

State of Rhode Island and Providence Plantations
Department of Administration
Division of Purchases

RIVIP BIDDER CERTIFICATION COVER FORM

SECTION 1 - BIDDER INFORMATION

Bidder must be registered as a vendor on the RIVIP system at www.purchasing.ri.gov to submit a bid proposal.

Solicitation Number: 7549063A3
Solicitation Title: BENEFIT STREET ARSENAL-BUILDING ENVELOPE AND FIRE ALARM REPAIRS -
ADDENDUM 3 (6 PGS)
**Bid Proposal Submission
Deadline Date & Time:** 11/26/2014 10:30 AM
RIVIP Vendor ID #: 3943
Bidder Name: Trac Builders Inc.
Address: 28 Wolcott Street
Providence , RI 02908
USA
Telephone: (401) 943-3800
Fax: (401) 943-3822
Contact Name: William Tracey
Contact Title: President
Contact Email: billt@tracbuilders.com

SECTION 2 —DISCLOSURES

Bidders must respond to every statement. Bid proposals submitted without a complete response may be deemed nonresponsive.

Indicate "Y" (Yes) or "N" (No) for Disclosures 1-3, and if "Yes," provide details below. Complete Disclosure 4.

- N 1. State whether the Bidder, or any officer, director, manager, stockholder, member, partner, or other owner or principal of the Bidder or any parent, subsidiary, or affiliate has been subject to suspension or debarment by any federal, state, or municipal governmental authority, or the subject of criminal prosecution, or convicted of a criminal offense within the previous 5 years. If "Yes," provide details below.
- N 2. State whether the Bidder, or any officer, director, manager, stockholder, member, partner, or other owner or principal of the Bidder or any parent, subsidiary, or affiliate has had any contracts with a federal, state, or municipal governmental authority terminated for any reason within the previous 5 years. If "Yes," provide details below.
- N 3. State whether the Bidder, or any officer, director, manager, stockholder, member, partner, or other owner or principal of the Bidder or any parent, subsidiary, or affiliate has been fined more than \$5000 for violation(s) of any Rhode Island environmental law(s) by the Rhode Island Department of Environmental Management within the previous 5 years. If "Yes," provide details below.
4. List each officer, director, manager, stockholder, member, partner, or other owner or principal of the Bidder, and each intermediate parent company and the ultimate parent company of the Bidder. For each individual, provide his or her name, business address,

principal occupation, position with the Bidder, and the percentage of ownership, if any, he or she holds in the Bidder, and each intermediate parent company and the ultimate parent company of the Bidder.

Disclosure details (continue on additional sheet if necessary):

SECTION 3 —CERTIFICATIONS

Bidders must respond to every statement. Bid proposals submitted without a complete response may be deemed nonresponsive.

Indicate "Y" (Yes) or "N" (No), and if "No," provide details below.

THE BIDDER CERTIFIES THAT:

- 1. The Bidder will immediately disclose, in writing, to the State Purchasing Agent any potential conflict of interest which may occur during the term of any contract awarded pursuant to this solicitation.
- 2. The Bidder possesses all licenses and anyone who will perform any work will possess all licenses required by applicable federal, state, and local law necessary to perform the requirements of any contract awarded pursuant to this solicitation and will maintain all required licenses during the term of any contract awarded pursuant to this solicitation. In the event that any required license shall lapse or be restricted or suspended, the Bidder shall immediately notify the State Purchasing Agent in writing.
- 3. The Bidder will maintain all required insurance during the term of any contract pursuant to this solicitation. In the event that any required insurance shall lapse or be canceled, the Bidder will immediately notify the State Purchasing Agent in writing.
- 4. The Bidder understands that falsification of any information in this bid proposal or failure to notify the State Purchasing Agent of any changes in any disclosures or certifications in this Bidder Certification may be grounds for suspension, debarment, and/or prosecution for fraud.
- 5. The Bidder has not paid and will not pay any bonus, commission, fee, gratuity, or other remuneration to any employee or official of the State of Rhode Island or any subdivision of the State of Rhode Island or other governmental authority for the purpose of obtaining an award of a contract pursuant to this solicitation. The Bidder further certifies that no bonus, commission, fee, gratuity, or other remuneration has been or will be received from any third party or paid to any third party contingent on the award of a contract pursuant to this solicitation.
- 6. This bid proposal is not a collusive bid proposal. Neither the Bidder, nor any of its owners, stockholders, members, partners, principals, directors, managers, officers, employees, or agents has in any way colluded, conspired, or agreed, directly or indirectly, with any other bidder or person to submit a collusive bid proposal in response to the solicitation or to refrain from submitting a bid proposal in response to the solicitation, or has in any manner, directly or indirectly, sought by agreement or collusion or other communication with any other bidder or person to fix the price or prices in the bid proposal or the bid proposal of any other bidder, or to fix any overhead, profit, or cost component of the bid price in the bid proposal or the bid proposal of any other bidder, or to secure through any collusion, conspiracy, or unlawful agreement any advantage against the State of Rhode Island or any person with an interest in the contract awarded pursuant to this solicitation. The bid price in the bid proposal is fair and proper and is not tainted by any collusion, conspiracy, or unlawful agreement on the part of the Bidder, its owners, stockholders, members, partners, principals, directors, managers, officers, employees, or agents.
- 7. The Bidder: (i) is not identified on the General Treasurer's list created pursuant to R.I. Gen. Laws § 37-2.5-3 as a person or entity engaging in investment activities in Iran described in § 37-2.5-2(b); and (ii) is not engaging in any such investment activities in Iran.
- 8. The Bidder will comply with all of the laws that are incorporated into and/or applicable to any contract with the State of Rhode Island.

