State of Rhode Island and Providence Plantations Contract Offer

# RIVIP BIDDER CERTIFICATION COVER FORM

## SECTION 1 - VENDOR INFORMATION

| | |
|---|---|
| **Bid/RFPNumber:** | 7548924A3 |
| **Bid/RFP Title:** | REPLACE INTERIOR STEAM LINE IN MAXIMUM SECURITY RECREATION YARD - ADDENDUM 3 (9 PGS & ZIP FILE) |
| **Opening Date & Time:** | 9/23/2014 10:00 AM |
| **RIVIP Vendor ID #:** | 31492 |
| **Vendor Name:** | Delta Mechanical Contractors LLC |
| **Address:** | 44 Wilclar Street |
| | Warwick , RI 02886 |
| | USA |
| **Telephone:** | (401) 737-3500 |
| **Fax:** | (401) 739-7376 |
| **E-Mail:** | j.bookbinder@deltamechllc.com |
| **Contact Person:** | Bruce Bookbinder |
| **Title:** | President |
| **R.I. Foreign Corp #:** | |

## NOTICE TO VENDORS

Each bid proposal for a *public works project* must include a "public copy" to be available for public inspection upon the opening of bids. **Bid proposals that do not include a copy for public inspection will be deemed nonresponsive.** For further information on how to comply with this statutory requirement, see R. I. Gen. Laws §§ 37-2-18(b) and (j). Also see Procurement Regulation 5.11, and in addition, for highway and bridge projects, also see Procurement Regulation 5.13, accessible at www.purchasing.ri.gov.

NOTE: AWARD OF CONTRACTS AND PURCHASE ORDERS SHALL BE SUBJECT, AT THE DISCRETION OF THE PURCHASING AGENT, TO THE OFFEROR COMPLETING AN ON-LINE RIVIP REGISTRATION at www.purchasing.ri.gov. It is THE RESPONSIBILITY OF THE VENDOR to make on-line corrections/updates using the Vendor maintenance program on the RI Division of Purchases Web Site.

## SECTION 2 – REQUIREMENTS

**ALL OFFERS ARE SUBJECT TO THE REQUIREMENTS, PROVISIONS AND PROCEDURES CONTAINED IN THIS CERTIFICATION FORM. Offerors are expected to READ, SIGN and COMPLY WITH all requirements. Failure to do so may be grounds for disqualification of the offer contained herein.**

Section 2.1 - RULES FOR SUBMITTING OFFERS

2.1A. This CERTIFICATION FORM MUST BE ATTACHED IN ITS ENTIRETY TO THE FRONT OF THE OFFER and shall be considered an integral part of each offer made by a vendor to enter into a contract with the State of Rhode Island, Division of Purchases. As such, submittal of the entire Bidder Certification Cover Form, signed by a duly authorized representative of the offeror attesting that he/she (1) has read and agrees to comply with the requirements set forth herein and (2) to the accuracy of the information provided and the offer extended, is a mandatory part of any contract award.

To assure that offers are considered on time, each offer must be submitted with the specific Bid/RFP/LOI number (provided above) and the date and time of opening marked in the upper left hand corner of envelope. Each bid/offer must be submitted in separate sealed envelopes.

A complete, signed (in ink) offer package must be delivered to the Division of Purchases (via any mail or messenger service) by the time and date specified for the opening of responses in a sealed envelope.

Bids must be submitted on the RI bid solicitation forms provided, indicating brand and part numbers of items offered, as appropriate. Bidders must submit detailed cuts and specs on items offered as equivalent to brands requested WITH THE OFFER. Bidders must be able to submit samples if requested.
Mail To: Division of Purchases, One Capitol Hill, Second Floor, Providence, RI 02908-5855.

Documents misdirected to other State locations or which are not present in the Division of Purchases at the time of opening for whatever cause will be deemed to be late and will not be considered. For the purposes of this requirement, the official time and date shall be that of the time clock in the Division of Purchases. Postmarks shall not be considered proof of timely submission.

2.1B. RIVIP SOLICITATIONS. To assure maximum access opportunities for users, public bid/RFP solicitations shall be posted on the RIVIP for a minimum of seven days and no amendments shall be made within the last five days before the date an offer is due. Except when access to the Web Site has been severely curtailed and it is determined by the State Purchasing Agent that special circumstances preclude extending a solicitation due date, requests to mail or fax hard copies of solicitations will not be honored. When the result of an Internet solicitation is unsuccessful, the State of Rhode Island will cancel the original solicitation and resolicit the original offer directly from vendors.

2.2. PRICING. Offers are irrevocable for sixty (60) days from the opening date (or such other extended period set forth in the solicitation) and may not be withdrawn, except with the express permission of the State Purchasing Agent. All pricing will be considered to be firm and fixed unless otherwise indicated. The State of Rhode Island is exempt from Federal excise taxes and State Sales and Use Taxes. Such taxes shall not be included in the bid price. PRICES QUOTED ARE FOB DESTINATION.

2.3. DELIVERY and PRODUCT QUALITY. All offers must define delivery dates for all items; if no delivery date is specified, it is assumed that immediate delivery from stock will be made. The contractor will be responsible for delivery of materials in first class condition. Rejected materials will be at vendor's expense.

2.4. PREVAILING WAGE, OSHA SAFETY TRAINING, and APPRENTICESHIP REQUIREMENTS.

Bidders must comply with the provisions of the Rhode Island labor laws, including R. I. Gen. Laws §§ 37-13-1 *et seq.* and occupational safety laws, including R. I. Gen. Laws §§ 28-20-1 *et seq.* These laws mandate for public works construction projects the payment of prevailing wage rates, the implementation and maintenance of occupational safety standards, and for projects with a minimum value of $1 Million, the employment of apprentices. The successful Bidder must submit certifications of compliance with these laws from each of its subcontractors prior to their commencement of any work. Prevailing wage rates, apprenticeship requirements, and other workforce and safety regulations are accessible at www.dlt.ri.gov.

2.5. PUBLIC RECORDS. Offerors are advised that all materials submitted to the State for consideration in response to this solicitation will be considered without exception to be Public Records pursuant to Title 38 Chapter 2 of the Rhode Island General Laws, and will be released for inspection immediately upon request once an award has been made. Offerors are encouraged to attend public bid/RFP openings to obtain information; however, bid/RFP response summaries may be reviewed after award(s) have been made by using the RIVIP at any time or appearing in person at the Division of Purchases Mondays through Fridays between 8:30 a.m. and 3:30 p.m. Telephone requests for results will not be honored. Written requests for results will only be honored if the information is not available on the RIVIP.

## SECTION 3 - AWARD DETERMINATION

Award will be made to the responsive and responsible offeror quoting the lowest net price in accordance with specifications, for any individual item(s), for major groupings of items, or for all items listed, at the State's sole option.
3.1. BID SURETY. Where bid surety is required, bidder must furnish a bid bond or certified check for 5% of the bid total with the bid, or for such other amount as may be specified. Bids submitted without a required bid surety will not be considered.

3.2. SPECIFICATIONS. Unless specified "no substitute," product offerings equivalent in quality and performance will be considered (at the sole option of the State) on the condition that the offer is accompanied by detailed product specifications. Offers which fail to include alternate specifications may be deemed nonresponsive.

## SECTION 4 – CONTRACT PROVISIONS

4.1. VENDOR AUTHORIZATION TO PROCEED.

4.1A. When a purchase order, change order, contract/agreement or contract/agreement amendment is issued by the RI Division of Purchases, no claim for payment for services rendered or goods delivered contrary to or in excess of the contract terms and scope shall be considered valid unless the vendor has obtained a written change order or contract amendment issued by the Division of Purchases PRIOR TO delivery.

4.1B. Any offer, whether in response to a solicitation for proposals or bids, or made without a solicitation, which is accepted in the form of an order OR Pricing Agreement made in writing by the Purchasing Agent, or a state official with purchasing authority delegated by the Purchasing Agent, shall be considered a binding contract.

4.2. REGULATIONS, GENERAL TERMS AND CONDITIONS GOVERNING STATE CONTRACTS. This solicitation and any contract or purchase order arising from it are issued in accordance with the specific requirements described herein, and the State's Purchasing Laws and Regulations and other applicable State Laws. The Regulations, General Terms and Conditions are incorporated into all state contracts. These regulations and basic information on How To Do Business with the State of Rhode Island are posted on the Rhode Island Vendor Information Program Website (www.purchasing.ri.gov).

4.2A. ARRA SUPPLEMENTAL TERMS AND CONDITIONS. Contracts and sub-awards funded in whole or in part by the American Recovery and Reinvestment Act of 2009. Pub.L.No. 111-5 and any amendments thereto, such contracts and sub-awards, shall be subject to the Supplemental Terms and Conditions For Contracts and Sub-awards Funded in Whole or in Part by the American Recovery and Reinvestment Act of 2009. Pub.L.No. 111-5 and any amendments thereto located on the Division of Purchases website at www.purchasing.ri.gov.

4.3. EQUAL EMPLOYMENT OPPORTUNITY. Compliance certificate and agreement procedures will apply to all awards for supplies or services valued at $10,000 and more. Minority Business Enterprise policies and procedures, including subcontracting opportunities as described in Title 37 Chapter 14.1, of the Rhode Island General Laws, also apply.

4.4. PERFORMANCE BONDS. Where indicated, successful bidder must furnish a 100% performance bond and labor and payment bond for contracts subject to Title 37 Chapters 12 and 13 of the Rhode Island General Laws. All bonds must be furnished by a surety company authorized to conduct business in the State of Rhode Island. Performance bonds must be submitted within 21 calendar days of the issuance of a tentative notice of award.

4.5. DEFAULT and NON-COMPLIANCE. Default and/or non-compliance with the RIVIP requirements and any other aspects of the award may result in withholding of payment(s), contract termination, debarment, suspension, or any other remedy necessary that is in the best interest of the state.

4.6. COMPLIANCE. Vendor must comply with all applicable federal, state and local laws, regulations and ordinances.

4.7. SPRINKLER IMPAIRMENT AND HOT WORK. The Contractor agrees to comply with the practices of the State's insurance carrier for sprinkler impairment and hot work. Prior to performing any work, the Contractor shall obtain the necessary information for compliance from the Risk Management Office at the Department of Administration or the agency for which work will be performed.

## SECTION 5 – CERTIFICATIONS AND DISCLOSURES
## ALL CONTRACT AWARDS ARE SUBJECT TO THE FOLLOWING DISCLOSURES & CERTIFICATIONS

**Offerors must respond to every disclosure statement.**
**A person authorized to enter into contracts must sign the offer and attest to the accuracy of all statements.**

Indicate Yes (Y) or No (N):

N 1. State whether your company, or any owner, stockholder, officer, director, member, partner, or principal thereof, or any subsidiary or affiliated company, has been subject to suspension or debarment by any federal, state, or municipal government agency, or the subject of criminal prosecution, or convicted of a criminal offense within the previous five (5) years. If so, then provide details below.

N 2. State whether your company, or any owner, stockholder, officer, director, member, partner, or principal thereof, or any subsidiary or affiliated company, has had any contracts with a federal, state or municipal government agency terminated for any reason within the previous five (5) years. If so, then provide details below.

N 3. State whether your company or any owner, stockholder, officer, director, member, partner, or principal thereof, or any subsidiary or affiliated company, has been fined more than $5000 for violation(s) of Rhode Island environmental laws by the Rhode Island Department of Environmental Management within the previous five (5) years. If so, then provide details below.

Y 4. I/we certify that I/we will immediately disclose, in writing, to the Chief Purchasing Officer any potential conflict of interest, which may occur during the course of the engagement authorized pursuant to this contract.

Y 5. I/we acknowledge that, in accordance with Chapter 37-2-54(c) of the Rhode Island General Laws "no purchase or contract shall be binding on the state or any agency thereof unless approved by the Department [of Administration] or made under general regulations which the Chief Purchasing Officer may prescribe", including change orders and other types of contracts and under State Purchasing Regulation 8.2.1.1.2, "any alleged oral agreement or arrangements made by a bidder or contractor with any agency or an employee of the Office of Purchases may be disregarded and shall not be binding on the state".

Y 6. I/we certify that I/we or my/our firm possesses all licenses required by Federal and State laws and regulations as they pertain to the requirements of the solicitation and offer made herein and shall maintain such required license(s) during the entire course of the contract resulting from the offer contained herein and should my/our license lapse or be suspended, I/we shall immediately inform the Rhode Island State Purchasing Agent in writing of such circumstance.

Y 7. I/we certify that I/we will maintain required insurance during the entire course of the contract resulting from the offer contained herein and should my/our insurance lapse or be suspended, I/we shall immediately inform the Rhode Island State Purchasing Agent in writing of such circumstance.

Y 8. I/we certify that I/we understand that falsification of any information herein or failure to notify the Rhode Island State Purchasing Agent as certified herein may be grounds for suspension, debarment and/or prosecution for fraud.

Y 9. I/we acknowledge that the provisions and procedures set forth in this form apply to any contract arising from this offer.

Y 10. I/we acknowledge that I/we understand the State's Purchasing Laws (37-2 of the General Laws of Rhode Island) and Purchasing Regulations and General Terms and Conditions available at the Rhode Island Division of Purchases Website (www.purchasing.ri.gov) apply as the governing conditions for any contract or purchase order I/we may receive from the State of Rhode Island, including the offer contained herein.

Y 11. I/We certify that the bidder: (i) is not identified on the General Treasurer's list, created pursuant to R.I. Gen. Laws § 37-2.5-3, as a person or entity engaging in investment activities in Iran described in § 37-2.5-2(b); and (ii) is not engaging in any such investment activities in Iran.

Y 12. I/we certify that the above vendor information is correct and complete.

