manager and Estimator Harding and Smith. HVAC, Plumbing and Fire Protection trades.

1999 – 2000 Project Manager Millennium Mechanical Inc. Managed HVAC and Plumbing projects.

1995 – 2000 Owner Boston Mechanical Contracting Co. HVAC, Plumbing, Fire Protection and Electrical Contracting.

1990 – 1995 Manager Boston Air Systems. HVAC and Plumbing installations and service.

1986 – 1990 Operations/ Project Manger Empire Engineering Co., Inc. Installation and service of main frame computer facilities.

SYCAMORE ENTERPRISES, LLC
AND SUBSIDIARIES

CONSOLIDATED FINANCIAL STATEMENTS

FOR THE YEARS ENDED
SEPTEMBER 30, 2014 AND 2013

Delta Mechanical Contractors, LLC
Financial Documents - CONFIDENTIAL

F&D