Certification details (continue on additional sheet if necessary):

Solicitation #: 7549063

Solicitation Title: BENEFIT ST ARSENAL-BUILDING ENVELOPE AND
FIRE ALARM

BID FORM

To: The State of Rhode Island Department of Administration
Division of Purchases, 2nd Floor
One Capitol Hill, Providence, RI 02908-5855

Bidder: TRAC BUILDERS, INC
Legal name of entity
28 WOLCOTT ST PROVIDENCE RI 02908
Address (street/city/state/zip)
William TRACY BILL@TRACBUILDERS.COM
Contact name Contact email
401-943-3800 401-943-3822
Contact telephone Contact fax

1. BASE BID PRICE

The Bidder submits this bid proposal to perform all of the work (including labor and materials) described in the solicitation for this Base Bid Price (including the costs for all Allowances, Bonds, and Addenda):

\$ 873,400 -

(base bid price in figures printed electronically, typed, or handwritten legibly in ink)

EIGHT HUNDRED SEVENTY THREE THOUSAND FOUR HUNDRED AND 00/100

(base bid price in words printed electronically, typed, or handwritten legibly in ink)

• **Allowances**

The Base Bid Price **includes** the costs for the following Allowances:

No allowances at this time.

• **Bonds**

The Base Bid Price **includes** the costs for all Bid and Payment and Performance Bonds required by the solicitation.

Solicitation #: 7549063

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FIRE ALARM

• **Addenda**

The Bidder has examined the entire solicitation (including the following Addenda), and the Base Bid Price **includes** the costs of any modifications required by the Addenda.

All Addenda must be acknowledged.

Addendum No. 1 dated: OCTOBER 28, 2014

Addendum No. 2 dated: NOVEMBER 13, 2014

Addendum No. 3 dated: NOVEMBER 18, 2014

Addendum No. 4 dated: —

Addendum No. 5 dated: —

Addendum No. 6 dated: —

2. **ALTERNATES** (Additions/Subtractions to Base Bid Price)

The Bidder offers to: (i) perform the work described in these Alternates as selected by the State in the order of priority specified below, based on the availability of funds and the best interest of the State; and (ii) increase or reduce the Base Bid Price by the amount set forth below for each Alternate selected.

Alternate No. 1: DEDUCT- Provide Photoluminescent exit signs by Cooper Lighting, "Sure-Lites, PHL" in locations indicated on electrical drawings as 'X1'. Colors to be selected by architect.

\$ 2,000 -

(amount in figures printed electronically, typed, or handwritten legibly in ink)

TWO THOUSAND AND 00/100

(amount in words printed electronically, typed, or handwritten legibly in ink)

Solicitation #:7549063

Solicitation Title: BENEFIT ST ARSENAL-BUILDING ENVELOPE AND FIRE ALARM

Alternate No. 2: ADD- Replace all existing Lighting in the Basement with new lighting as part of the electrical upgrade. See drawing A-101, Basement Reflected Ceiling Plan for manufacturer and model #'s.

\$ 9,000 -
(amount in figures printed electronically, typed, or handwritten legibly in ink)
NINE THOUSAND AND 00/100
(amount in words printed electronically, typed, or handwritten legibly in ink)

Alternate No. 3: ADD- Remove glue down carpet and glue remnants in Main Hall 103. Sand and prepare existing wood flooring for new clear polyurethane finish - provide three coats.

\$ 17,000 -
(amount in figures printed electronically, typed, or handwritten legibly in ink)
SEVENTEEN THOUSAND AND 00/100
(amount in words printed electronically, typed, or handwritten legibly in ink)

Alternate No. 4: ADD- Ductless Mini-Split Units for the basement storage. See drawing A-100 for manufacturer, model #'s, and quantities.

\$ 45,000 -
(amount in figures printed electronically, typed, or handwritten legibly in ink)
FORTY FIVE THOUSAND AND 00/100
(amount in words printed electronically, typed, or handwritten legibly in ink)

Alternate No. 5: ADD- Substitute authentic Slate Shingles for Synthetic Slate shingles. Synthetic Slate Shingles are included in the Base Bid.

\$ 40,000 -
(amount in figures printed electronically, typed, or handwritten legibly in ink)
FORTY THOUSAND AND 00/100
(amount in words printed electronically, typed, or handwritten legibly in ink)

Solicitation #:7549063

Solicitation Title: BENEFIT ST ARSENAL-BUILDING ENVELOPE AND
FIRE ALARM

3. UNIT PRICES

The Bidder submits these predetermined Unit Prices as the basis for any change orders approved in advance by the State. These Unit Prices include all costs, including labor, materials, services, regulatory compliance, overhead, and profit.