IF YOU HAVE ANSWERED "YES" TO QUESTIONS #1–3 OR IF YOU ARE UNABLE TO CERTIFY YES TO ITEMS #4–12 OF THE FOREGOING, PROVIDE DETAILS/EXPLANATION BELOW AND/OR IN AN ATTACHED STATEMENT. INCOMPLETE CERTIFICATION FORMS SHALL BE GROUNDS FOR DISQUALIFICATION OF OFFER.

**Signature below commits vendor to the attached offer and certifies (1) that the offer has taken into account all solicitation amendments, (2) that the above statements and information are accurate and that vendor understands and has complied with the requirements set forth herein. When delivering offers in person to One Capitol Hill, vendors are advised to allow at least one hour additional time for clearance through security checkpoints.**

**Vendor's Signature** (Person authorized to enter into contracts; signature must be in ink.) **Date** 09/22/14

BRUCE BOOKBINDER - PRESIDENT
Name and Title of company official signing offer

Print

# AIA® Document A305™ – 1986

## *Contractor's Qualification Statement*

The Undersigned certifies under oath that the information provided herein is true and sufficiently complete so as not to be misleading.

**SUBMITTED TO:** State of Rhode Island - Department of Administration

**ADDRESS:** One Capitol Hill, Providence, RI 02908

**SUBMITTED BY:** Delta Mechanical Contractors, LLC.

**NAME:** David M. Greenberg

**ADDRESS:** 44 Wilclar Street Warwick, RI 02886

**PRINCIPAL OFFICE:**

[ ] Corporation
[ ] Partnership
[ ] Individual
[ ] Joint Venture
[X] Other LLC.

**NAME OF PROJECT** *(if applicable)*: REPLACE INTERIOR STEAM LINE IN MAXIMUM SECURITY RECREATION YARD - RFP#7548924A3

**TYPE OF WORK** (file separate form for each Classification of Work):

[X ] General Construction
[X ] HVAC
[ ] Electrical
[ ] Plumbing
[ ] Other (please specify)

**ADDITIONS AND DELETIONS:**
The author of this document has added information needed for its completion. The author may also have revised the text of the original AIA standard form. An *Additions and Deletions Report* that notes added information as well as revisions to the standard form text is available from the author and should be reviewed. A vertical line in the left margin of this document indicates where the author has added necessary information and where the author has added to or deleted from the original AIA text.

This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

This form is approved and recommended by the American Institute of Architects (AIA) and The Associated General Contractors of America (AGC) for use in evaluating the qualifications of contractors. No endorsement of the submitting party or verification of the information is made by AIA or AGC.

### § 1. ORGANIZATION

**§ 1.1** How many years has your organization been in business as a Contractor? 23

**§ 1.2** How many years has your organization been in business under its present business name? 8

**§ 1.2.1** Under what other or former names has your organization operated? Delta Mechanical Inc; Delta Mechanical of New England, Inc.; Delta Keyspan Inc.; Delta Keyspan LLC.

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**User Notes:** (3633154100)

§ 1.3 If your organization is a corporation, answer the following:

§ 1.3.1 Date of incorporation: August 1999

§ 1.3.2 State of incorporation: Delaware

§ 1.3.3 President's name: Bruce Bookbinder

§ 1.3.4 Vice-president's name(s) David Greenberg

§ 1.3.5 Secretary's name: Ass't; Michael Spooner, Dennis Medeiros, Steven Wiseman , Kevin Munroe

§ 1.3.6 Treasurer's name: Kimberly Bookbinder

§ 1.4 If your organization is a partnership, answer the following:

§ 1.4.1 Date of organization:

§ 1.4.2 Type of partnership (if applicable):

§ 1.4.3 Name(s) of general partner(s)

§ 1.5 If your organization is individually owned, answer the following:

§ 1.5.1 Date of organization:

§ 1.5.2 Name of owner:

§ 1.6 If the form of your organization is other than those listed above, describe it and name the principals:

## § 2. LICENSING

§ 2.1 List jurisdictions and trade categories in which your organization is legally qualified to do business, and indicate registration or license numbers, if applicable. RI Master Plumber 001953 RI Master Pipefitter 00006195 MA Master Plumber Gasfitter 11526 MA Master Pipefitter 22972 RI Master Fire Protection 00000355 MA Refrigeration Tech 15292

§ 2.2 List jurisdictions in which your organization's partnership or trade name is filed.

Rhode Island, Connecticut, Massachusetts, Delaware, New Hampshire & South Carolina

## § 3. EXPERIENCE

§ 3.1 List the categories of work that your organization normally performs with its own forces.

Plumbing, HVAC & Fire Protection

§ 3.2 Claims and Suits. (If the answer to any of the questions below is yes, please attach details.)

§ 3.2.1 Has your organization ever failed to complete any work awarded to it?

NO

§ 3.2.2 Are there any judgments, claims, arbitration proceedings or suits pending or outstanding against your organization or its officers?

NO

§ 3.2.3 Has your organization filed any law suits or requested arbitration with regard to construction contracts within the last five years?

NO ( we have been asked to join in arbitration)

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**User Notes:** (3633154100)

§ 3.3 Within the last five years, has any officer or principal of your organization ever been an officer or principal of another organization when it failed to complete a construction contract? (If the answer is yes, please attach details.)

NO

§ 3.4 On a separate sheet, list major construction projects your organization has in progress, giving the name of project, owner, architect, contract amount, percent complete and scheduled completion date.

See Attached Item #1

§ 3.4.1 State total worth of work in progress and under contract:

$46,591,953

§ 3.5 On a separate sheet, list the major projects your organization has completed in the past five years, giving the name of project, owner, architect, contract amount, date of completion and percentage of the cost of the work performed with your own forces.

See Attached Item #2

§ 3.5.1 State average annual amount of construction work performed during the past five years:

$51,973,251

§ 3.6 On a separate sheet, list the construction experience and present commitments of the key individuals of your organization. See Attached Item #3 - Resume's of key individuals

We currently have sufficient bonding capacity and available work force

## § 4. REFERENCES

§ 4.1 Trade References: Seekonk Supply - Matt Quirk - 508-336-6650
Newman Associates - Hank Newman - 781-329-4000
Johnson Insulation - Steve Johnson - 401-433-5116

§ 4.2 Bank References: Sovereign Bank - Attn: Michael Smith 401-752-1431
One Financial Plaza
Providence, RI 02903

§ 4.3 Surety:

§ 4.3.1 Name of bonding company: Berkley Surety Group
290 Donald J Lynch Blvd
Marlborough, MA 01752

§ 4.3.2 Name and address of agent: Brian Rossi - Gencorp Insurance Group - 401-884-7800
16 Main Street
East Greenwich, RI 02818

## § 5. FINANCING

§ 5.1 Financial Statement.

§ 5.1.1 Attach a financial statement, preferably audited, including your organization's latest balance sheet and income statement showing the following items: See Attached Item #4

Current Assets (e.g., cash, joint venture accounts, accounts receivable, notes receivable, accrued income, deposits, materials inventory and prepaid expenses); $14,765,545

Net Fixed Assets; $427,544

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**User Notes:** (3633154100)

Other Assets; $83,119

Current Liabilities (e.g., accounts payable, notes payable, accrued expenses, provision for income taxes, advances, accrued salaries and accrued payroll taxes); $10,926,876

Other Liabilities (e.g., capital, capital stock, authorized and outstanding shares par values, earned surplus and retained earnings). $4,276,644

§ **5.1.2** Name and address of firm preparing attached financial statement, and date thereof:
Feeley & Driscoll
200 Portland Street
Boston, MA 02114 - Date: October 30, 2013

§ **5.1.3** Is the attached financial statement for the identical organization named on page one?
No, Delta is a wholly owned subsidiary of Sycamore Enterprises, LLC.
Delta's assets, liabilities and equity are identified on pages 26-30 of the audited statements.

§ **5.1.4** If not, explain the relationship and financial responsibility of the organization whose financial statement is provided (e.g., parent-subsidiary).
Parent-subsidiary

§ **5.2** Will the organization whose financial statement is attached act as guarantor of the contract for construction? Yes

## § 6. SIGNATURE

§ **6.1** Dated at this 22nd day of September 2014

Name of Organization: Delta Mechanical Contractors, LLC

By: [signature]

Title: President

6.2

M being duly sworn deposes and says that the information provided herein is true and sufficiently complete so as not to be misleading.

Subscribed and sworn before me this 22 day of September 2014

Notary Public: [signature]

My Commission Expires: 3/12/16

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**User Notes:** (3633154100)

Solicitation #: 7548924
Solicitation Title: Replace Interior Steam Line Maximum Security Recreation Yard

# BID FORM

To: The State of Rhode Island Department of Administration
Division of Purchases, 2nd Floor
One Capitol Hill, Providence, RI 02908-5855

Bidder: DELTA MECHANICAL CONTRACTORS LLC
Legal name of entity
44 WILCLAR ST WARWICK, RI 02886
Address (street/city/state/zip)
BRUCE BOOKBINDER B.BOOKBINDER@DELTAMECHLLC.COM
Contact name Contact email
401-737-3500 401-739-7376
Contact telephone Contact fax

## 1. BASE BID PRICE

The Bidder submits this bid proposal to perform all of the work (including labor and materials) described in the solicitation for this Base Bid Price (*including the costs for all Allowances, Bonds, and Addenda)*:

$ 654,000
(base bid price *in figures* printed electronically, typed, or handwritten legibly in ink)

SIX HUNDRED FIFTY FOOR THOUSAND DOLLARS
(base bid price *in words* printed electronically, typed, or handwritten legibly in ink)

- **Allowances**

The Base Bid Price ***includes*** the costs for the following Allowances:

No. 1: _____ $_____

No. 2: _____ $_____

No. 3: _____ $_____

Total Allowances: $ Ø

Solicitation #: 7548924
Solicitation Title: Replace Interior Steam Line Maximum Security Recreation Yard

- **Bonds**

  The Base Bid Price ***includes*** the costs for all Bid and Payment and Performance Bonds required by the solicitation.

- **Addenda**

  The Bidder has examined the entire solicitation (including the following Addenda), and the Base Bid Price ***includes*** the costs of any modifications required by the Addenda.

  *All Addenda must be acknowledged.*

  Addendum No. 1 dated: August 27th 2014

  Addendum No. 2 dated: August 28th 2014

  Addendum No. 3 dated: September 15th 2014

  Addendum No. 4 dated: _____

  Addendum No. 5 dated: _____

  Addendum No. 6 dated: _____

2. **ALTERNATES** (*Additions/Subtractions* to Base Bid Price)

The Bidder offers to: (i) perform the work described in these Alternates as selected by the State in the order of priority specified below, based on the availability of funds and the best interest of the State; and (ii) increase or reduce the Base Bid Price by the amount set forth below for each Alternate selected.

*Check "Add" or "Subtract."*

Solicitation #: 7548924
Solicitation Title: Replace Interior Steam Line Maximum Security Recreation Yard

✓ Add ____ Subtract Alternate No. 1: Steam valve pit and tie-in valves outside of the Maximum Security Wall

$ 30,000
(amount *in figures* printed electronically, typed, or handwritten legibly in ink)

THIRTY THOUSAND DOLLARS
(amount *in words* printed electronically, typed, or handwritten legibly in ink)

## 3. UNIT PRICES

The Bidder submits these predetermined Unit Prices as the basis for any change orders approved in advance by the State. These Unit Prices include ***all*** costs, including labor, materials, services, regulatory compliance, overhead, and profit.

Unit Price No. 1: _____ $_____

Unit Price No. 2: _____ $_____

Unit Price No. 3: _____ $_____

## 4. CONTRACT TIME

The Bidder offers to perform the work in accordance with the timeline specified below:

- Start of construction: Nov 1, 2014
- Substantial completion: MAY 15, 2015
- Final completion: MAY 31, 2015

Solicitation #: 7548924
Solicitation Title: Replace Interior Steam Line Maximum Security Recreation Yard

## 5. LIQUIDATED DAMAGES

The successful bidder awarded a contract pursuant to this solicitation shall be liable for and pay the State, as liquidated damages and not as a penalty, the following amount for each calendar day of delay beyond the date for substantial completion, as determined in the sole discretion of the State: $_500.00_. Completion date is May 31st 2015.

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**This bid proposal is irrevocable for 60 days from the bid proposal submission deadline.**

**If the Bidder is determined to be the successful bidder pursuant to this solicitation, the Bidder will promptly: (i) comply with each of the requirements of the Tentative Letter of Award; and (ii) commence and diligently pursue the work upon issuance and receipt of the purchase order from the State and authorization from the user agency.**

**The person signing below certifies that he or she has been duly authorized to execute and submit this bid proposal on behalf of the Bidder.**

**BIDDER**

**Date:** 09/22/14

DELTA MECHANICAL CONTRACTORS LLC
Name of Bidder

Signature in ink

BRUCE BOOKBINDER - PRESIDENT
Printed name and title of person signing on behalf of Bidder

# 34713
Bidder's Contractor Registration Number

# BID BOND

**Any singular reference to Contractor, Surety, Owner or other party shall be considered plural where applicable.**

CONTRACTOR:
*(Name, legal status and address)*
DELTA MECHANICAL CONTRACTORS, LLC
44 WILCLAR STREET
WARWICK, RI 02886

SURETY:
*(Name, legal status and principal place of business):*
BERKLEY INSURANCE COMPANY
475 STEAMBOAT ROAD
GREENWICH, CT 06830

OWNER:
*(Name, legal status and address)*
STATE OF RHODE ISLAND DEPARTMENT OF CORRECTIONS - ACI
40 HOWARD AVENUE
CRANSTON, RI 02920

BOND AMOUNT: $ FIVE PERCENT (5%) OF THE ATTACHED BID DOLLARS

PROJECT: RFP# 7548924
*(Name, location or address, and Project number, if any)*
REPLACE INTERIOR STEAM LINE IN MAXIMUM SECURITY RECREATION YARD
STATE OF RHODE ISLAND DEPARTMENT OF CORRECTIONS - ACI
40 HOWARD AVENUE, CRANSTON, RI 02920

The Contractor and Surety are bound to the Owner in the amount set forth above, for the payment of which the Contractor and Surety bind themselves, their heirs, executors, administrators, successors and assigns, jointly and severally, as provided herein. The conditions of this Bond are such that if the Owner accepts the bid of the Contractor within the time specified in the bid documents, or within such time period as may be agreed to by the Owner and Contractor, and the Contractor either (1) enters into a contract with the Owner in accordance with the terms of such bid, and gives such bond or bonds as may be specified in the bidding or Contract Documents, with a Surety admitted in the jurisdiction of the Project and otherwise acceptable to the Owner, for the faithful performance of such Contract and for the prompt payment of labor and material furnished in the prosecution thereof; or (2) pays to the Owner the difference, not to exceed the amount of this Bond, between the amount specified in said bid and such larger amount for which the Owner may in good faith contract with another party to perform the work covered by said bid, then this obligation shall be null and void, otherwise to remain in full force and effect. The Surety hereby waives any notice of an agreement between the Owner and Contractor to extend the time in which the Owner may accept the bid. Waiver of notice by the Surety shall not apply to any extension exceeding sixty (60) days in the aggregate beyond the time for acceptance of bids specified in the bid documents, and the Owner and Contractor shall obtain the Surety's consent for an extension beyond sixty (60) days.

