

State of Rhode Island and Providence Plantations Contract Offer  
**RIVIP BIDDER CERTIFICATION COVER FORM**

**SECTION 1 - VENDOR INFORMATION**

**Bid/RFPNumber:** 7548899  
**Bid/RFP Title:** REFRIGERATION EQUIPMENT MAINTENANCE & REPAIR, DOA CENTRAL SERVICES (27 PGS)  
**Opening Date & Time:** 8/20/2014 11:00 AM  
**RIVIP Vendor ID #:** 29418  
**Vendor Name:** Arden Engineering Constructors, LLC  
**Address:** 505 Narragansett Park Dr  
Pawtucket, RI 02861  
USA  
**Telephone:** (401) 727-3500  
**Fax:** (401) 727-3540  
**E-Mail:** jpotter@ardeneng.com  
**Contact Person:** Jeffery Potter  
**Title:** Director of Sales and Service  
**R.I. Foreign Corp #:**

**NOTICE TO VENDORS**

Each bid proposal for a *public works project* must include a "public copy" to be available for public inspection upon the opening of bids. **Bid proposals that do not include a copy for public inspection will be deemed nonresponsive.** For further information on how to comply with this statutory requirement, see R. I. Gen. Laws §§ 37-2-18(b) and (j). Also see Procurement Regulation 5.11, and in addition, for highway and bridge projects, also see Procurement Regulation 5.13, accessible at [www.purchasing.ri.gov](http://www.purchasing.ri.gov).

NOTE: AWARD OF CONTRACTS AND PURCHASE ORDERS SHALL BE SUBJECT, AT THE DISCRETION OF THE PURCHASING AGENT, TO THE OFFEROR COMPLETING AN ON-LINE RIVIP REGISTRATION at [www.purchasing.ri.gov](http://www.purchasing.ri.gov). It is THE RESPONSIBILITY OF THE VENDOR to make on-line corrections/updates using the Vendor maintenance program on the RI Division of Purchases Web Site.

**SECTION 2 – REQUIREMENTS**

**ALL OFFERS ARE SUBJECT TO THE REQUIREMENTS, PROVISIONS AND PROCEDURES CONTAINED IN THIS CERTIFICATION FORM. Offerors are expected to READ, SIGN and COMPLY WITH all requirements. Failure to do so may be grounds for disqualification of the offer contained herein.**

## Section 2.1 - RULES FOR SUBMITTING OFFERS

2.1A. This CERTIFICATION FORM MUST BE ATTACHED IN ITS ENTIRETY TO THE FRONT OF THE OFFER and shall be considered an integral part of each offer made by a vendor to enter into a contract with the State of Rhode Island, Division of Purchases. As such, submittal of the entire Bidder Certification Cover Form, signed by a duly authorized representative of the offeror attesting that he/she (1) has read and agrees to comply with the requirements set forth herein and (2) to the accuracy of the information provided and the offer extended, is a mandatory part of any contract award.

To assure that offers are considered on time, each offer must be submitted with the specific Bid/RFP/LOI number (provided above) and the date and time of opening marked in the upper left hand corner of envelope. Each bid/offer must be submitted in separate sealed envelopes.

A complete, signed (in ink) offer package must be delivered to the Division of Purchases (via any mail or messenger service) by the time and date specified for the opening of responses in a sealed envelope.

Bids must be submitted on the RI bid solicitation forms provided, indicating brand and part numbers of items offered, as appropriate. Bidders must submit detailed cuts and specs on items offered as equivalent to brands requested WITH THE OFFER. Bidders must be able to submit samples if requested.

Mail To: Division of Purchases, One Capitol Hill, Second Floor, Providence, RI 02908-5855.

Documents misdirected to other State locations or which are not present in the Division of Purchases at the time of opening for whatever cause will be deemed to be late and will not be considered. For the purposes of this requirement, the official time and date shall be that of the time clock in the Division of Purchases. Postmarks shall not be considered proof of timely submission.

2.1B. RIVIP SOLICITATIONS. To assure maximum access opportunities for users, public bid/RFP solicitations shall be posted on the RIVIP for a minimum of seven days and no amendments shall be made within the last five days before the date an offer is due. Except when access to the Web Site has been severely curtailed and it is determined by the State Purchasing Agent that special circumstances preclude extending a solicitation due date, requests to mail or fax hard copies of solicitations will not be honored. When the result of an Internet solicitation is unsuccessful, the State of Rhode Island will cancel the original solicitation and resolicit the original offer directly from vendors.