No Unit Prices at this time.

4. CONTRACT TIME

The Bidder offers to perform the work in accordance with the timeline specified below:

- Start of construction: UPON RECEIPT OF PURCHASE ORDER
- Substantial completion: 80 DAYS
- Final completion: 90 DAYS

5. LIQUIDATED DAMAGES

The successful bidder awarded a contract pursuant to this solicitation shall be liable for and pay the State, as liquidated damages and not as a penalty, the following amount for each calendar day of delay beyond the date for substantial completion, as determined in the sole discretion of the State: \$ N/A.

Solicitation #:7549063

Solicitation Title: BENEFIT ST ARSENAL-BUILDING ENVELOPE AND
FIRE ALARM

This bid proposal is irrevocable for 60 days from the bid proposal submission deadline.

If the Bidder is determined to be the successful bidder pursuant to this solicitation, the Bidder will promptly: (i) comply with each of the requirements of the Tentative Letter of Award; and (ii) commence and diligently pursue the work upon issuance and receipt of the purchase order from the State and authorization from the user agency.

The person signing below certifies that he or she has been duly authorized to execute and submit this bid proposal on behalf of the Bidder.

BIDDER

Date: 11-26-2014

TRAC BUILDERS Inc.
Name of Bidder
William Tracey
Signature in ink
WILLIAM TRACEY, PRESIDENT
Printed name and title of person signing on behalf of Bidder
12034
Bidder's Contractor Registration Number

THE AMERICAN INSTITUTE OF ARCHITECTS



AIA Document A310

Bid Bond

KNOW ALL MEN BY THESE PRESENTS, that we **TRAC BUILDERS, INC., of 28 Wolcott Street, Providence, Rhode Island** as Principal, hereinafter called the Principal, and **HARTFORD CASUALTY INSURANCE COMPANY** a corporation duly organized under the laws of the State of **Indiana** as Surety, hereinafter called the Surety, are held and firmly bound unto **STATE OF RHODE ISLAND**

as Obligee, hereinafter called the Obligee, in the sum of **Five Percent of the Amount of the Attached Bid** Dollars **(5% of Bid)**, for the payment of which sum well and truly to be made, the said Principal and the said Surety, bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally, firmly by these presents.

WHEREAS, the Principal has submitted a bid for **RI Army National Guard Benefit Street Arsenal Renovations**

NOW, THEREFORE, if the Obligee shall accept the bid of the Principal and the Principal shall enter into a Contract with the Obligee in accordance with the terms of such bid, and give such bond or bonds as may be specified in the bidding or Contract Documents with good and sufficient surety for the faithful performance of such Contract and for the prompt payment of labor and material furnished in the prosecution thereof, or in the event of the failure of the Principal to enter such Contract and give such bond or bonds, if the Principal shall pay to the Obligee the difference not to exceed the penalty hereof between the amount specified in said bid and such larger amount for which the Obligee may in good faith contract with another party to perform the Work covered by said bid, then this obligation shall be null and void, otherwise to remain in full force and effect.

Signed and sealed this **21st** day of **November, 2014**.

John A. Russo

(Witness)

David J. Russo

(Witness)

TRAC BUILDERS, INC.
(Principal) (Seal)
By: *William Tracey*

William Tracey (Title) *President*

HARTFORD CASUALTY INSURANCE COMPANY
(Surety) (Seal)
By: *Denise A. Chianese*

Denise A. Chianese, (Title) Attorney-in-Fact

POWER OF ATTORNEY

Direct Inquiries/Claims to:

THE HARTFORD
 Bond T-4
 One Hartford Plaza
 Hartford, Connecticut 06155
 call: 888-266-3488 or fax: 860-757-5835)

KNOW ALL PERSONS BY THESE PRESENTS THAT:

Agency Code: 08 089016

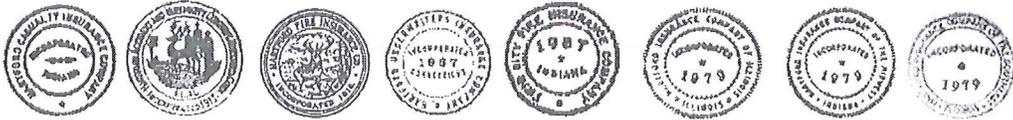
- Hartford Fire Insurance Company, a corporation duly organized under the laws of the State of Connecticut
- Hartford Casualty Insurance Company, a corporation duly organized under the laws of the State of Indiana
- Hartford Accident and Indemnity Company, a corporation duly organized under the laws of the State of Connecticut
- Hartford Underwriters Insurance Company, a corporation duly organized under the laws of the State of Connecticut
- Twin City Fire Insurance Company, a corporation duly organized under the laws of the State of Indiana
- Hartford Insurance Company of Illinois, a corporation duly organized under the laws of the State of Illinois
- Hartford Insurance Company of the Midwest, a corporation duly organized under the laws of the State of Indiana
- Hartford Insurance Company of the Southeast, a corporation duly organized under the laws of the State of Florida

having their home office in Hartford, Connecticut (hereinafter collectively referred to as the "Companies") do hereby make, constitute and appoint, up to the amount of UNLIMITED :