If this Bond is issued in connection with a subcontractor's bid to a Contractor, the term Contractor in this Bond shall be deemed to be Subcontractor and the term Owner shall be deemed to be Contractor.

When this Bond has been furnished to comply with a statutory or other legal requirement in the location of the Project, any provision in this Bond conflicting with said statutory or legal requirement shall be deemed deleted herefrom and provisions conforming to such statutory or other legal requirements shall be deemed incorporated herein. When so furnished, the intent is that this Bond shall be construed as a statutory bond and not as a common law bond.

Signed and sealed this 12th day of September , 2014 .

DELTA MECHANICAL CONTRACTORS, LLC

(Witness)

(Principal) (Seal)

BRUCE BOOKBINDER, PRESIDENT
(Title)

(Witness) SHANNON L. CROWLEY

BERKLEY INSURANCE COMPANY
(Surety) (Seal)

(Attorney-in-Fact) BRIAN M. ROSSI

**The Company executing this bond vouches that this document conforms to American Institute of Architects Document A310 - 2010 Edition**

No. BI- 7966

POWER OF ATTORNEY
**BERKLEY INSURANCE COMPANY**
WILMINGTON, DELAWARE

NOTICE: The warning found elsewhere in this Power of Attorney affects the validity thereof. Please review carefully.

KNOW ALL MEN BY THESE PRESENTS, that BERKLEY INSURANCE COMPANY (the "Company"), a corporation duly organized and existing under the laws of the State of Delaware, having its principal office in Greenwich, CT, has made, constituted and appointed, and does by these presents make, constitute and appoint: ***Brian M. Rossi, Robert G. Padula, Christopher A. Iannotti, Richard A. Padula, Joseph J. Padula, Christopher A. Plympton, Judith A. Briggs or Elisa Cardone of Gencorp Insurance Group, Inc. of E. Greenwich, RI*** its true and lawful Attorney-in-Fact, to sign its name as surety only as delineated below and to execute, seal, acknowledge and deliver any and all bonds and undertakings, with the exception of Financial Guaranty Insurance, providing that no single obligation shall exceed ***Fifty Million and 00/100 U.S. Dollars (U.S.$50,000,000.00)***, to the same extent as if such bonds had been duly executed and acknowledged by the regularly elected officers of the Company at its principal office in their own proper persons.

This Power of Attorney shall be construed and enforced in accordance with, and governed by, the laws of the State of Delaware, without giving effect to the principles of conflicts of laws thereof. This Power of Attorney is granted pursuant to the following resolutions which were duly and validly adopted at a meeting of the Board of Directors of the Company held on January 25, 2010:

**RESOLVED**, that, with respect to the Surety business written by Berkley Surety Group, the Chairman of the Board, Chief Executive Officer, President or any Vice President of the Company, in conjunction with the Secretary or any Assistant Secretary are hereby authorized to execute powers of attorney authorizing and qualifying the attorney-in-fact named therein to execute bonds, undertakings, recognizances, or other suretyship obligations on behalf of the Company, and to affix the corporate seal of the Company to powers of attorney executed pursuant hereto; and said officers may remove any such attorney-in-fact and revoke any power of attorney previously granted; and further

**RESOLVED**, that such power of attorney limits the acts of those named therein to the bonds, undertakings, recognizances, or other suretyship obligations specifically named therein, and they have no authority to bind the Company except in the manner and to the extent therein stated; and further

**RESOLVED**, that such power of attorney revokes all previous powers issued on behalf of the attorney-in-fact named; and further

**RESOLVED**, that the signature of any authorized officer and the seal of the Company may be affixed by facsimile to any power of attorney or certification thereof authorizing the execution and delivery of any bond, undertaking, recognizance, or other suretyship obligation of the Company; and such signature and seal when so used shall have the same force and effect as though manually affixed. The Company may continue to use for the purposes herein stated the facsimile signature of any person or persons who shall have been such officer or officers of the Company, notwithstanding the fact that they may have ceased to be such at the time when such instruments shall be issued.

IN WITNESS WHEREOF, the Company has caused these presents to be signed and attested by its appropriate officers and its corporate seal hereunto affixed this 10 day of May, 2013.

Attest:

(Seal)

By _____
Ira S. Lederman
Senior Vice President & Secretary

Berkley Insurance Company

By _____
Jeffrey M. Hafter
Senior Vice President

**WARNING: THIS POWER INVALID IF NOT PRINTED ON BLUE "BERKLEY" SECURITY PAPER.**

STATE OF CONNECTICUT )
) ss:
COUNTY OF FAIRFIELD )

Sworn to before me, a Notary Public in the State of Connecticut, this 10 day of May, 2013, by Ira S. Lederman and Jeffrey M. Hafter who are sworn to me to be the Senior Vice President and Secretary, and the Senior Vice President, respectively, of Berkley Insurance Company.

_____
Notary Public, State of Connecticut

KATHLEEN COREY
NOTARY PUBLIC
CONNECTICUT
MY COMMISSION EXPIRES OCTOBER 31, 2017

**CERTIFICATE**

I, the undersigned, Assistant Secretary of BERKLEY INSURANCE COMPANY, DO HEREBY CERTIFY that the foregoing is a true, correct and complete copy of the original Power of Attorney; that said Power of Attorney has not been revoked or rescinded and that the authority of the Attorney-in-Fact set forth therein, who executed the bond or undertaking to which this Power of Attorney is attached, is in full force and effect as of this date.

Given under my hand and seal of the Company, this 12th day of SEPTEMBER, 2014.

(Seal)

_____
Andrew M. Tuma

WARNING – Any unauthorized reproduction or alteration of this document is prohibited. This power of attorney is void unless seals are readable and the certification seal at the bottom is embossed. The background imprint, warning and confirmation (on reverse) must be in blue ink.

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS

Department of Labor and Training
Center General Complex
1511 Pontiac Avenue
Cranston, RI 02920-4407

TTY: Via RI Relay 711

Lincoln D. Chafee
Governor
Charles J. Fogarty
Director

# STATE CONTRACT ADDENDUM

## RHODE ISLAND DEPARTMENT OF LABOR AND TRAINING

## PREVAILING WAGE REQUIREMENTS (37-13-1 ET SEQ.)

The prevailing wage requirements are generally set forth in RIGL 37-13-1 et seq. These requirements refer to the prevailing rate of pay for regular, holiday, and overtime wages to be paid to each craftsmen, mechanic, teamster, laborer, or other type of worker performing work on public works projects when state or municipal funds exceed one thousand dollars ($1,000).

All Prevailing Wage Contractors and Subcontractors are required to:

1. Submit to the Awarding Authority a list of the contractor's subcontractors for any part or all of the prevailing wage work in accordance with RIGL § 37-13-4;

2. Pay all prevailing wage employees at least once per week and in accordance with RIGL §37-13-7 (see Appendix B attached);

3. Post the prevailing wage rate scale and the Department of Labor and Training's prevailing wage poster in a prominent and easily accessible place on the work site in accordance with RIGL §37-13-11; posters may be downloaded at www.dlt.ri.gov/pw/Posters.htm .poster/htm or obtained from the Department of Labor and Training, Center General Complex, 1511 Pontiac Avenue, Cranston, Rhode Island;

4. Access the Department of Labor and Training website, at www.dlt.ri.gov on or before July 1st of each year, until such time as the contract is completed, to ascertain the current prevailing wage rates and the amount of payment or contributions for each covered prevailing wage employee and make any necessary adjustments to the covered employee's prevailing wage rates effective July 1st of each year in compliance with RIGL §37-13-8;

5. Attach a copy of this CONTRACT ADDENDUM and its attachments as a binding obligation to any and all contracts between the contractor and any

Department of Labor and Training
Center General Complex
1511 Pontiac Avenue
Cranston, RI 02920-4407

Telephone; (401) 462-8000
TTY; Via RI Relay 711

Lincoln D. Chafee
Governor
Charles J. Fogarty
Director

subcontractors and their assignees for prevailing wage work performed pursuant to this contract;

6. Provide for the payment of overtime for prevailing wage employees who work in excess of eight (8) hours in any one day or forty (40) hours in any one week as provided by RIGL §37-13-10;

7. Maintain accurate prevailing wage employee payroll records on a Rhode Island Certified Weekly Payroll form available for download at www.dlt.ri.gov/pw.forms/htm, as required by RIGL §37-13-13, and make those records available to the Department of Labor and Training upon request;

8. Furnish the fully executed RI Certified Weekly Payroll Form to the awarding authority on a monthly basis for all work completed in the preceding month.

9. For general or primary contracts one million dollars ($1,000,000) or more, shall maintain on the work site a fully executed RI Certified Prevailing Wage Daily Log listing the contractor's employees employed each day on the public works site; the RI Certified Prevailing Wage Daily Log shall be available for inspection on the public works site at all times; this rule shall not apply to road, highway, or bridge public works projects. Where applicable, furnish both the Rhode Island Certified Prevailing Wage Daily Log together with the Rhode Island Weekly Certified Payroll to the awarding authority.

10. Assure that all covered prevailing wage employees on construction projects with a total project cost of one hundred thousand dollars ($100,000) or more has a OSHA ten (10) hour construction safety certification in compliance with RIGL § 37-23-1;

11. Employ apprentices for the performance of the awarded contract when the contract is valued at one million dollars ($1,000,000) or more, and comply with the apprentice to journeyperson ratio for each trade approved by the apprenticeship council of the Department of Labor and Training in compliance with RIGL §37-13-3.1;

12. Assure that all prevailing wage employees who perform work which requires a Rhode Island trade license possess the appropriate Rhode Island trade license in compliance with Rhode Island law; and

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS

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Lincoln D. Chafee
Governor
Charles J. Fogarty
Director

13. Comply with all applicable provisions of RIGL §37-13-1, et. seq;

Any questions or concerns regarding this CONTRACT ADDENDUM should be addressed to the contractor or subcontractor's attorney. Additional Prevailing Wage information may be obtained from the Department of Labor and Training at www.dlt.ri.gov/pw.

## CERTIFICATION

I hereby certify that I have reviewed this CONTRACT ADDENDUM and understand my obligations as stated above.

By: _____

Title: President

Subscribed and sworn before me this 22 day of Sept, 2014

_____
Notary Public
My commission expires: 3/10/16

*An Equal Opportunity Employer/Program, /Auxiliary aids and services are available upon request to individuals with disabilities.*
*TTY via RI Relay 711*

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS

Department of Labor and Training
Center General Complex
1511 Pontiac Avenue
Cranston, RI 02920-4407

Telephone: (401) 462-8000

APPENDIX A

# TITLE 37
# Public Property and Works

## CHAPTER 37-13
## Labor and Payment of Debts by Contractors

### SECTION 37-13-5

**§37-13-5 Payment for trucking or materials furnished - Withholding of sums due.** -A contractor or subcontractor on public works authorized by a proper authority shall pay any obligation or charge for trucking and material which have been furnished for the use of the contractor or subcontractor, in connection with the public works being performed by him or her, within ninety (90) days after the obligation or charge is incurred or the trucking service has been performed or the material has been delivered to the site of the work, whichever is later. When it is brought to the notice of the proper authority in a city or town, or the proper authority in the state having supervision of the contract, that the obligation or charge has not been paid by the contractor or subcontractor, the proper authority may deduct and hold for a period not exceeding sixty (60) days, from sums of money due to the contractor or subcontractor, the equivalent amount of such sums certified by a trucker or materialman creditor as due him or her, as provided in this section, and which the proper authority determines is reasonable for trucking performed or materials furnished for the public works.

APPRENDIX B

# TITLE 37
# Public Property and Works

## CHAPTER 37-13
## Labor and Payment of Debts by Contractors

### SECTION 37-13-7

**§ 37-13-7 Specification in contract of amount and frequency of payment of wages.** -(a) Every call for bids for every contract in excess of one thousand dollars ($1,000), to which the state of Rhode Island or any political subdivision thereof or any public agency or quasi-public agency is a party, for construction, alteration, and/or repair, including painting and decorating, of public buildings or public works of the state of Rhode Island or any political subdivision thereof, or any public agency or quasi-public agency and which requires or involves the employment of employees, shall contain a provision stating the minimum wages to be paid various types of employees which shall be based upon the wages that will be determined by the director of labor and training to be prevailing for the corresponding types of employees employed on projects of a character similar to the contract work in the city, town, village, or other appropriate political subdivision of the state of Rhode Island in which the work is to be performed. Every contract shall contain a stipulation that the contractor or his or her subcontractor shall pay all the employees employed directly upon the site of the work, unconditionally and not less often than once a week, and without subsequent deduction or rebate on any account, the full amounts accrued at time of payment computed at wage rates not less than those stated in the call for bids, regardless of any contractual relationships which may be alleged to exist between the contractor or subcontractor and the employees, and that the scale of wages to be paid shall be posted by the contractor in a prominent and easily accessible place at the site of the work; and the further stipulation that there may be withheld from the contractor so much of the accrued payments as may be considered necessary to pay to the employees employed by the contractor, or any subcontractor on the work, the difference between the rates of wages required by the contract to be paid the employees on the work and the rates of wages received by the employees and not refunded to the contractor, subcontractors, or their agents.