2.2. PRICING. Offers are irrevocable for sixty (60) days from the opening date (or such other extended period set forth in the solicitation) and may not be withdrawn, except with the express permission of the State Purchasing Agent. All pricing will be considered to be firm and fixed unless otherwise indicated. The State of Rhode Island is exempt from Federal excise taxes and State Sales and Use Taxes. Such taxes shall not be included in the bid price. PRICES QUOTED ARE FOB DESTINATION.

2.3. DELIVERY and PRODUCT QUALITY. All offers must define delivery dates for all items; if no delivery date is specified, it is assumed that immediate delivery from stock will be made. The contractor will be responsible for delivery of materials in first class condition. Rejected materials will be at vendor's expense.

2.4. PREVAILING WAGE, OSHA SAFETY TRAINING, and APPRENTICESHIP REQUIREMENTS.

Bidders must comply with the provisions of the Rhode Island labor laws, including R. I. Gen. Laws §§ 37-13-1 *et seq.* and occupational safety laws, including R. I. Gen. Laws §§ 28-20-1 *et seq.* These laws mandate for public works construction projects the payment of prevailing wage rates, the implementation and maintenance of occupational safety standards, and for projects with a minimum value of \$1 Million, the employment of apprentices. The successful Bidder must submit certifications of compliance with these laws from each of its subcontractors prior to their commencement of any work. Prevailing wage rates, apprenticeship requirements, and other workforce and safety regulations are accessible at [www.dlt.ri.gov](http://www.dlt.ri.gov).

2.5. PUBLIC RECORDS. Offerors are advised that all materials submitted to the State for consideration in response to this solicitation will be considered without exception to be Public Records pursuant to Title 38 Chapter 2 of the Rhode Island General Laws, and will be released for inspection immediately upon request once an award has been made. Offerors are encouraged to attend public bid/RFP openings to obtain information; however, bid/RFP response summaries may be reviewed after award(s) have been made by using the RIVIP at any time or appearing in person at the Division of Purchases Mondays through Fridays between 8:30 a.m. and 3:30 p.m. Telephone requests for results will not be honored. Written requests for results will only be honored if the information is not available on the RIVIP.

## SECTION 3 - AWARD DETERMINATION

Award will be made to the responsive and responsible offeror quoting the lowest net price in accordance with specifications, for any individual item(s), for major groupings of items, or for all items listed, at the State's sole option.

3.1. BID SURETY. Where bid surety is required, bidder must furnish a bid bond or certified check for 5% of the bid total with the bid, or for such other amount as may be specified. Bids submitted without a required bid surety will not be considered.

3.2. SPECIFICATIONS. Unless specified "no substitute," product offerings equivalent in quality and performance will be considered (at the sole option of the State) on the condition that the offer is accompanied by detailed product specifications. Offers which fail to include alternate specifications may be deemed nonresponsive.

## SECTION 4 - CONTRACT PROVISIONS

4.1. VENDOR AUTHORIZATION TO PROCEED.

4.1A. When a purchase order, change order, contract/agreement or contract/agreement amendment is issued by the RI Division of Purchases, no claim for payment for services rendered or goods delivered contrary to or in excess of the contract terms and scope shall be considered valid unless the vendor has obtained a written change order or contract amendment issued by the Division of Purchases PRIOR TO delivery.

4.1B. Any offer, whether in response to a solicitation for proposals or bids, or made without a solicitation, which is accepted in the form of an order OR Pricing Agreement made in writing by the Purchasing Agent, or a state official with purchasing authority delegated by the Purchasing Agent, shall be considered a binding contract.

4.2. REGULATIONS, GENERAL TERMS AND CONDITIONS GOVERNING STATE CONTRACTS. This solicitation and any contract or purchase order arising from it are issued in accordance with the specific requirements described herein, and the State's Purchasing Laws and Regulations and other applicable State Laws. The Regulations, General Terms and Conditions are incorporated into all state contracts. These regulations and basic information on How To Do Business with the State of Rhode Island are posted on the Rhode Island Vendor Information Program Website ([www.purchasing.ri.gov](http://www.purchasing.ri.gov)).