FRED R. TRIPP, ANDREW FOTOPULOS, DAVID J. BYRNE III, CHARLES A BYRNE,
 DENISE A. CHIANESE OF EAST PROVIDENCE, RHODE ISLAND

their true and lawful Attorney(s)-in-Fact, each in their separate capacity if more than one is named above, to sign its name as surety(ies) only as delineated above by , and to execute, seal and acknowledge any and all bonds, undertakings, contracts and other written instruments in the nature thereof, on behalf of the Companies in their business of guaranteeing the fidelity of persons, guaranteeing the performance of contracts and executing or guaranteeing bonds and undertakings required or permitted in any actions or proceedings allowed by law.

In Witness Whereof, and as authorized by a Resolution of the Board of Directors of the Companies on August 1, 2009, the Companies have caused these presents to be signed by its Vice President and its corporate seals to be hereto affixed, duly attested by its Assistant Secretary. Further, pursuant to Resolution of the Board of Directors of the Companies, the Companies hereby unambiguously affirm that they are and will be bound by any mechanically applied signatures applied to this Power of Attorney.



Wesley W. Cowling

Wesley W. Cowling, Assistant Secretary

M. Ross Fisher

M. Ross Fisher, Vice President

STATE OF CONNECTICUT }
 COUNTY OF HARTFORD } ss. Hartford

On this 12th day of July, 2012, before me personally came M. Ross Fisher, to me known, who being by me duly sworn, did depose and say: that he resides in the County of Hartford, State of Connecticut; that he is the Vice President of the Companies, the corporations described in and which executed the above instrument; that he knows the seals of the said corporations; that the seals affixed to the said instrument are such corporate seals; that they were so affixed by authority of the Boards of Directors of said corporations and that he signed his name thereto by like authority.



CERTIFICATE

Kathleen T. Maynard

Kathleen T. Maynard
 Notary Public

My Commission Expires July 31, 2016

I, the undersigned, Vice President of the Companies, DO HEREBY CERTIFY that the above and foregoing is a true and correct copy of the Power of Attorney executed by said Companies, which is still in full force effective as of November 21, 2014
 Signed and sealed at the City of Hartford.



Gary W. Stumper

Gary W. Stumper, Vice President



STATE OF RHODE ISLAND

CONTRACTORS' REGISTRATION
AND LICENSING BOARD

REGISTRANT'S NAME

TRAC BUILDERS, INC.

AUTHORIZED REPRESENTATIVE

WILLIAM TRIGET

DRIVER'S LICENSE #

RI 7815973

REGISTRATION NO

12034

EXP DATE

07/15

EXECUTIVE DIRECTOR

John A. Miller

01/11/16

RI 7815973

Request for Taxpayer Identification Number and Certification

**Give Form to the
requester. Do not
send to the IRS.**

Print or type
See Specific Instructions on page 2.

Name (as shown on your income tax return) TRAC Builders, Inc.	
Business name/disregarded entity name, if different from above	
Check appropriate box for federal tax classification: <input type="checkbox"/> Individual/sole proprietor <input type="checkbox"/> C Corporation <input checked="" type="checkbox"/> S Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> Trust/estate <input type="checkbox"/> Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=partnership) ▶ _____ <input type="checkbox"/> Other (see instructions) ▶ _____	Exemptions (see instructions): Exempt payee code (if any) _____ Exemption from FATCA reporting code (if any) _____
Address (number, street, and apt. or suite no.) 28 Wolcott Street	Requester's name and address (optional)
City, state, and ZIP code Providence, RI 02908	
List account number(s) here (optional)	

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on the "Name" line to avoid backup withholding. For individuals, this is your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the Part I instructions on page 3. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN* on page 3.

Note. If the account is in more than one name, see the chart on page 4 for guidelines on whose number to enter.

Social security number	
[] [] [] - [] [] [] - [] [] [] []	
Employer identification number	
05 - 0481558	

Part II Certification

Under penalties of perjury, I certify that:

1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me), and
2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding, and
3. I am a U.S. citizen or other U.S. person (defined below), and
4. The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions on page 3.

Sign Here Signature of U.S. person ▶ *William Lee*

Date ▶ **12.17.13**

General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Future developments. The IRS has created a page on IRS.gov for information about Form W-9, at www.irs.gov/w9. Information about any future developments affecting Form W-9 (such as legislation enacted after we release it) will be posted on that page.

Purpose of Form

A person who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) to report, for example, income paid to you, payments made to you in settlement of payment card and third party network transactions, real estate transactions, mortgage interest you paid, acquisition or abandonment of secured property, cancellation of debt, or contributions you made to an IRA.

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN to the person requesting it (the requester) and, when applicable, to:

1. Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
2. Certify that you are not subject to backup withholding, or
3. Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the

withholding tax on foreign partners' share of effectively connected income, and

4. Certify that FATCA code(s) entered on this form (if any) indicating that you are exempt from the FATCA reporting, is correct.

Note. If you are a U.S. person and a requester gives you a form other than Form W-9 to request your TIN, you must use the requester's form if it is substantially similar to this Form W-9.