(b) The terms "wages", "scale of wages", "wage rates", "minimum wages", and "prevailing wages" shall include:

(1) The basic hourly rate of pay; and

(2) ) The amount of:

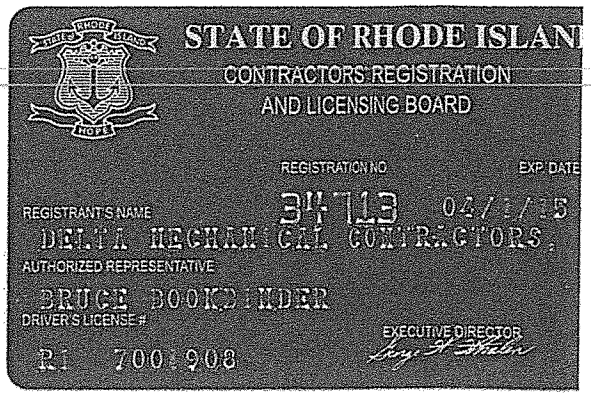
(A) The rate of contribution made by a contractor or subcontractor to a trustee or to a third person pursuant to a fund, plan, or program; and

(B) The rate of costs to the contractor or subcontractor which may be reasonably anticipated in providing benefits to employees pursuant to an enforceable commitment to carry out a financially responsible plan or program which was communicated in writing to the employees affected, for medical or hospital care, pensions on retirement or death, compensation for injuries or illness resulting from occupational activity, or insurance to provide any of the foregoing, for unemployment benefits, life insurance, disability and sickness insurance, or accident insurance, for vacation and holiday pay, for defraying costs of apprenticeship or other similar programs, or for other bona fide fringe benefits, but only where the contractor or subcontractor is not required by other federal, state, or local law to provide any of the benefits; provided, that the obligation of a contractor or subcontractor to make payment in accordance with the prevailing wage determinations of the director of labor and training insofar as this chapter of this title and other acts incorporating this chapter of this title by reference are concerned may be discharged by the making of payments in cash, by the making of contributions of a type referred to in subsection (b)(2), or by the assumption of an enforceable commitment to bear the costs of a plan or program of a type referred to in this subdivision, or any combination thereof, where the aggregate of any payments, contributions, and costs is not less than the rate of pay described in subsection (b)(1) plus the amount referred to in subsection (b)(2).

(c) The term "employees", as used in this section, shall include employees of contractors or subcontractors performing jobs on various types of public works including mechanics, apprentices, teamsters, chauffeurs, and laborers engaged in the transportation of gravel or fill to the site of public works, the removal and/or delivery of gravel or fill or ready-mix concrete, sand, bituminous stone, or asphalt flowable fill from the site of public works, or the transportation or removal of gravel or fill from one location to another on the site of public works, and the employment of the employees shall be subject to the provisions of subsections (a) and (b).

(d) The terms "public agency" and "quasi-public agency" shall include, but not be limited to, the Rhode Island industrial recreational building authority, the Rhode Island economic development corporation, the Rhode Island airport corporation, the Rhode Island industrial facilities corporation, the Rhode Island refunding bond authority, the Rhode Island housing and mortgage finance corporation, the Rhode Island resource recovery corporation, the Rhode Island public transit authority, the Rhode Island student loan authority, the water resources board corporate, the Rhode Island health and education building corporation, the Rhode Island higher education assistance authority, the Rhode Island turnpike and bridge authority, the Narragansett Bay water quality management district commission, Rhode Island telecommunications authority, the convention center authority, the board of governors for higher education, the board of regents for elementary and secondary education, the capital center commission, the housing resources commission, the Quonset Point-Davisville management corporation, the Rhode Island children's crusade for higher education, the Rhode Island depositors economic protection corporation, the Rhode Island lottery commission, the Rhode Island

partnership for science and technology, the Rhode Island public building authority, and the Rhode Island underground storage tank board.



STATE OF RHODE ISLAND
CONTRACTORS REGISTRATION
AND LICENSING BOARD

REGISTRATION NO. 3H 713 EXP. DATE 04/1/15

REGISTRANT'S NAME
DELTA MECHANICAL CONTRACTORS,

AUTHORIZED REPRESENTATIVE
BRUCE BOOKBINDER

DRIVER'S LICENSE #
RI 700 908

EXECUTIVE DIRECTOR

master sprinkler
00000355

*Administrative Office*
**PLUMBERS & PIPEFITTERS LOCAL UNION #51**
**JOINT APPRENTICESHIP COMMITTEE**
**11 Hemingway Drive, East Providence, RI 02915**
**David Marland, Training Coordinator**
**Ph: (401) 943-7301 Fax: (401) 943-8027**

June 3, 2014

To Whom It May Concern:

UA Plumbers & Pipefitters Local #51 Joint Apprenticeship Training is a registered apprenticeship program with the State of Rhode Island. Our JATC program works on a reciprocity system with the State of Massachusetts and Connecticut. Fringe benefits include, but are not limited to Health and Welfare and Pension benefits. Our State Certificate of Registration Program Number is 325. The Union provides contractors' signatory to Local 51 with registered apprentices when requested.

Also, please be advised that Delta Mechanical is signatory to UA Local 51 Plumbers and Pipefitters and has been certified with apprentices, trained plumbers, pipefitters and/or refrigeration technicians. This company is also a member in good standing and all benefits are paid up to date.

If you have any questions or concerns, please contact my office.

Sincerely,

David E. Marland

David Marland
Training Coordinator

Form **W-9**
(Rev. December 2011)
Department of the Treasury
Internal Revenue Service

# Request for Taxpayer Identification Number and Certification

Give Form to the requester. Do not send to the IRS.

Print or type
See Specific Instructions on page 2.

Name (as shown on your income tax return)
**Delta Mechanical Contractors, LLC**

Business name/disregarded entity name, if different from above

Check appropriate box for federal tax classification:
☐ Individual/sole proprietor ☐ C Corporation ☐ S Corporation ☐ Partnership ☐ Trust/estate

☑ Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=partnership) ▶ S

☐ Other (see instructions) ▶

☐ Exempt payee

Address (number, street, and apt. or suite no.)
**44 Wilclar Street**

Requester's name and address (optional)

City, state, and ZIP code
**Warwick, RI 02886**

List account number(s) here (optional)

## Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on the "Name" line to avoid backup withholding. For individuals, this is your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the Part I instructions on page 3. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN* on page 3.

**Note.** If the account is in more than one name, see the chart on page 4 for guidelines on whose number to enter.

**Social security number**
| | | | - | | - | | | | |
|---|---|---|---|---|---|---|---|---|---|
| | | | | | | | | | |

**Employer identification number**
| 0 | 5 | - | 0 | 5 | 0 | 7 | 0 | 6 | 7 |
|---|---|---|---|---|---|---|---|---|---|

## Part II Certification

Under penalties of perjury, I certify that:

1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me), and
2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding, and
3. I am a U.S. citizen or other U.S. person (defined below).

**Certification instructions.** You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions on page 4.

**Sign Here** Signature of U.S. person ▶ [signature] Date ▶ 11/13/13

## General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

## Purpose of Form

A person who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) to report, for example, income paid to you, real estate transactions, mortgage interest you paid, acquisition or abandonment of secured property, cancellation of debt, or contributions you made to an IRA.

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN to the person requesting it (the requester) and, when applicable, to:

1. Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
2. Certify that you are not subject to backup withholding, or
3. Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income.

**Note.** If a requester gives you a form other than Form W-9 to request your TIN, you must use the requester's form if it is substantially similar to this Form W-9.

**Definition of a U.S. person.** For federal tax purposes, you are considered a U.S. person if you are:

- An individual who is a U.S. citizen or U.S. resident alien,
- A partnership, corporation, company, or association created or organized in the United States or under the laws of the United States,
- An estate (other than a foreign estate), or
- A domestic trust (as defined in Regulations section 301.7701-7).

**Special rules for partnerships.** Partnerships that conduct a trade or business in the United States are generally required to pay a withholding tax on any foreign partners' share of income from such business. Further, in certain cases where a Form W-9 has not been received, a partnership is required to presume that a partner is a foreign person, and pay the withholding tax. Therefore, if you are a U.S. person that is a partner in a partnership conducting a trade or business in the United States, provide Form W-9 to the partnership to establish your U.S. status and avoid withholding on your share of partnership income.

| DELTA MECHANICAL CONTRACTORS, LLC | | | |
|---|---|---|---|
| SUMMARY OF SIGNIFICANT CONTRACTS | | | |
| | | CONTRACT VALUE | % COMPLETE |
| PROJECT : | CCRI-LINCOLN | $5,153,963 | 85% |
| OWNER : | STATE OF RHODE ISLAND | | |
| CONTRACTOR: | DELTA MECHANICAL CONTRACTORS LLC | | |
| CONTACT : | MICHAEL SPOONER 401 737 3500 | | |
| ARCHITECT : | RAYMOND L. HORRIDGE & ASSOCIATES, INC | | |
| COMPLETION : | AUGUST 2012 | | |
| % WITH OWN FORCES | 50% | | |
| PROJECT : | URI COLLEGE OF PHARMACY | $13,665,574 | 90% |
| OWNER : | STATE OF RHODE ISLAND | | |
| CONTRACTOR: | SUFFOLK CONSTRUCTION COMPANY | | |
| CONTACT : | JOHN KHAYALI 617 445 3500 | | |
| ARCHITECT : | PAYETTE ASSOCIATES | | |
| COMPLETION : | JUNE 2012 | | |
| % WITH OWN FORCES | 75% | | |
| PROJECT : | VA MEDICAL FCA | $1,865,822 | 75% |
| OWNER : | DEPARTMENT OF VETERANS AFFAIRS | | |
| CONTRACTOR: | KWV, INC. | | |
| CONTACT : | THOMAS MARON 401 714 0209 | | |
| ARCHITECT : | SMRT | | |
| COMPLETION : | JUNE 2012 | | |
| % WITH OWN FORCES | 65% | | |
| PROJECT : | P451 OTC QUARTERS | $5,592,624 | 50% |
| OWNER : | US DEPARTMENT OF NAVY | | |
| CONTRACTOR: | ABSHER CONSTRUCTION COMPANY | | |
| CONTACT : | MICHAEL STEINTHAL 253 845 9544 | | |
| ARCHITECT : | DESIGN BUILD PROJECT | | |
| COMPLETION : | DECEMBER 2012 | | |
| % WITH OWN FORCES | 75% | | |
| PROJECT : | URI HILLSIDE RESIDENCE | $3,002,546 | 65% |
| OWNER : | RI BOARD OF GOVERNORS FOR HIGHER EDUCATION | | |
| CONTRACTOR: | KBE BUILDING CORPORATION | | |
| CONTACT : | CRAIG ORTOLA 860 284 7411 | | |
| ARCHITECT : | LERNER/LADDS + BARTELS, INC. | | |
| COMPLETION : | NOVEMBER 2012 | | |
| % WITH OWN FORCES | 65% | | |
| PROJECT : | RIC RECREATION CENTER | $1,852,512 | 80% |
| OWNER : | RI BOARD OF GOVERNORS FOR HIGHER EDUCATION | | |
| CONTRACTOR: | KBE BUILDING CORPORATION | | |
| CONTACT : | TONY MANCINI 860 284 7411 | | |
| ARCHITECT : | DESIGN PARTNERSHIP OF CAMBRIDGE | | |
| COMPLETION : | JULY 2012 | | |
| % WITH OWN FORCES | 75% | | |

| DELTA MECHANICAL CONTRACTORS, LLC | | | |
|---|---|---|---|
| SUMMARY OF SIGNIFICANT CONTRACTS | | | |
| | | CONTRACT VALUE | % COMPLETE |
| PROJECT : | CCRI KNIGHT CAMPUS | $3,263,000 | 85% |
| OWNER : | STATE OF RHODE ISLAND | | |
| CONTRACTOR: | DELTA MECHANICAL CONTRACTORS (GC) | | |
| CONTACT : | FREDERICK PUCILLO | | |
| ARCHITECT : | HUGHES ASSOCIATES, INC. | | |
| COMPLETION : | JUNE 2012 | | |
| % WITH OWN FORCES | 90% | | |
| PROJECT : | CCRI FLANAGAN CAMPUS | $1,375,135 | 90% |
| OWNER : | STATE OF RHODE ISLAND | | |
| CONTRACTOR: | DELTA MECHANICAL CONTRACTORS (GC) | | |
| CONTACT : | FREDERICK PUCILLO | | |
| ARCHITECT : | HUGHES ASSOCIATES, INC. | | |
| COMPLETION : | JUNE 2012 | | |
| % WITH OWN FORCES | 80% | | |
| PROJECT : | ELECTROMAGNETIC SENSOR FACILITY | $3,480,000 | 5% |
| OWNER : | NAVFAC MID ATLANTIC | | |
| CONTRACTOR: | SUFFOLK CONSTRUCTION COMPANY | | |
| CONTACT : | KEVIN KOEHLER | | |
| ARCHITECT : | | | |
| COMPLETION : | JUNE 2013 | | |
| % WITH OWN FORCES | 70% | | |
| PROJECT : | CITIZENS BANK JB EAST | $1,084,700 | 75% |
| OWNER : | CITIZENS BANK | | |
| CONTRACTOR: | GILBANE BUILDING COMPANY | | |
| CONTACT : | PAUL TIERNEY | | |
| ARCHITECT : | ROGER FERRIS & PARTNERS | | |
| COMPLETION : | JUNE 2012 | | |
| % WITH OWN FORCES | 75% | | |
| PROJECT : | URI CBLS 4TH FLOOR | $1,282,100 | 40% |
| OWNER : | RI BOARD OF GOVERNORS FOR HIGHER EDUCATION | | |
| CONTRACTOR: | GILBANE BUILDING COMPANY | | |
| CONTACT : | ALISON SVENNINGSEN | | |
| ARCHITECT : | PAYETTE ASSOCIATES | | |
| COMPLETION : | OCTOBER 2012 | | |
| % WITH OWN FORCES | 85% | | |