4.2A. ARRA SUPPLEMENTAL TERMS AND CONDITIONS. Contracts and sub-awards funded in whole or in part by the American Recovery and Reinvestment Act of 2009. Pub.L.No. 111-5 and any amendments thereto, such contracts and sub-awards, shall be subject to the Supplemental Terms and Conditions For Contracts and Sub-awards Funded in Whole or in Part by the American Recovery and Reinvestment Act of 2009. Pub.L.No. 111-5 and any amendments thereto located on the Division of Purchases website at [www.purchasing.ri.gov](http://www.purchasing.ri.gov).

4.3. EQUAL EMPLOYMENT OPPORTUNITY. Compliance certificate and agreement procedures will apply to all awards for supplies or services valued at \$10,000 and more. Minority Business Enterprise policies and procedures, including subcontracting opportunities as described in Title 37 Chapter 14.1, of the Rhode Island General Laws, also apply.

4.4. PERFORMANCE BONDS. Where indicated, successful bidder must furnish a 100% performance bond and labor and payment bond for contracts subject to Title 37 Chapters 12 and 13 of the Rhode Island General Laws. All bonds must be furnished by a surety company authorized to conduct business in the State of Rhode Island. Performance bonds must be submitted within 21 calendar days of the issuance of a tentative notice of award.

4.5. DEFAULT and NON-COMPLIANCE. Default and/or non-compliance with the RIVIP requirements and any other aspects of the award may result in withholding of payment(s), contract termination, debarment, suspension, or any other remedy necessary that is in the best interest of the state.

4.6. COMPLIANCE. Vendor must comply with all applicable federal, state and local laws, regulations and ordinances.

4.7. SPRINKLER IMPAIRMENT AND HOT WORK. The Contractor agrees to comply with the practices of the State's insurance carrier for sprinkler impairment and hot work. Prior to performing any work, the Contractor shall obtain the necessary information for compliance from the Risk Management Office at the Department of Administration or the agency for which work will be performed.

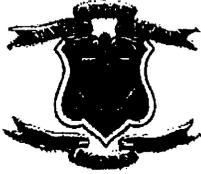
**SECTION 5 – CERTIFICATIONS AND DISCLOSURES**  
**ALL CONTRACT AWARDS ARE SUBJECT TO THE FOLLOWING DISCLOSURES & CERTIFICATIONS**  
**Offerors must respond to every disclosure statement.**

**A person authorized to enter into contracts must sign the offer and attest to the accuracy of all statements.**

Indicate Yes (Y) or No (N):

- N   1. State whether your company, or any owner, stockholder, officer, director, member, partner, or principal thereof, or any subsidiary or affiliated company, has been subject to suspension or debarment by any federal, state, or municipal government agency, or the subject of criminal prosecution, or convicted of a criminal offense within the previous five (5) years. If so, then provide details below.
- N   2. State whether your company, or any owner, stockholder, officer, director, member, partner, or principal thereof, or any subsidiary or affiliated company, has had any contracts with a federal, state or municipal government agency terminated for any reason within the previous five (5) years. If so, then provide details below.
- N   3. State whether your company or any owner, stockholder, officer, director, member, partner, or principal thereof, or any subsidiary or affiliated company, has been fined more than \$5000 for violation(s) of Rhode Island environmental laws by the Rhode Island Department of Environmental Management within the previous five (5) years. If so, then provide details below.
- Y   4. I/we certify that I/we will immediately disclose, in writing, to the Chief Purchasing Officer any potential conflict of interest, which may occur during the course of the engagement authorized pursuant to this contract.
- Y   5. I/we acknowledge that, in accordance with Chapter 37-2-54(c) of the Rhode Island General Laws "no purchase or contract shall be binding on the state or any agency thereof unless approved by the Department [of Administration] or made under general regulations which the Chief Purchasing Officer may prescribe", including change orders and other types of contracts and under State Purchasing Regulation 8.2.1.1.2, "any alleged oral agreement or arrangements made by a bidder or contractor with any agency or an employee of the Office of Purchases may be disregarded and shall not be binding on the state".
- Y   6. I/we certify that I/we or my/our firm possesses all licenses required by Federal and State laws and regulations as they pertain to the requirements of the solicitation and offer made herein and shall maintain such required license(s) during the entire course of the contract resulting from the offer contained herein and should my/our license lapse or be suspended, I/we shall immediately inform the Rhode Island State Purchasing Agent in writing of such circumstance.
- Y   7. I/we certify that I/we will maintain required insurance during the entire course of the contract resulting from the offer contained herein and should my/our insurance lapse or be suspended, I/we shall immediately inform the Rhode Island State Purchasing Agent in writing of such circumstance.
- Y   8. I/we certify that I/we understand that falsification of any information herein or failure to notify the Rhode Island State Purchasing Agent as certified herein may be grounds for suspension, debarment and/or prosecution for fraud.
- Y   9. I/we acknowledge that the provisions and procedures set forth in this form apply to any contract arising from this offer.
- Y   10. I/we acknowledge that I/we understand the State's Purchasing Laws (37-2 of the General Laws of Rhode Island) and Purchasing Regulations and General Terms and Conditions available at the Rhode Island Division of Purchases Website ([www.purchasing.ri.gov](http://www.purchasing.ri.gov)) apply as the governing conditions for any contract or purchase order I/we may receive from the State of Rhode Island, including the offer contained herein.
- Y   11. I/we certify that the bidder: (i) is not identified on the General Treasurer's list, created pursuant to R.I. Gen. Laws § 37-2.5-3, as a person or entity engaging in investment activities in Iran described in § 37-2.5-2(b); and (ii) is not engaging in any such investment activities in Iran.
- Y   12. I/we certify that the above vendor information is correct and complete.