Definition of a U.S. person. For federal tax purposes, you are considered a U.S. person if you are:

- An individual who is a U.S. citizen or U.S. resident alien,
- A partnership, corporation, company, or association created or organized in the United States or under the laws of the United States,
- An estate (other than a foreign estate), or
- A domestic trust (as defined in Regulations section 301.7701-7).

Special rules for partnerships. Partnerships that conduct a trade or business in the United States are generally required to pay a withholding tax under section 1446 on any foreign partners' share of effectively connected taxable income from such business. Further, in certain cases where a Form W-9 has not been received, the rules under section 1446 require a partnership to presume that a partner is a foreign person, and pay the section 1446 withholding tax. Therefore, if you are a U.S. person that is a partner in a partnership conducting a trade or business in the United States, provide Form W-9 to the partnership to establish your U.S. status and avoid section 1446 withholding on your share of partnership income.



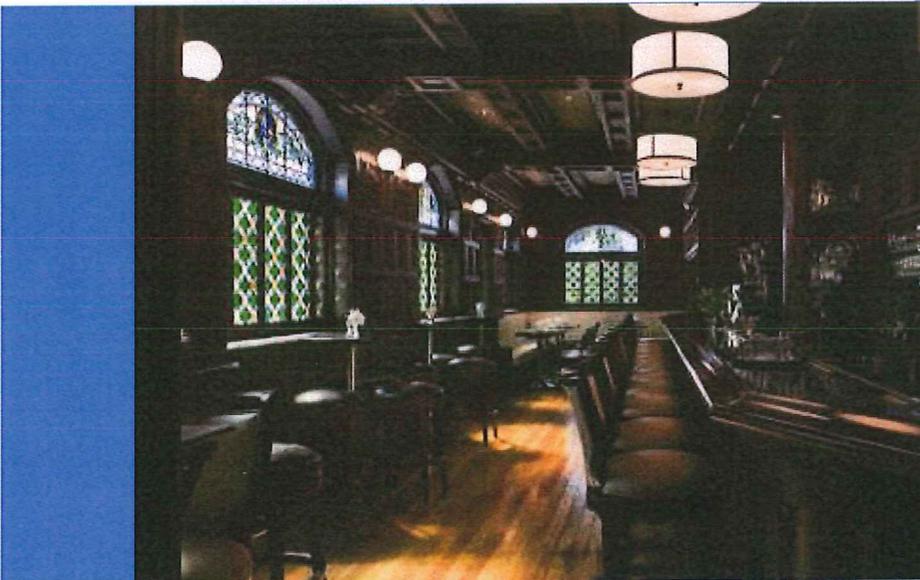
**Submitted to:
State of Rhode Island
Department of
Administration/Division of Purchases**

**Bid 7549063
Benefit Street Arsenal- Building
Envelope and Fire Alarm Repairs**

TRACBUILDERS.COM

**28 Wolcott Street
Providence, RI 02908**

(401) 943-3800





TRAC Builders Inc.

Established 1995

Tax ID # 05-0481558

Corporation – Incorporated in Rhode Island

24 years in business as TRAC Builders Inc.

Company Officers:

President

William Tracey

(401) 580-1906

BillT@TRACBuilders.com

Chief Operating Officer

Nelson Ferreira

(401) 829-1924

NelsonF@TRACBuilders.com

Contractor's Qualification Statement

1986 EDITION

This form is approved and recommended by The American Institute of Architects (AIA) and The Associated General Contractors of America (AGC) for use in evaluating the qualifications of contractors. No endorsement of the submitting party or verification of the information is made by the AIA or AGC.

The Undersigned certifies under oath that the information provided herein is true and sufficiently complete so as not to be misleading.

SUBMITTED TO: State of Rhode Island

ADDRESS: 1 Capitol Hill
Providence, RI 02908

SUBMITTED BY: William Tracey Corporation

NAME: TRAC Builders, Inc. Partnership

ADDRESS: 28 Wolcott Street Providence RI 02908 Individual

PRINCIPAL OFFICE: Same as above Joint Venture
Other

NAME OF PROJECT (if applicable):

TYPE OF WORK (file separate form for each Classification of Work):

<input checked="" type="checkbox"/>	General Construction	_____	HVAC
_____	Plumbing	_____	Electrical
_____	Other _____		

(please specify)

1. ORGANIZATION

1.1 How many years has your organization been in business as a Contractor?

Twenty Four Years

1.2 How many years has your organization been in business under its present name?

Twenty Four Years

1.2.1 Under what other or former names has your organization operated?

1.3 If your organization is a corporation, answer the following:

1.3.1	Date of incorporation:	January 6, 1995
1.3.2	State of incorporation:	Rhode Island
1.3.3	President's name:	William Tracey
1.3.4	Vice-President's name(s):	Nelson M. Ferreira
1.3.5	Secretary's name:	William Tracey
1.3.6	Treasurer's name:	William Tracey