## DELTA MECHANICAL CONTRACTORS, LLC
## SUMMARY OF SIGNIFICANT CONTRACTS

| | | CONTRACT VALUE | % COMPLETE |
|---|---|---|---|
| PROJECT : | FCA SPD & HVAC | $1,050,000 | 0% |
| OWNER : | VA MEDICAL CENTER | | |
| CONTRACTOR: | WILLIAMS BUILDING COMPANY | | |
| CONTACT : | CARL ALTAMURA | | |
| ARCHITECT : | SMRT | | |
| COMPLETION : | MAY 2013 | | |
| % WITH OWN FORCES | 85% | | |
| PROJECT : | PHA - FOGARTY AND KENNEDY | $1,534,000 | 5% |
| OWNER : | PAWTUCKET HOUSING AUTHORITY | | |
| CONTRACTOR: | IRON CONSTRUCTION GROUP | | |
| CONTACT : | STEVEN DEPASQUALE | | |
| ARCHITECT : | LPL DESIGN CONSULTANTS INC | | |
| COMPLETION : | NOVEMBER 2012 | | |
| % WITH OWN FORCES | 95% | | |
| PROJECT : | CLAIBORNE BELL ELEMENTARY SCHOOL | $3,450,000 | 0% |
| OWNER : | NEWPORT SCHOOL COMMITTEE | | |
| CONTRACTOR: | BACON CONSTRUCTION COMPANY | | |
| CONTACT : | JOSEPH MCDONALD | | |
| ARCHITECT : | GARCIA GALUSKA DESOUSA | | |
| COMPLETION : | JULY 2013 | | |
| % WITH OWN FORCES | 70% | | |

| DELTA MECHANICAL CONTRACTORS, LLC | | | | |
|---|---|---|---|---|
| SUMMARY OF SIGNIFICANT CONTRACTS | | | | |
| | | | | |
| | | CONTRACT VALUE | | % COMPLETE |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| PROJECT : | CENTRAL HIGH SCHOOL | $7,855,000 | | 100% |
| OWNER : | PROVIDENCE PUBLIC BUILDING AUTHORITY | | | |
| CONTRACTOR: | GILBANE BUILDING COMPANY | | | |
| CONTACT : | ANGELO PETROCELLI 401 456 5800 | | | |
| ARCHITECT : | MOUNT VERNON GROUP | | | |
| COMPLETION : | AUGUST 2007 | | | |
| % WITH OWN | | | | |
| FORCES | 80% | | | |
| | | | | |
| PROJECT : | RI TRAFFIC TRIBUNAL | $3,390,000 | | 100% |
| OWNER : | STATE OF RI | | | |
| CONTRACTOR: | O AHLBORG & SONS INC | | | |
| CONTACT : | LUANN SCOTT 401 467 6300 | | | |
| ARCHITECT : | EDWARD ROWSE ARCHITECTS | | | |
| COMPLETION : | MARCH 2007 | | | |
| % WITH OWN | | | | |
| FORCES | 60% | | | |
| | | | | |
| PROJECT : | BROOKS / ECKERD DRUG | $5,144,000 | | 100% |
| OWNER : | BROOKS / ECKERD DRUG | | | |
| CONTRACTOR: | DF PRAY AND SONS | | | |
| CONTACT : | VINCENT VILLELLA 508 336 3366 | | | |
| ARCHITECT : | AHARONIAN & ASSOCIATES, INC. | | | |
| COMPLETION : | MAY 2007 | | | |
| % WITH OWN | | | | |
| FORCES | 80% | | | |
| | | | | |
| PROJECT : | WYATT DETENTION CENTER | $8,493,000 | | 100% |
| OWNER : | CENTRAL FALLS FACILITY CORPORATION | | | |
| CONTRACTOR: | O AHLBORG & SONS INC | | | |
| CONTACT : | FERNANDO TAVARES 401 467 6300 | | | |
| ARCHITECT : | EDWARD ROWSE ARCHITECTS | | | |
| COMPLETION : | JULY 2007 | | | |
| % WITH OWN | | | | |
| FORCES | 75% | | | |
| | | | | |
| PROJECT : | URI STUDENT HOUSING (PLUMBING & HEATING) | $12,038,000 | | 100% |
| OWNER : | STATE OF RI | | | |
| CONTRACTOR: | GILBANE BUILDING COMPANY | | | |
| CONTACT : | CHRIS BROWNING 401 456 5800 | | | |
| ARCHITECT : | S/L/A/M | | | |
| COMPLETION : | APRIL 2007 | | | |
| % WITH OWN | | | | |
| FORCES | 75% | | | |
| | | | | |
| PROJECT : | TF GREEN AIRPORT TERMINAL IMPROVEMENTS | $6,665,000 | | 100% |
| OWNER : | RI AIRPORT CORPORATION | | | |
| CONTRACTOR: | SKANSKA USA | | | |
| CONTACT : | CAMERON SMITH 401 461 8265 | | | |
| ARCHITECT : | RDK ENGINEERS | | | |
| COMPLETION : | SEPTEMBER 2007 | | | |
| % WITH OWN | | | | |
| FORCES | 75% | | | |
| | | | | |
| PROJECT : | RI JUVENILE TRAINING CENTER | $9,849,000 | | 100% |
| OWNER : | STATE OF RI | | | |
| CONTRACTOR: | GILBANE BUILDING COMPANY | | | |

| DELTA MECHANICAL CONTRACTORS, LLC | | | | |
|---|---|---|---|---|
| SUMMARY OF SIGNIFICANT CONTRACTS | | | | |
| | | | | |
| | | CONTRACT | | % |
| | | VALUE | | COMPLETE |
| | | | | |
| CONTACT : | ROBERT VIERRA 401 456 5800 | | | |
| ARCHITECT : | RICCI GREENE ASSOCIATES | | | |
| COMPLETION : | DECEMBER 2007 | | | |
| % WITH OWN | | | | |
| FORCES | 75% | | | |
| | | | | |
| PROJECT : | PONAGANSETT MIDDLE SCHOOL | $3,943,000 | | 100% |
| OWNER : | FOSTER/GLOCESTER REGIONAL BUILDING | | | |
| CONTRACTOR: | HV COLLINS | | | |
| CONTACT : | EDWARD HADFIELD 401 421 4080 | | | |
| ARCHITECT : | AHARONIAN & ASSOCIATES | | | |
| COMPLETION : | AUGUST 2007 | | | |
| % WITH OWN | | | | |
| FORCES | 75% | | | |
| | | | | |
| PROJECT : | TOWER AT CARNEGIE ABBEY | $13,467,000 | | 100% |
| OWNER : | CARNEGIE ABBEY DEVELOPMENT | | | |
| CONTRACTOR: | BOVIS LEND LEASE | | | |
| CONTACT : | THOMAS PERRY 617 598 4300 | | | |
| ARCHITECT : | BURT HILL RITTLEMAN KOSAR ASSOCIATES | | | |
| COMPLETION : | APRIL 2009 | | | |
| % WITH OWN | | | | |
| FORCES | 85% | | | |
| | | | | |
| PROJECT : | NORTH SMITHFIELD MIDDLE SCHOOL | $3,962,000 | | 100% |
| OWNER : | TOWN OF NORTH SMITHFIELD | | | |
| CONTRACTOR: | GILBANE BUILDING COMPANY | | | |
| CONTACT : | MATTEO ALIBRIO 401 456 5800 | | | |
| ARCHITECT : | ROBINSON GREEN BERETTA, INC. | | | |
| COMPLETION : | SEPTEMBER 2008 | | | |
| % WITH OWN | | | | |
| FORCES | 80% | | | |
| | | | | |
| PROJECT : | URI BIO AND LIFESCIENCE CENTER | $7,953,000 | | 100% |
| OWNER : | STATE OF RHODE ISLAND | | | |
| CONTRACTOR: | GILBANE BUILDING COMPANY | | | |
| CONTACT : | LYLE REED 401 456 5800 | | | |
| ARCHITECT : | PAYETTE ASSOCIATES | | | |
| COMPLETION : | OCTOBER 2008 | | | |
| % WITH OWN | | | | |
| FORCES | 75% | | | |
| | | | | |
| PROJECT : | FIDELITY INVESTMENTS | $3,564,000 | | 100% |
| OWNER : | FIDELITY INVESTMENTS | | | |
| CONTRACTOR: | GILBANE BUILDING COMPANY | | | |
| CONTACT : | HARM BROUWER 401 456 5800 | | | |
| ARCHITECT : | RDK ENGINEERS | | | |
| COMPLETION : | DECEMBER 2008 | | | |
| % WITH OWN | | | | |
| FORCES | 75% | | | |
| | | | | |

## DELTA MECHANICAL CONTRACTORS, LLC
## SUMMARY OF SIGNIFICANT CONTRACTS

| | | CONTRACT VALUE | | % COMPLETE |
|---|---|---|---|---|
| **PROJECT :** | HANLEY CTE | $2,339,000 | | 100% |
| **OWNER :** | CITY OF PROVIDENCE | | | |
| **CONTRACTOR:** | GILBANE BUILDING COMPANY | | | |
| **CONTACT :** | ANTHONY MURGIDA 401 228 7429 | | | |
| **ARCHITECT :** | STUDIO JAED | | | |
| **COMPLETION :** | OCTOBER 2008 | | | |
| **% WITH OWN** | | | | |
| **FORCES** | 80% | | | |
| | | | | |
| **PROJECT :** | NAVY SUPPLY SCHOOL / BUILDING 1112 | $4,450,000 | | 100% |
| **OWNER :** | US DEPARTMENT OF NAVY | | | |
| **CONTRACTOR:** | HV COLLINS COMPANY | | | |
| **CONTACT :** | EDWARD HADFIELD 401 421 4018 | | | |
| **ARCHITECT :** | CREATIVE ENVIRONMENT | | | |
| **COMPLETION :** | APRIL 2010 | | | |
| **% WITH OWN** | | | | |
| **FORCES** | 75% | | | |
| | | | | |
| **PROJECT :** | OCEAN HOUSE HOTEL | $6,395,000 | | 100% |
| **OWNER :** | BLUFF AVENUE LLC | | | |
| **CONTRACTOR:** | DIMEO CONSTRUCTION COMPANY | | | |
| **CONTACT :** | MARK BANFIELD 401 781 9800 | | | |
| **ARCHITECT :** | CENTERBROOK ARCHITECTS AND PLANNERS | | | |
| **COMPLETION :** | JUNE 2010 | | | |
| **% WITH OWN** | | | | |
| **FORCES** | 75% | | | |
| | | | | |
| **PROJECT :** | MIDDLETOWN POLICE FACILITY | $1,439,000 | | 100% |
| **OWNER :** | TOWN OF MIDDLETOWN | | | |
| **CONTRACTOR:** | EW BURMAN INC | | | |
| **CONTACT :** | JOHN WRIGHT 401 738 5400 | | | |
| **ARCHITECT :** | THE LAWRENCE ASSOCIATES | | | |
| **COMPLETION :** | JUNE 2009 | | | |
| **% WITH OWN** | | | | |
| **FORCES** | 70% | | | |
| | | | | |
| **PROJECT :** | BLUE CROSS / BLUE SHIELD OF RI | $15,428,000 | | 100% |
| **OWNER :** | BLUE CROSS / BLUE SHIELD OF RI | | | |
| **CONTRACTOR:** | DIMEO CONSTRUCTION COMPANY | | | |
| **CONTACT :** | SCOTT EATON 401 781 9800 | | | |
| **ARCHITECT :** | SYMMES MAINI & MCKEE | | | |
| **COMPLETION :** | SEPTEMBER 2009 | | | |
| **% WITH OWN** | | | | |
| **FORCES** | 65% | | | |
| | | | | |
| | | | | |
| **PROJECT :** | COLT / ANDREWS SCHOOLS | $2,588,000 | | 100% |
| **OWNER :** | TOWN OF BRISTOL, RI | | | |
| **CONTRACTOR:** | LUSI CONSTRUCTION CO | | | |
| **CONTACT :** | ARMAND LUSI 401 232 1010 | | | |
| **ARCHITECT :** | L A TORRADO ARCHITECTS | | | |
| **COMPLETION :** | SEPTEMBER 2008 | | | |
| **% WITH OWN** | | | | |
| **FORCES** | 75% | | | |
| | | | | |
| | | | | |