# Request for Quote

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS  
 ONE CAPITOL HILL  
 PROVIDENCE RI 02908

**CREATION DATE:** 23-JUL-14  
**BID NUMBER:** 7548899  
**TITLE:** Refrigeration Equipment Maintenance & Repair, DOA Central Services  
**BLANKET START :** 01-OCT-14  
**BLANKET END :** 30-JUN-17  
**BID CLOSING DATE AND TIME:** 20-AUG-2014 11:00:00

**BUYER:** Ohara 2nd, John F  
**PHONE #:** 401-574-8125

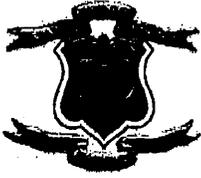
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**DOA CENTRAL SERVICES**  
**ONE CAPITOL HILL, 2ND FLOOR**  
**SMITH ST**  
**PROVIDENCE, RI 02908**  
**US**

**Requisition Number: 1371165**

Line	Description	Quantity	Unit	Unit Price	Total
	<p>Blanket Requirement: October 1, 2014 - June 30, 2017.</p> <p>REFRIGERATION EQUIPMENT MAINTENANCE &amp; REPAIR DOA            SERVICE LOCATIONS:</p> <p>POWERS BUILDING, ONE CAPITOL HILL            PROVIDENCE, RI</p> <p>CHAPIN HEALTH LAB, 50 ORMS STREET            PROVIDENCE, RI</p> <p>ELEANOR SLATER HOSPITAL (PASTORE CAMPUS),            HOWARD AVE CRANSTON, RI</p> <p>ZAMBARANO HOSPITAL, WALLUM LAKE ROAD            PASCOAG, RI).</p> <p>PROVIDE 24 HOUR, 7 DAYS PER WEEK            INSTALLATION, SERVICE, REPAIRS AND PARTS TO            NEW AND EXISTING REFRIGERATION EQUIPMENT            (EQUIPMENT TO BE SERVICED INCLUDES            REFRIGERATORS, FREEZERS, ICE MAKERS,            WALK-IN FREEZERS AND COOLERS, BODY            COOLERS).</p> <p>FIRM MUST HAVE RI REFRIGERATION LICENSES            AND MASTER ELECTRICIAN LICENSE (ALL            LICENSES TO BE ATTACHED TO BID DOCUMENT).</p> <p>SERVICE AND REPAIR TO ORIGINATE FROM            AGENCY ONLY, ALL INVOICES/WORK SLIPS ARE TO            BE SIGNED BY MAINTENANCE PERSONNEL IN            CHARGE OF THE FACILITY WHERE THE WORK IS            GEING PERFORMED. VENDOR IS TO SIGN IN/OUT            WITH FACILITIES.</p> <p>VENDOR IS TO RESPOND WITHIN (1) HOUR OF            NOTIFICATION OF PROBLEM.</p> <p>THE AGENCY RESERVES THE RIGHT TO ACQUIRE            PARTS AND PROVIDE LABOR WHERE POSSIBLE.</p>				