1.4 If your organization is a partnership, answer the following:

1.4.1	Date of organization:	
1.4.2	Type of partnership (if applicable):	
1.4.3	Name(s) of general partner(s):	

1.5 If your organization is individually owned, answer the following:

1.5.1	Date of organization:	
1.5.2	Name of owner:	

1.6 If the form of your organization is other than those listed above, describe it and name the principals:

A305-1986 2

2. LICENSING

2.1 List jurisdictions and trade categories in which your organization is legally qualified to do business, and indicate registration or license numbers, if applicable. RI, MA, CT - Any legal business

2.2 List jurisdictions in which your organization's partnership or trade name is filed.
Not Applicable

3. EXPERIENCE

3.1 List the categories of work that your organization normally performs with its own forces.
Site Work
Concrete
Rough Carpentry
Finish Carpentry

3.2 Claims and Suits. (if the answer to any of the questions below is yes, please attached details). No

3.2.1 Has your organization ever failed to complete any work awarded to it?
No

3.2.2 Are there any judgments, claims, arbitration proceedings or suits pending or outstanding against your organization or its officers?
No

3.2.3 Has your organization filed any lawsuits or requested arbitration with regard to construction contracts within the last five years?
No

3.3 Within the last five years, has any officer or principal of your organization ever been an officer or principal of another organization when it failed to complete a construction contract? (If the answer is yes, please attach details).
No

3.4 On a separate sheet, list major construction projects your organization has in progress, giving the name of project, owner, architect, contract amount, percent complete and scheduled completion date.
See Attached

3.4.1 State total worth of work in progress and under contract:
See Attached

- 3.5 On a separate sheet, list the major projects your organization has completed in the past five years, giving the name of project, owner, architect, contract amount, date of completion and percentage of the cost of the work performed with your own forces.
See Attached
- 3.5.1 State average annual amount of construction work performed during the past five years: \$10 Million
- 3.6 On a separate sheet, list the construction experience and present commitments of key individuals of your organization.
See Attached

4. REFERENCES

- 4.1 Harvey Building Products
1400 Main Street
Waltham, MA 02451-1606
(781) 899-3500
Attn: Credit Dept
- Arnold Lumber
251 Fairgrounds Road
West Kingston, RI 02892
(401) 783-2266
Attn: John Moreno/Credit Dept
- Kamco Supply Corporation
40 Sockanosset Crossroad
Cranston, RI 02910
(401) 463-5266
Attn: Credit Dept
- Douglas Lumber
125 Douglas Pike
Smithfield, RI 02917
(401) 231-6800
Attn: Robert Martone
- 4.2 Bank References:
- BankRI
One Turks Head Place
Providence, RI 02903
Attention: Alisa Loiselle (401) 574-1654
- 4.3 Surety:
- 4.3.1 Name of bonding company:
Hartford Fire Insurance Company
- 4.3.2 Name and address of agent:
- Byrne Bonding and Insurance Company/Division of
Starkweather & Shepley Insurance Brokerage, INC
PO Box 549
Providence, RI 02901-0549
Attention: David Byrne (401) 438-4554
President

5. FINANCING

5.1 Financial Statement

5.1.1 Attach a financial statement, preferably audited, including your organizations latest balance sheet and income statement showing the following items:

Current Assets (e.g., cash, joint venture accounts, account receivable, notes receivable, accrued income, deposits, materials inventory and prepaid expenses):

Net Fixed Assets;

Other Assets;

Current Liabilities (e.g., accounts payable, notes payable, accrued expenses, provision for income taxes, advances, accrued salaries and accrued payroll taxes);

Other Liabilities (e.g., capital, capital stock, authorized and outstanding shares per values, earned surplus and retained earnings).

Being a closely held corporation the financial statement of the firm is privileged information. Prior to the execution of a contract, we would make this information available for review if required.

5.1.2 Name and address of firm preparing attached financial statement, and date thereof:

5.1.3 Is the attached financial statement for the identical organization named on page one?

5.1.4 If not, explain the relationship and financial responsibility of the organization whose financial statement is provided (e.g., parent-subsidiary).

5.2 Will the organization whose financial statement is attached act as guarantor of the contract for construction?

6. SIGNATURE

6.1 In Providence in said County on **25 November 2014**, personally appeared William Tracey CEO, TRAC Builders Inc. to me known and known by me to be the party executing the foregoing instrument on behalf of said corporation and he acknowledged said instrument, by him executed to be his free act and deed and the free act and deed of said corporation.

William Tracey

Title: CEO

Subscribed and sworn before me on **November 25, 2014**

Notary Public _____
Judy A. Russell
Notary Public

My Commission Expires: 3/21/17



WILLIAM E. TRACEY, LEED AP

Chief Executive Officer

Phone: (401) 580-1906

BillT@TRACBuilders.com

Website: WWW.TRACBuilders.com

PROFILE:

As founder of TRAC Builders, established in 1995, my vision for the company is to grow the firm with talented individuals that are passionate about the projects they work on and the industries in which TRAC serves. I have been dedicated to creating a culture that not only gives back to the communities in which we serve but also promote leadership amongst all team members to carry out TRAC's culture to our projects.