| DELTA MECHANICAL CONTRACTORS, LLC | | | | |
|---|---|---|---|---|
| SUMMARY OF SIGNIFICANT CONTRACTS | | | | |
| | | | | |
| | | CONTRACT | | % |
| | | VALUE | | COMPLETE |
| | | | | |
| PROJECT : | URI PELL MARINE SCIENCE CENTER | $3,110,000 | | 100% |
| OWNER : | STATE OF RHODE ISLAND | | | |
| CONTRACTOR: | GILBANE BUILDING COMPANY | | | |
| CONTACT : | GEORGE MALAKIDIS 401 456 5800 | | | |
| ARCHITECT : | BURT HILL RITTLEMAN KOSAR ASSOCIATES | | | |
| COMPLETION : | APRIL 2009 | | | |
| % WITH OWN | | | | |
| FORCES | 75% | | | |
| | | | | |
| | | | | |
| PROJECT : | NARRAGANSETT SCHOOLS 2008 | $2,820,000 | | 100% |
| OWNER : | TOWN OF NARRAGANSETT | | | |
| CONTRACTOR: | DIMEO CONSTRUCTION COMPANY | | | |
| CONTACT : | GEOFF BEDDOU 401 781 9800 | | | |
| ARCHITECT : | LA TORRADO ARCHITECTS | | | |
| COMPLETION : | FEBRUARY 2009 | | | |
| % WITH OWN | | | | |
| FORCES | 80% | | | |
| | | | | |
| | | | | |
| PROJECT : | NATHAN BISHOP SCHOOL | $5,680,000 | | 100% |
| OWNER : | CITY OF PROVIDENCE | | | |
| CONTRACTOR: | AGOSTINI CONSTRUCTION CO., INC. | | | |
| CONTACT : | CHAD HAWKSLEY 401 435 4848 | | | |
| ARCHITECT : | ARCHITECTURE INVOLUTION, INC. | | | |
| COMPLETION : | AUGUST 2009 | | | |
| % WITH OWN | | | | |
| FORCES | 75% | | | |
| | | | | |
| | | | | |
| PROJECT : | ROGER WILLIAMS UNIVERSITY RESIDENCE HALL | $6,660,000 | | 100% |
| OWNER : | ROGER WILLIAMS UNIVERSITY | | | |
| CONTRACTOR: | BOND BROTHERS | | | |
| CONTACT : | TED NEVELLS 617 387 3400 | | | |
| ARCHITECT : | PERKINS & WILL | | | |
| COMPLETION : | AUGUST 2009 | | | |
| % WITH OWN | | | | |
| FORCES | 75% | | | |
| | | | | |
| | | | | |
| PROJECT : | PROVIDENCE SCHOOLS - CTE | $5,562,000 | | 100% |
| OWNER : | CITY OF PROVIDENCE | | | |
| CONTRACTOR: | GILBANE BUILDING COMPANY | | | |
| CONTACT : | ANTHONY MURGIDA 401 228 7429 | | | |
| ARCHITECT : | STUDIO JAED | | | |
| COMPLETION : | AUGUST 2009 | | | |
| % WITH OWN | | | | |
| FORCES | 75% | | | |
| | | | | |
| | | | | |
| PROJECT : | WOONSOCKET MIDDLE SCHOOLS | $9,259,000 | | 100% |
| OWNER : | CITY OF WOONSOCKET | | | |
| CONTRACTOR: | GILBANE BUILDING COMPANY | | | |
| CONTACT : | JOANNA KRIPP 401 456 5800 | | | |
| ARCHITECT : | STUDIO JAED | | | |
| COMPLETION : | NOVEMBER 2009 | | | |
| % WITH OWN | | | | |
| FORCES | 80% | | | |

| DELTA MECHANICAL CONTRACTORS, LLC | | | | |
|---|---|---|---|---|
| SUMMARY OF SIGNIFICANT CONTRACTS | | | | |
| | | | | |
| | | CONTRACT VALUE | | % COMPLETE |
| | | | | |
| | | | | |
| | | | | |
| **PROJECT :** | RI PUBLIC TRANSIT AUTHORITY | $3,959,000 | | 100% |
| **OWNER :** | STATE OF RHODE ISLAND | | | |
| **CONTRACTOR:** | HV COLLINS COMPANY | | | |
| **CONTACT :** | PATRICK COLLINS 401 421 4080 | | | |
| **ARCHITECT :** | THE ROBINSON GREEN BERETTA COMPANY | | | |
| **COMPLETION :** | MAY 2010 | | | |
| **% WITH OWN** | | | | |
| **FORCES** | 75% | | | |
| | | | | |
| | | | | |
| **PROJECT :** | WARWICK INTERMODAL FACILITY | $7,030,000 | | 100% |
| **OWNER :** | RI AIRPORT CORPORATION | | | |
| **CONTRACTOR:** | GILBANE BUILDING COMPANY | | | |
| **CONTACT :** | JAMES KENNEDY 401 456 5800 | | | |
| **ARCHITECT :** | JACOBS EDWARDS & KELCEY | | | |
| **COMPLETION :** | OCTOBER 2010 | | | |
| **% WITH OWN** | | | | |
| **FORCES** | 75% | | | |
| | | | | |
| | | | | |
| **PROJECT :** | RI SCHOOL FOR THE DEAF | $3,851,000 | | 100% |
| **OWNER :** | RI SCHOOL FOR THE DEAF | | | |
| **CONTRACTOR:** | BACON CONSTRUCTION CO., INC | | | |
| **CONTACT :** | ROBERT GILCHRIST 401 431 1200 | | | |
| **ARCHITECT :** | THE ROBINSON GREEN BERETTA COMPANY | | | |
| **COMPLETION :** | OCTOBER 2010 | | | |
| **% WITH OWN** | | | | |
| **FORCES** | 75% | | | |
| | | | | |
| | | | | |
| **PROJECT :** | CVS DATA CENTER | $3,725,000 | | 100% |
| **OWNER :** | CVS / CAREMARK CORPORATION | | | |
| **CONTRACTOR:** | DIMEO CONSTRUCTION COMPANY | | | |
| **CONTACT :** | ROBERT LAKE 401 781 9800 | | | |
| **ARCHITECT :** | CUBE 3 | | | |
| **COMPLETION :** | JUNE 2010 | | | |
| **% WITH OWN** | | | | |
| **FORCES** | 90% | | | |
| | | | | |
| | | | | |
| **PROJECT :** | RI DIVISION OF MOTOR VEHICLES | $3,981,000 | | 100% |
| **OWNER :** | STATE OF RHODE ISLAND | | | |
| **CONTRACTOR:** | DIMEO CONSTRUCTION COMPANY | | | |
| **CONTACT :** | JEFFREY MORRIS 401 781 9800 | | | |
| **ARCHITECT :** | LERNER/LADDS + BARTELS, INC | | | |
| **COMPLETION :** | AUGUST 2010 | | | |
| **% WITH OWN** | | | | |
| **FORCES** | 75% | | | |
| | | | | |
| | | | | |
| **PROJECT :** | EAST GREENWICH MIDDLE SCHOOLS | $4,320,562 | | 100% |
| **OWNER :** | TOWN OF EAST GREENWICH | | | |
| **CONTRACTOR:** | GILBANE BUILDING COMPANY | | | |
| **CONTACT :** | JOANNA KRIPP 401 456 5800 | | | |
| **ARCHITECT :** | SYMMES MAINI & MCKEE ASSOCIATES | | | |
| **COMPLETION :** | MAY 2011 | | | |
| **% WITH OWN** | | | | |
| **FORCES** | 75% | | | |

| DELTA MECHANICAL CONTRACTORS, LLC | | | | |
|---|---|---|---|---|
| SUMMARY OF SIGNIFICANT CONTRACTS | | | | |
| | | | | |
| | | CONTRACT VALUE | | % COMPLETE |
| | | | | |
| | | | | |
| | | | | |
| **PROJECT :** | P112V MARITIME SUBSURFACE | $2,291,912 | | 100% |
| **OWNER :** | US DEPARTMENT OF THE NAVY | | | |
| **CONTRACTOR:** | HV COLLINS CO | | | |
| **CONTACT :** | ROBERT GRZYB 401 421 4018 | | | |
| **ARCHITECT :** | EDWARD ROWSE ARCHITECTS | | | |
| **COMPLETION :** | MAY 2011 | | | |
| **% WITH OWN** | | | | |
| **FORCES** | 75% | | | |
| | | | | |
| | | | | |
| **PROJECT :** | CCRI-LINCOLN | $5,153,963 | | 100% |
| **OWNER :** | STATE OF RHODE ISLAND | | | |
| **CONTRACTOR:** | DELTA MECHANICAL CONTRACTORS LLC | | | |
| **CONTACT :** | MICHAEL SPOONER 401 737 3500 | | | |
| **ARCHITECT :** | RAYMOND L. HORRIDGE & ASSOCIATES, INC | | | |
| **COMPLETION :** | AUGUST 2012 | | | |
| **% WITH OWN** | | | | |
| **FORCES** | 50% | | | |
| | | | | |
| | | | | |
| **PROJECT :** | URI COLLEGE OF PHARMACY | $13,665,574 | | 95% |
| **OWNER :** | STATE OF RHODE ISLAND | | | |
| **CONTRACTOR:** | SUFFOLK CONSTRUCTION COMPANY | | | |
| **CONTACT :** | JOHN KHAYALI 617 445 3500 | | | |
| **ARCHITECT :** | PAYETTE ASSOCIATES | | | |
| **COMPLETION :** | FEBRUARY 2013 | | | |
| **% WITH OWN** | | | | |
| **FORCES** | 75% | | | |
| | | | | |
| | | | | |
| **PROJECT :** | VA MEDICAL FCA | $1,865,822 | | 100% |
| **OWNER :** | DEPARTMENT OF VETERANS AFFAIRS | | | |
| **CONTRACTOR:** | KWV, INC. | | | |
| **CONTACT :** | THOMAS MARON 401 714 0209 | | | |
| **ARCHITECT :** | SMRT | | | |
| **COMPLETION :** | JUNE 2012 | | | |
| **% WITH OWN** | | | | |
| **FORCES** | 65% | | | |
| | | | | |
| **PROJECT :** | P451 OTC QUARTERS | $5,592,624 | | 95% |
| **OWNER :** | US DEPARTMENT OF NAVY | | | |
| **CONTRACTOR:** | ABSHER CONSTRUCTION COMPANY | | | |
| **CONTACT :** | MICHAEL STEINTHAL 253 845 9544 | | | |
| **ARCHITECT :** | DESIGN BUILD PROJECT | | | |
| **COMPLETION :** | DECEMBER 2012 | | | |
| **% WITH OWN** | | | | |
| **FORCES** | 75% | | | |
| | | | | |
| | | | | |
| **PROJECT :** | URI HILLSIDE RESIDENCE | $3,002,546 | | 100% |
| **OWNER :** | RI BOARD OF GOVERNORS FOR HIGHER EDUCATION | | | |
| **CONTRACTOR:** | KBE BUILDING CORPORATION | | | |
| **CONTACT :** | CRAIG ORTOLA 860 284 7411 | | | |
| **ARCHITECT :** | LERNER/LADDS + BARTELS, INC. | | | |
| **COMPLETION :** | NOVEMBER 2012 | | | |
| **% WITH OWN** | | | | |
| **FORCES** | 65% | | | |
| | | | | |

| DELTA MECHANICAL CONTRACTORS, LLC | | | | |
|---|---|---|---|---|
| SUMMARY OF SIGNIFICANT CONTRACTS | | | | |
| | | | | |
| | | CONTRACT VALUE | | % COMPLETE |
| | | | | |
| **PROJECT :** | RIC RECREATION CENTER | $1,852,512 | | 100% |
| **OWNER :** | RI BOARD OF GOVERNORS FOR HIGHER EDUCATION | | | |
| **CONTRACTOR:** | KBE BUILDING CORPORATION | | | |
| **CONTACT :** | TONY MANCINI 860 284 7411 | | | |
| **ARCHITECT :** | DESIGN PARTNERSHIP OF CAMBRIDGE | | | |
| **COMPLETION :** | JULY 2012 | | | |
| **% WITH OWN** | | | | |
| **FORCES** | 75% | | | |
| | | | | |
| **PROJECT :** | CCRI KNIGHT CAMPUS | $3,263,000 | | 100% |
| **OWNER :** | STATE OF RHODE ISLAND | | | |
| **CONTRACTOR:** | DELTA MECHANICAL CONTRACTORS (GC) | | | |
| **CONTACT :** | FREDERICK PUCILLO | | | |
| **ARCHITECT :** | HUGHES ASSOCIATES, INC. | | | |
| **COMPLETION :** | JUNE 2012 | | | |
| **% WITH OWN** | | | | |
| **FORCES** | 90% | | | |
| | | | | |
| **PROJECT :** | CCRI FLANAGAN CAMPUS | $1,375,135 | | 100% |
| **OWNER :** | STATE OF RHODE ISLAND | | | |
| **CONTRACTOR:** | DELTA MECHANICAL CONTRACTORS (GC) | | | |
| **CONTACT :** | FREDERICK PUCILLO | | | |
| **ARCHITECT :** | HUGHES ASSOCIATES, INC. | | | |
| **COMPLETION :** | JUNE 2012 | | | |
| **% WITH OWN** | | | | |
| **FORCES** | 80% | | | |
| | | | | |
| **PROJECT :** | ELECTROMAGNETIC SENSOR FACILITY | $3,480,000 | | 60% |
| **OWNER :** | NAVFAC MID ATLANTIC | | | |
| **CONTRACTOR:** | SUFFOLK CONSTRUCTION COMPANY | | | |
| **CONTACT :** | KEVIN KOEHLER | | | |
| **ARCHITECT :** | | | | |
| **COMPLETION :** | JUNE 2013 | | | |
| **% WITH OWN** | | | | |
| **FORCES** | 70% | | | |
| | | | | |
| **PROJECT :** | CITIZENS BANK JB EAST | $1,084,700 | | 100% |
| **OWNER :** | CITIZENS BANK | | | |
| **CONTRACTOR:** | GILBANE BUILDING COMPANY | | | |
| **CONTACT :** | PAUL TIERNEY | | | |
| **ARCHITECT :** | ROGER FERRIS & PARTNERS | | | |
| **COMPLETION :** | JUNE 2012 | | | |
| **% WITH OWN** | | | | |
| **FORCES** | 75% | | | |
| | | | | |
| **PROJECT :** | URI CBLS 4TH FLOOR | $1,282,100 | | 100% |
| **OWNER :** | RI BOARD OF GOVERNORS FOR HIGHER EDUCATION | | | |
| **CONTRACTOR:** | GILBANE BUILDING COMPANY | | | |
| **CONTACT :** | ALISON SVENNINGSEN | | | |
| **ARCHITECT :** | PAYETTE ASSOCIATES | | | |
| **COMPLETION :** | OCTOBER 2012 | | | |
| **% WITH OWN** | | | | |
| **FORCES** | 85% | | | |

| DELTA MECHANICAL CONTRACTORS, LLC | | | | |
|---|---|---|---|---|
| SUMMARY OF SIGNIFICANT CONTRACTS | | | | |
| | | | | |
| | | CONTRACT | | % |
| | | VALUE | | COMPLETE |
| | | | | |
| | | | | |
| **PROJECT :** | FCA SPD & HVAC | $1,050,000 | | 20% |
| **OWNER :** | VA MEDICAL CENTER | | | |
| **CONTRACTOR:** | WILLIAMS BUILDING COMPANY | | | |
| **CONTACT :** | CARL ALTAMURA | | | |
| **ARCHITECT :** | SMRT | | | |
| **COMPLETION :** | NOVEMBER 2013 | | | |
| **% WITH OWN** | | | | |
| **FORCES** | 85% | | | |
| | | | | |
| **PROJECT :** | PHA - FOGARTY AND KENNEDY | $1,534,000 | | 95% |
| **OWNER :** | PAWTUCKET HOUSING AUTHORITY | | | |
| **CONTRACTOR:** | IRON CONSTRUCTION GROUP | | | |
| **CONTACT :** | STEVEN DEPASQUALE | | | |
| **ARCHITECT :** | LPL DESIGN CONSULTANTS INC | | | |
| **COMPLETION :** | NOVEMBER 2012 | | | |
| **% WITH OWN** | | | | |
| **FORCES** | 95% | | | |
| | | | | |
| **PROJECT :** | CLAIBORNE BELL ELEMENTARY SCHOOL | $3,450,000 | | 55% |
| **OWNER :** | NEWPORT SCHOOL COMMITTEE | | | |
| **CONTRACTOR:** | BACON CONSTRUCTION COMPANY | | | |
| **CONTACT :** | JOSEPH MCDONALD | | | |
| **ARCHITECT :** | GARCIA GALUSKA DESOUSA | | | |
| **COMPLETION :** | JULY 2013 | | | |
| **% WITH OWN** | | | | |

ITEM 3

Bruce A. Bookbinder
Delta Mechanical Contractors, LLC
President / CEO

OVERVIEW:

With over 35 years experience in the plumbing and mechanical contracting industries, Bruce Bookbinder has successfully owned and managed Delta Mechanical Contractors to be one of the fastest growing, premier mechanical contracting operations in New England.

After graduating from the University of Miami in 1975 with a degree in Aerospace Management, Bruce joined his family's union plumbing and heating business. Over the years, Bruce developed relationships with all the prominent general contractors in the area and in 1991 he started his own open shop company, Delta Mechanical.

Since Delta's inception in 1991, it has completed over $600,000,000 of plumbing and HVAC systems. With current annual revenues exceeding $60,000,000 and a surety bond program that supports a $25,000,000 single job limit and an aggregate work program of $80,000,000, Delta Mechanical has distance itself from the competition and provides its customers with unparalleled professional service.

Clients can rely on Delta to deliver its scope of work with a high degree of quality and timeliness.

Bruce retains overall responsibility for estimating, purchasing and project management on renovations and new construction. Mr. Bookbinder's years of experience give him a unique ability to analyze designs and systems and recommend the most cost effective and viable approach while maintaining the original intent of the owner and engineer.

RECENT RELEVANT EXPERIENCE:

University of Rhode Island – New Student Housing

This recently completed $12,000,000 plumbing and HVAC new construction project houses several hundred students at the Kingston campus of the State University. Significant time pressures existed and were met to ensure the housing requirements of the school.

## RECENT RELEVANT EXPERIENCE (CONT'D)

TF Green Airport Terminal Improvements and Maintenance Facility

The expansion project at the Providence Airport provided Delta with over $9,000,000 of plumbing, HVAC and Fire Protection work. This project required a significant coordination effort to minimize the disruption to the functionality of the terminal as well as working through extraordinary security precautions. The contract included over $750,000 of change orders and was completed on time.

Wyatt Detention Facility

We performed the plumbing and heating work for this $8,500,000 renovation and addition to the Federal Prison in Central Falls Rhode Island. The project consisted of providing 180 new cells for inmates along with visitation areas, hearing rooms, administrative offices, kitchen facility and a central boiler / chiller plant. In addition, we performed renovations to approximately 25,000 square feet of the existing occupied facility. Extensive security measures and a timely turnover of cells were essential to the project success.

Bank of America Operations Center

This rapid paced 174,000 square foot operations center was completed in a record 6 month time frame at a cost of $9,700,000. We installed computer room cooling with approximately 150 tons of cooling at 60000 cfm, space heating with 8,000,000 BTU's of heat through 20000 feet of pipe, 420 tons of space cooling with 10 roof top units and 168,000 cfm and plumbing with over 200 fixtures and 600 gallons of hot water storage.

Ponagansett Middle School

We provided $4,200,000 of new plumbing and HVAC systems to this state of the art school in Glocester, Rhode Island. Our work throughout the facility included classrooms, auditorium, cafeteria and gymnasium areas. The job was completed 4 weeks ahead of schedule.

## RECENT RELEVANT EXPERIENCE (CONT'D)

RI Juvenile Training Center

Delta has a $9,800,000 contract to construct the plumbing, HVAC and Fire Protection work in these two state of the art facilities that exemplifies contemporary security systems as well as exceeds all energy conservation expectations. This project required additional attention due to the numerous modifications issued during the course of the project. Through the experience, expertise and dedication of Delta, the mechanical construction schedule is on track and will be completed as planned.

Brooks / Eckerd Drugs Headquarters

Our plumbing, heating and fire protection teams performed the work in this 260,000 square-foot World Headquarter facility. We provided over $5,100,000 of new construction at this predominately office location. The installation included 12,000,000 BTU's of hydronic heating from six Patterson Kelley boilers. The facility was air conditioned by 17 fifty (50) ton Lennox Roof Top units that supply air through over 240 VAV boxes. Johnson Controls provided the automation.

Meeting Street School

Delta installed over $2,200,000 of new HVAC and fire protection systems in Providence at this LEED certified schooling facility for the handicapped. Our work included Energy Recovery Roof Top Units and the Taco Load-Match hot water and chilled water piping systems.

Newport Heights 3

This $2,000,000 new plumbing and heating job in Middletown, RI was for 71 affordable condominium units.

G Tech Corporate Center

Located in downtown Providence, this landmark project included HVAC and plumbing for a 10 story office facility with underground parking and first floor retail areas. Based on our superior performance, we were awarded the tenant fit-out package and along with some of the retail areas. Our aggregate contract value exceeded $6,700,000.

RECENT RELEVANT EXPERIENCE (CONT'D)

Central High School

This $6,800,000 plumbing, mechanical and fire protection work has been on-going for over two years. Our original contract value was $1,800,000 and as a result of continued quality performance, we have been engaged over the past two years to perform significant change order work. This school is in a downtown Providence location, is 4 stories tall and is scheduled for completion in the winter of 2007. The project requirements included careful manpower and work area planning to avoid disruption to the daily school activities.

Independence Hall

Another time sensitive project, this $2,500,000 contract was for the renovation of classrooms, the central lobby, study hall, and auditorium areas. The building is comprised of 3 stories and 125,000 square feet. We provided an energy efficient water source heat pump system along with energy recovery units for the make up air in the central area's of the interior.

# DAVID M. GREENBERG

7 Toni Circle
North Smithfield, Rhode Island 02896
(401) 996-8300 / d.greenberg@deltamechllc.com


PROFILE:

*Successful entrepreneur with over 25 years of construction finance and management experience. In an eight year time frame, owned and developed a mechanical contracting operation from inception through sale to a major utility company. After the sale of the business, continued to act as an advisor to the Board of Directors of the acquiring company. Proficient as the liaison between the business operation and the financial community. Other experiences include working with construction businesses and the banking/bonding community; providing consultation services to businesses; developing business plans; preparing financial statements; planning and administrating budgets; negotiating lines of credit and bonding programs with growth oriented companies; maintaining financial controls from startup venture to takeover/buyout; negotiating company sales; interacting with business brokers. Adept in building relationships with industry experts for insurance and bonding. Strong work ethic and integrity. Computer proficient.*

EDUCATION:

BRYANT COLLEGE, Smithfield, Rhode Island
MASTER OF SCIENCE/TAXATION

BOSTON UNIVERSITY, Boston, Massachusetts
BACHELOR OF SCIENCE/BUSINESS ADMINISTRATION
Concentration: ACCOUNTING *Cum Laude*

CERTIFICATIONS:

Certified Public Accountant

PROFESSIONAL MEMBERSHIPS:

Construction Financial Management Association
Associated Builders and Contractors (Rhode Island Chapter)

EXPERIENCE:

1999-Present

DELTA MECHANICAL CONTRACTORS LLC, f/k/a DELTA KEYSPAN, LLC, Warwick, Rhode Island
Mechanical Contracting
CHIEF FINANCIAL ADVISOR

Direct overall finance management, planning, budgeting and reporting functions for Delta Mechanical the successor to Delta Keyspan LLC. During the Keyspan ownership period served as the CFO for the New England Hub of Keyspan Business Solutions (union and non-union mechanical contractors as well as HVAC service and control operations - aggregated revenues of this group approximates $115 million annually). Additional duties include: conducting job profitability reviews; overseeing financial statement preparation; forecasting revenue and profits; performing rolling projections; working with all facets of insurance; conducting weekly management meetings; identifying shortages/excesses; liaising between local operations and corporate office in New York regarding operational and financial reporting issues; developing and implementing systems and procedures; meeting deadlines.

*Accomplishments:*

- *Developed systems and procedures for accounts payable/receivable, payroll and job costing functions.*
- *Directed several computer migrations.*
- *Met all reporting deadlines.*

1991-1999

DELTA MECHANICAL, INC., Warwick, Rhode Island
OWNER/BUSINESS & FINANCIAL CONSULTANT

Led the financial and administrative areas of a highly successful, rapidly growing open shop mechanical contractor. Duties included: acting as a liaison between small businesses, financial and insurances communities; developing business plans; producing accurate, timely and reliable financial statements; performing financial projections; conducting insurance reviews; attending bank and board of director meetings; negotiating lines of credit; succession planning buyouts, cross-purchase agreements; structuring and coordinating estate planning.

*Accomplishments:*

- *Began in a part-time ownership role; assumed full time position.*
- *Company is recognized as a premier mechanical contractor in the State of Rhode Island*
- *Grew company on open/merit shop basis.*
- *Treasurer of Associated Builders and Contractors*
- *Achieved several years of 100% controlled annual revenue growth.*
- *Groomed, primed, and sold business for multi-million dollar transaction.*
- *Successfully managed growth from $300,000 to $30 million.*

1982-1989

MARSHALL CONTRACTORS, INC., East Providence, Rhode Island
General Contractors
VICE PRESIDENT OF FINANCE

Performed overall financial management. Primary contact for banking, bonding and surety relationships. Duties included: forecasting financials for real estate investments; syndicating real estate ventures; reporting financials; planning and administering budget.

*Accomplishments:*

- *Started employment as Accounting Manager; promoted to Controller 1983 and to Vice President of Finance 1984.*
- *Instrumental in company growth from a $57 million operation to a $300 million business.*
- *Directed and participated in several computer conversion projects.*

1979-1982

DELOITTE & TOUCHE, Boston, Massachusetts
International Certified public accountant Firm
SENIOR ACCOUNTANT-CONSTRUCTION INDUSTRY GROUP

Jeffrey Bookbinder
Delta Mechanical Contractors, LLC
Chief Estimator

## OVERVIEW:

With over 35 years experience in the plumbing and mechanical contracting industries, and the last 12 years at Delta Mechanical, Jeff Bookbinder has been the primary estimating resource for this successful business operation.

Jeff attended Clarkson University and in 1969 joined the Rhode Island Plumbers and Pipefitters union. Jeff worked diligently learning the trades while being employed by his family's business that was started by his grandfather. After 25 years of various on site project experiences, Jeff became a full time estimator.

## RECENT RELEVANT ESTIMATES:

University of Rhode Island – New Student Housing

This recently completed $12,000,000 plumbing and HVAC new construction project houses several hundred students at the Kingston campus of the State University. Significant time pressures existed and were met to ensure the housing requirements of the school.

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## RECENT RELEVANT ESTIMATES (CONT'D)

Bank of America Operations Center

This rapid paced 174,000 square foot operations center was completed in a record 6 month time frame at a cost of $9,700,000. We installed computer room cooling with approximately 150 tons of cooling at 60000 cfm, space heating with 8,000,000 BTU's of heat through 20000 feet of pipe, 420 tons of space cooling with 10 roof top units and 168,000 cfm and plumbing with over 200 fixtures and 600 gallons of hot water storage.

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## RECENT RELEVANT ESTIMATES (CONT'D)

Meeting Street School

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Independence Hall

Another time sensitive project, this $2,500,000 contract was for the renovation of classrooms, the central lobby, study hall, and auditorium areas. The building is comprised of 3 stories and 125,000 square feet. We provided an energy efficient water source heat pump system along with energy recovery units for the make up air in the central area's of the interior.

# Peter A. Rocchio

30 Rose Street, Narragansett, RI 02882 H (401) 783-8470 C (401) 286-5265
Email PMRocchio@aol.com

PROFILE: Over 15 years experience managing multiple HVAC, plumbing, refrigeration and general construction projects ranging in contract value from $1,000 to over $8,000,000.