EDUCATION:

Roger Williams University, Bristol, Rhode Island
Bachelor of Science/Construction Management. Minor: Historic Preservation

LICENSES/CERTIFICATIONS:

- LEED AP-US Green Building Council
- Rhode Island Builders License
- Rhode Island Hazardous Materials
- Massachusetts Home Improvement
- Massachusetts General Contractor
- Massachusetts Construction Supervisor
- Massachusetts Division of Asset Management (DAM) Certified
- Connecticut General Contractor
- Rhode Island Housing Approved Contractor

ADDITIONAL TRAINING:

- OSHA 10/30-Hour Safety Training
- Landmark Forum and Landmark Advanced Course

PROFESSIONAL MEMBERSHIPS:

- Construction Specification Institute-Past President
- American Institute of Architects-Member
- Roger Williams University-Construction Management Advisory Board
- Rhode Island Builders Association-Member
- US Green Building Council-Member
- Rhode Island Green Building Council-Member
- Greater Providence Chamber of Commerce-Member

EXPERIENCE:

1995-Present **TRAC BUILDERS, INC.**, Johnston, Rhode Island
General Contractor - \$15 million annual revenue - 35 employees

CHIEF EXECUTIVE OFFICER / FOUNDER

Established a general contracting and construction business in 1995. Provide professional services to a variety of commercial clients and markets throughout New England. Work in both specialty and general construction markets.



WILLIAM E. TRACEY, LEED AP
Chief Executive Officer

PERSONAL REFERENCES

James Derentis
(401) 553-6383
jderentis@residentialproperties.com

Joseph Shekarchi
(401) 827-0100
joe@shekarchilaw.com

Frank Shea
(401) 351-8719
shea@olneyville.org

Anne Nolan
(401) 277-4300
anolan@crossroadsri.org

Lucie Searle
(401) 831-9327
lucie@as220.org

Christine Malecki West
(401) 272-0240
cw@kitearchitects.com



Nelson Ferreira
Chief Operating Officer

Work Experience:

2009 – Present	Chief Operating Officer	TRAC Builders, Providence RI
2005 – 2009	Project Manager/Field Supervisor	D & N Construction Seekonk, MA

Selected Projects: **Indicated Historic Projects

****Center for the Study of Slavery and Justice** \$438,000 historic interior renovations

Yushin America, Inc: \$1,689,569 preconstruction/design build addition to existing facility

Roger Williams University: \$564, 016 FCAS Elevator and ADA Improvements

Lifespan: Various projects including elevator modernization, fire protection upgrades, interior renovations

****Hope and Main Culinary Incubator:** \$1,596,000 Food service renovation

RI Hospital Radiation Oncology: \$575,990 LINAC Installation/Renovation

****Brown University:** \$128,000 Brown University Daily Herald interior renovations

****Brown University:** \$207,000 Corliss Bracket Interior Renovations

Brown University: \$873,442 Dormitory Renovations

****Brown University:** \$125,700.00 Admissions Office Relocation Project

Brown University: \$586,000.00 Fitness Center Project

Olney Village Apartments: \$7,144,000.00 New and Renovation Housing Project

Brown University: \$450,000.00 Roof Terrace Project

Hasbro Children's Hospital: \$750,000.00 Sixth floor renovation

****Gunn Residence:** \$710,000.00 Addition and Renovation Project

Bank RI Operations Center: \$472,000.00 Roof Replacement Project

Rhode Island Hospital: APC 7th Floor Memory Disorder Relocation Project.

Crossroads RI: Corporate Headquarters / Interior Renovations

Wildflour Vegan Bakery Juice Bar: Tenant Fit-out / Food Service Renovation

****Vedanta Society of Providence:** 9000sf Spiritual Place of Assembly

Licenses and Certifications:

OSHA 10/30 Hour Safety Training

Licensed Massachusetts Construction Supervisor

Architectural References:

KITE Architects (401) 272-0240

3Six0 Architects (401) 421-4360

Geisser Engineering Corporation: (401) 743-1661

Owners:

Brown University – Steve Phillips (401) 863-7728

Wildflour – Robert Yaffee (401) 726-2826

Crossroads RI – Michelle Wilcox, COO (401) 277-4315

RI Hospital – Ricardo Quiterio (401) 444-8783

Olneyville Housing Corp Owner Rep Jerold Ehrlich (401) 640-1317

Vedanta Societv – Swami Yogatmananda (401) 421-3960



**Peter G. Hadden
Superintendent**

Work Experience:

2012 – Present	Project Superintendent	TRAC Builders, Johnston RI
Mobilize and oversee all phases of multi-million dollar construction operations. Supervise up to 30 employees and subcontractors through all phases of the project.		
2010 – 2012	Construction Manager	Centerline Construction, Canton MA
2007 – 2010	Project Superintendent	Timberline Construction, Canton MA
1992- 2007	Construction Supervisor	Macleod Brothers GC, Rockland MA

TRAC Projects: **Indicate Historic projects

****Center for the Study of Slavery and Justice** \$438,000 historic interior renovations

****Brown University:** \$128,000 Brown University Daily Herald interior renovations

****Hope and Main Culinary Incubator:** \$1,596,000 Food service renovation

RI Hospital Radiation Oncology: \$575,990 LINAC Installation/Renovation

****Brown University:** \$207,000 Corliss Bracket Interior Renovations

Olneyville Housing: \$7,144,000 New and Renovation Housing Project

Previous Experience Projects:

Central Artery DAS Project: \$5,500,000 Provide cell coverage for 5 cell companies within Boston's Central Artery Tunnels

Back Bay DAS Project: \$500,000 Installation of DAS system in Boston's Back Bay

Gillette Stadium: \$1,500,000 Provide cell coverage for Verizon throughout stadium and adjacent buildings.