EDUCATION: Bachelor or Science, Mechanical Engineering
*University of Rhode Island*, Kingston RI
Institute of Project Management CCE Certificate
*University of Texas*, Austin, TX

EXPERIENCE:

2001-Present *Delta Mechanical LLC, Warwick, RI*

1991-2001 *Marandola Mechanical, Warwick, RI*
Project Manager / Administrator

APPLIED PROFESSIONAL SKILLS/MULTIPLE & CONCURRENT PROJECTS

- Purchasing material & equipment that meets the projects requirements including alternate manufactures that meet the specifications with cost savings.
- Negotiating contracts with subcontractors, reviewing scope and purchasing competent subcontractors that will deliver the project on time and under budget.
- Scheduling a labor force to meet the demands of the contract schedule. Maximizing production by monitoring the job and adding crews with demand well as pulling back in order to maximize production
- Working with the job foreman in order to establish a plan and set goals that will be meet during all phases of construction.
- Expediting material and equipment deliveries in conjunction with the construction schedule to minimize materials handling and utilize equipment
- Scheduling Subcontractors and subcontractor trades as required by the contract schedule.
- Working with the Construction Management team throughout the project in order to assist in solving the many items that arise during a construction project.
- Value engineering equipment in systems to obtain similar results at a cost savings to the owner.
- Cost budget performance analysis
- Contract change orders estimating and negotiation of the cost impacts due to changes in contract scopes.
- Contract requisition billing

1989 - 1998 Estimator
Duties include estimating labor and material excess of *$15,000,000.*

Select Sampling of Projects Managed 1997 - 2005

| Project Value | Location | System | Approx. Contract |
|---|---|---|---|
| Hilton Garden Inn | Warwick RI | HVAC, Plumbing & Fire | $1,700,000 |
| Watson Center for Technology | Providence, RI | HVAC | $175,100 |
| South County Hospital | Wakefield, RI | HVAC, Plumbing & Fire | $3,847,000 |
| Cox Communications | West Warwick, RI | HVAC, Plumbing | $2,009,267 |
| EMC | Hopkinton, MA | HVAC, Plumbing & Fire | $5,101,365 |
| Jefferson @ Providence Place | Providence, RI | HVAC, Plumbing & Fire | $7,600,000 |

| | | | |
|---|---|---|---|
| Wyeth Ayrest Pharmaceutical | West Greenwich, RI | HVAC, Plumbing | $2,400,000 |
| URI Steam plant Upgrades | Kingston RI | HVAC, Plumbing & Site work | $5,500,000 |
| Super Stop & Shop | Newport & Narragansett | HVAC & Plumbing | $1,000,000 |
| Nordstrom | Providence. Place Mall | Plumbing | $900,000 |
| Raytheon Systems Grid, Restrooms, Chiller & Cooling Tower | Portsmouth, RI | HVAC & Plumbing | $875,000 |
| Beacon Mutual Insurance | Warwick, RI | Plumbing | $230,000 |
| Met Life New Kitchen | Warwick, RI | HVAC & Plumbing | $140,000 |
| South County Hospital | South Kingston, RI | HVAC & Plumbing | $1,100,000 |
| Fatima Hospital | North Providence, RI | HVAC & Plumbing | $2,200,000 |
| RIPTA Garage | Providence, RI | HVAC, Plumb & Process Pipe | $2,900,000 |

AFILATIONS: New England Mechanical Contractors
INTERESTS: Golf, Tennis, Running
REFRANCES: Available on Request

# JOHN F. SMITH JR.

46 Pires Drive
Oakdale CT. 06370
(860) 848-8878 Home Phone
(401)473-4753 Cell Phone

SUMMARY: Experienced Mechanical Contractor, General Construction & Operations Manager with Connecticut P2 and S4 Journeyman Licenses (plumbing, heating & cooling, unlimited) Excellent command of Industrial, Commercial & Government applications / codes. Accustomed to bringing high dollar, technically complex projects in on time and on/under budget. Build strong working relationships with employees, architects, engineers, owners, fire & building code officials and all trades.

EXPERIENCE:

November 2005
Delta Mechanical Contractors, LLC
Project Manager
Responsible for overseeing mechanical projects at the University of Rhode Island.
Review and coordinate all materials, and labor to complete projects. Review and prepare all submittals, correspondence, schedules, change order requests, proposals and update project budgets.
Review and prepare all monthly requisitions.
Insure compliance with contracts, project schedules, client satisfaction and profit objectives.

May 2004 - September 2005
Ferguson Mechanical Co., Inc.
General Manager Mechanical Construction
Responsible for implementation of construction software Expedition, participate in final review of project estimates. Participate in contract negotiations, and contract review.
Oversee Project Managers and Project Assistants.
Prepare project cost codes, oversee job cost, review estimated cost to complete.
Oversee purchasing of subcontracts and materials
Hire & Supervise field personnel
Review & Prepare correspondence, change order requests, proposals, change orders update project budgets.
Review all monthly requisitions, Interact with accounting department.
Insure compliance with contracts, project schedules, client satisfaction and profit objectives.

June 2003 - May 2004
The Nutmeg Companies Inc.
General Contractors
Sr. Project Manager
Responsible for implementation of construction software Expedition, and implementation of project management duties. Responsible for managing General Construction of a design build medical center in Norwich CT., a negotiated three story Apartment complex in Norwich, Ct., and the State of Connecticut Appellate Court House project in Hartford CT.

March 1996 - September 2002
Delta KeySpan, LLC
Vice President of Operations:
On behalf of major Mechanical Contracting firm, responsible for coordinating all technical, logistical, and staffing needs for multi million dollar construction, new, design/build, and renovation projects (throughout RI and MA) Review and participate in choosing new projects, review estimates, negotiate contracts, create project budgets.
Assign and oversee Project Managers / Project Assistants.
Insure quality of work, compliance with contracts; project schedules client satisfaction and profit objectives.
Hire and supervise all management staff for operations.
Oversee purchasing of subcontractors, and materials.
Review correspondence; change order requests, proposals, and change orders, update project budgets.
Review estimated costs to complete on project with Project Managers finalize for CFO review
Review all monthly requisitions prepared by Project Managers Interact with accounting department.

1979-1996
Kendland Company Inc.
1990 - 1996 Vice President:
Oversee all office administrative functions, operations, estimating, and accounting, negotiated contracts, reviewed all estimated cost to complete and financial statements, reviewed bonding requirements for projects and bonding company.

1987 - 1990
Kendland Company Inc.
Operations Manager:
Reviewed projects awarded, assign and oversee Project Managers / Project Assistants,
Assign foreman.
Review all project correspondence, and purchasing.
Chair operations meetings with managers

1984 - 1987
Kendland Company Inc.
Project Manager:
Take projects from concept thru C.O Insure quality of work, compliance with specifications, codes, and project schedules & profits objectives.

- Establish schedules, manpower, equipment and material requirements.
- Procure permits, interpret drawings and contracts.
- Hire & supervise subcontractors. Oversee Purchasing, contract & budget administration
- Attend progress meetings, work closely with architects, engineers, owners and c supervisors to identify and resolve problems.
- Negotiate equitable fees for change orders/additional work requirements.

1979 - 1984
Served as Plumbing & HVAC Mechanic (3 years), Project Foreman / Supervisor (3 years) Held significant technical, operations management & site supervision responsibilities. Trouble shot design, installation, material and labor problems.

ASSETS: Excellent mechanical & management background, bring strong technical, logistical and communication skills to my work. Able to build consensus brings parties together in a common cause. Well organized, skilled in handling administrative and financial detail, labor demands. Safeguard company and client interests, bring jobs in on schedule and budget. Welcome responsibility, a fast pace and a chance to produce tangible results.

REFERENCES: Available upon Request

Project highlights include:

| | |
|---|---|
| State of Connecticut Cheshire Correctional Facility | 1.7 million |
| State of Connecticut Niantic Women's Correctional Facility | 3.6 million |
| State of Connecticut Montville Correctional Facility | 5.8 million |
| State of Rhode Island Department of Labor & Training Cranston R.I | 3.1 million |
| Washington Hall Kitchen Renovations West Point Military Academy | 3.5 million |
| Strategic Maritime Facility Newport R.I. Navy Base | 7 million |
| Undersea Weapons facility Newport R.I. Navy Base | 3.6 million |
| Underground Steam & Condensate replacement Westover Air Force Base | 1.6 million |
| Building 260 Pfizer Central Research Groton CT. | 2.7 million |
| Building 274 Cage Wash Pfizer Central Research Groton CT. | 6.5 million |
| Building 156 Canine Facility Pfizer Central Research Groton CT. | 2.7 million |
| Fidelity Investment Building B, Smithfield R.I | 1.7 million |
| University of Mass. Dartmouth Student Dorm North Dartmouth Mass. | 6.6 million |
| Factory Mutual Insurance Test Burn Facility Glouster R.I | 6.1 million |
| Appellate Court House Hartford CT. General Construction | 8.3 million |
| Wawecus Medical center design Build General Construction | 5.3 million |
| A.I Prince Tech Renovation Hartford CT. | 1.2 million |
| | 15 million |

# *MICHAEL R. SPOONER*

*60 Janet Drive*
*Cranston, RI 02921*
*(401) 941-6138*


*SUMMARY*:

Experienced Mechanical Superintendent, General Foreman with Rhode Island State Master Plumbing License, and Medical Gas Licenses. Excellent knowledge of reading plans, and specifications for types of construction / codes, accustomed to bringing high dollar, technically complex projects in on time and on / under budget. Build strong working relationships with employees, architects, engineers, owners, fire and building code officials and all trades.

*EXPERIENCE*:

**May 2000 – Present**
**Delta Mechanical Contractors, LLC**
**General Foreman / Superintendent**
Responsible for overseeing plumbing and HVAC projects in the field, review and coordinate layout for field installation with project schedules, oversee manpower requirements, attend project meetings, schedule material deliveries insure compliance with contracts, project schedules, client satisfaction and project objectives.

**Jan 1993 – April 2000**
**Pagnozzi Plumbing & Heating**
**Mechanical Foreman /Lead Mechanical Technician**
Responsible for overseeing plumbing & heating projects in the field, coordinating all mechanical service calls & troubleshooting. Schedule field manpower and assist in scheduling all field issues.

**Jan 1990 – Dec 1992**
**Spidel Textron**
**Boiler Plant Engineer**
Responsible for maintaining plant boilers, while working at Spidel, attended New England Technical Institute in the evenings and obtained an Associates Degree in Plumbing and Heating and a certification in computers and electronics.

**Jan 1988 – Dec 1989**
**A1 Security Owner**
**Modern Security Co – Owner**
Responsible for selling and installation of Home, Auto, and Boat security systems.

*Affiliated Qualifications / Certifications*

OSHA 30 certified
CPR & First Aid Certified
Confined Space Entry Certified
3M Fire Stopping Certification
Equipment Lifts and Boom Lift certified
Pass Load Equipment certified
Gas Flex installation certification
Boiler Engineers License

*Significant Project Highlights*

Fidelity Investments, Smithfield, RI, General Superintendent
URI Residence Dorms Plumbing, General Superintendent
URI Residence Dorms HVAC, General Foreman
URI Hope Dining Facility, General Foreman
URI Little Four Renovations, General Foreman
Peerless Building, Providence, RI, General Foreman
Providence College, General Foreman
South County Hospital, Foreman
Building 1157, Newport Navy Base, Foreman

DELTA
MECHANICAL
CONTRACTORS, LLC.

Steven L. Wiseman

136-1 North Washington Street

Norton, MA. 02766

# Resume

## Qualification Summary:

I offer forty years of experience in the mechanical and electrical trades. This experience includes fuel oil installation and service, fire protection systems installation and service, refrigeration systems installation and service, pipefitting, plumbing and HVAC installation and service. I hold Massachusetts licenses in all of these trades. I am also experienced in electrical contracting and controls although I am not licensed in these trades. For the past twenty three years I have been employed as an estimator, operations manager or project manager in the mechanical contracting industry. I have managed projects in the residential, commercial and industrial fields including laboratories, power plants, water treatment, hospitals and drug industries.

## Recent Projects:

HVAC Project Manager for The Macallen Building, the first 'green' residential development in Boston.

HVAC Project Manager for Nouvelle at Natick, luxury condominium high rise.

HVAC, Plumbing and Fire Protection Project Manager for two mixed use Buildings at Northeastern University.

HVAC project Manager for The Plymouth Trial Court.

HVAV and Plumbing Project Manager for the Fall River Trial Court.

HVAC and Process Piping Project Manager for the CUB Building and utilities distribution at the Bristol Myers Drug Manufacturing facility in Devens Massachusetts.

## Employment Experience:

**October 2008- Present: Project Executive for Plumbing and Mechanical Trades for Commercial, Educational and Government Projects.**

2005 – 2008 Project Manager N. B. Kenney Co., Inc. Managing projects with a combined value of over fifty million dollars in the HVAC and Plumbing trades.

2002 – 2005 Project Manager J. C. Cannistraro LLC. Managed projects in the HVAC, Plumbing and Fire Protection trades.

2000 – 2002 Project Manager and Estimator Harding and Smith. HVAC, Plumbing and Fire Protection trades.

1999 – 2000 Project Manager Millennium Mechanical Inc. Managed HVAC and Plumbing projects.

1995 – 2000 Owner Boston Mechanical Contracting Co. HVAC, Plumbing, Fire Protection and Electrical Contracting.

1990 – 1995 Manager Boston Air Systems. HVAC and Plumbing installations and service.

1986 – 1990 Operations/ Project Manger Empire Engineering Co., Inc. Installation and service of main frame computer facilities.

**SYCAMORE ENTERPRISES, LLC**
**AND SUBSIDIARIES**

**CONSOLIDATED FINANCIAL STATEMENTS**

**FOR THE YEARS ENDED**
**SEPTEMBER 30, 2013 AND 2012**

Delta Mechanical Contractors, LLC
Financial Documents - CONFIDENTIAL

F&D