Financial Institutions: Construction management of 20 banks throughout New England

Licenses and Certifications:

Confined Space License

OSHA 10/30 Hour Safety Training

Asbestos and Lead Training



HISTORIC PRESERVATION PROJECTS

Project: Hope and Main LLC Culinary Incubator
Warren, RI
Age of Property: 100 year old school in historic Warren
Owner: Hope and Main LLC
Contract Amount: \$1,596,000
Completion Date: September 2014
Architect: Greg Speiss
Reference: Lisa Raiola
Phone Number: (401) 297-7294
Description of Work: Renovation

Project: Center for the Study of Slavery and Justice
Providence, RI
Age of Property: 1860
Owner: Brown University
Contract Amount: \$438,000
Completion Date: August 2014
Architect: LLB
Reference: Anthony Casello
Phone Number: (401) 863-7851
Description of Work: Renovations

Project: Brown University Daily Herald
Providence, RI
Age of Property: 1902
Owner: Brown University
Contract Amount: \$60,668 Phase 1 - \$67,000 Phase 2
Completion Date: February 2014/September 2013
Architect: Torrado Architects
Reference: Steve Phillips
Phone Number: (401) 863-7728
Description of Work: Renovations

Project: Burgess Nightingale Historic Home
Providence, RI
Age of Property: 1852
Owner: Private Residence
Contract Amount: \$710,266
Completion Date: August 31, 2012

Architect: Kite Architects
Reference: Christine West
Phone Number: 401-272-0240x 117
Description of Work: Addition/repairs to existing envelope – incorporating modern system into previous historic structure, historic preservation of interior renovations

Project: **Vedanta Society**
Providence RI
Age of Property: 1890's
Owner: Swami Yogatmananda
Contract Amount: \$2,244,566
Completion Date: February 12, 2012
Architect: Kite Architects
Reference: Swami Yogatmananda
Phone Number: (401) 421-3960
Description of Work: Addition/repairs to existing envelope – incorporating modern system into previous historic structure to preserve historic integrity, historic preservation of interior renovations

Other Relevant Projects

Project: Pastore Federal Building, Providence, RI
Age of Property: 1857
Owner: GSA
Architect: BH & A – Jim Maloney
Contract Amount: \$1,728,566
Completion Date: September 30, 2007
Architect: BH & A – Jim Maloney
Contact Person: Sean Orgel
Phone Number: (617) 565-7135
Description of Work: Building envelope repairs and historic window systems were removed and replaced with temporary enclosures while the existing window systems were refurbished and reinstalled.

Project: **Dreyfus Building**
Providence, RI
Age of Property: 1890
Owner: AS220
Architect: Durkee Brown – Virginia Branch
Contract Amount: \$5,500,000
Completion Date: December 29, 2006
Contact Person: Lucie Searle
Phone Number: (401) 490-2119
Description of Work: Building envelope, historic preservation, interior and exterior renovations

Project: **Woonsocket Depot Intermodal**

Woonsocket, RI

Age of Property:
Owner: RIDOT, Two Capital Hill, Providence, RI
Architect: Earthtech – Jim Stanislaski
Contract Amount: \$2,000,000
Completion Date: December 22, 2006
Contact Person: Mona Morin
Phone Number: (401) 265-4500
Description of Work: Restoration of the depot included interior demolition of reconstruction; structural reinforcement; exterior masonry and roof restoration. In addition, special attention was required to completely refurbish the historic Clock Tower.

Project: RI Housing – Slade Garr Earle Renovations
44 Washington Street, Providence, RI

Age of Property: 1896
Owner: RI Housing, State of Rhode Island
Architect: LA Torrado – Louis Torrado
Contract Amount: \$1,500,000
Completion Date: June 1, 2006
Contact Person: Tony A’Vant
Telephone No.: (401) 457-1103
Description of Work: Reconstruction and installation of a new lobby and reception area in the Slade Building as well as the construction of a functional and attractive connector from the Slade Building to the Earle Building.

Project: The Abington at Westminster Crossing
Providence, RI 02903

Age of Property: 150+ years
Owner: James DeRentis
Architect: Dan Cady
Contract Amount: \$900,000
Completion Date: September 22, 2005
Contact Person: James DeRentis
Phone Number: (401) 529-2188
Description of Work: This 150-year-old, vaudeville-style hotel had once been an antique store and was extremely dilapidated when the owners hired TRAC Builders to turn their vision for a condominium development into a reality. The historic renovation project included one 4,300 square foot owner-occupied, residential unit on the top floor, two residential condominium units on the second floor, three storefront retail units on the first floor and a basement and parking area.