It is the Vendor's responsibility to check and download any and all addenda from the RIVIP. This offer may not be considered unless a signed RIVIP generated Bidder Certification Cover Form is attached and the Unit Price column is completed. The signed Certification Cover Form must be attached to the front of the offer



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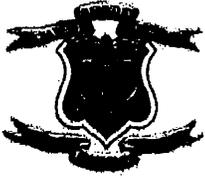
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 DOA CENTRAL SERVICES  
 ONE CAPITOL HILL, 2ND FLOOR  
 SMITH ST  
 PROVIDENCE, RI 02908  
 US

Requisition Number: 1371165

Line	Description	Quantity	Unit	Unit Price	Total
1	APA-12672 10/1/14-6/30/15 REGULAR LABOR RATE FOR ON SITE REFRIGERATION TECHNICIAN	250.00	Hour	\$111.00	\$27,750.00
2	APA-12672 7/1/15-6/30/16 REGULAR LABOR RATE FOR ON SITE REFRIGERATION TECHNICIAN	250.00	Hour	\$114.00	\$28,500.00
3	APA-12672 7/1/16-6/30/17 REGULAR LABOR RATE FOR ON SITE REFRIGERATION TECHNICIAN	250.00	Hour	\$117.00	\$29,250.00
4	APA-12672 10/1/14-6/30/15 OVERTIME LABOR RATE FOR ON SITE REFRIGERATION TECHNICIAN	50.00	Hour	\$150.00	\$7,500.00
5	APA-12672 7/1/15-6/30/16 OVERTIME LABOR RATE FOR ON SITE REFRIGERATION TECHNICIAN	50.00	Hour	\$155.00	\$7,750.00
6	APA-12672 7/1/16-6/30/17 OVERTIME LABOR RATE FOR ON SITE REFRIGERATION TECHNICIAN	50.00	Hour	\$160.00	\$8,000.00
7	APA-12672 10/1/14-6/30/15 REGULAR LABOR RATE FOR ON SITE ELECTRICIAN	50.00	Hour	\$111.00	\$27,750.00
8	APA-12672 7/1/15-6/30/16 REGULAR LABOR RATE FOR ON SITE ELECTRICIAN	50.00	Hour	\$114.00	\$28,500.00
9	APA-12672 7/1/16-6/30/17 REGULAR LABOR RATE FOR ON SITE ELECTRICIAN	50.00	Hour	\$117.00	\$29,250.00
10	APA-12672 10/1/14-6/30/15 OVERTIME LABOR RATE FOR ON SITE ELECTRICIAN	10.00	Hour	\$150.00	\$1,500.00
11	APA-12672 7/1/15-6/30/16 OVERTIME LABOR RATE FOR ON SITE ELECTRICIAN	10.00	Hour	\$155.00	\$1,550.00
12	APA-12672 7/1/16-6/30/17 OVERTIME LABOR RATE FOR ON SITE ELECTRICIAN	10.00	Hour	\$160.00	\$1,600.00
	APA-12672 10/1/14-12/31/15 PERCENTAGE OFF DISCOUNT BELOW MANUFACTURER'S LIST PRICE FOR MATERIAL AND PARTS <u>10</u> %				

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SMITH ST  
PROVIDENCE, RI 02908  
US

Requisition Number: 1371165

Line	Description	Quantity	Unit	Unit Price	Total

Delivery: upon receipt of purchase order and release number

Terms of Payment: net 30

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**COMMONWEALTH OF MASSACHUSETTS**  
**DIVISION OF PROFESSIONAL LICENSURE**

**BOARD OF ELECTRICIANS**

ISSUES THE FOLLOWING LICENSE AS  
REGISTERED MASTER ELECTRICIAN

ARDEL ENGINEERING CONSTRUCTORS  
ROBERT J COLE  
505 MARGARET PARK DR  
PANDUKEE RI 02861-4323  
2053-A 07/31/16 41904

LICENSE NUMBER EXPIRATION DATE SERIAL NUMBER



*Robert J. Cole*  
LICENSE SIGNATURE

**COMMONWEALTH OF MASSACHUSETTS**  
**DIVISION OF PROFESSIONAL LICENSURE**

**BOARD OF ELECTRICIANS**

ISSUES THE FOLLOWING LICENSE  
AS Aired JOURNEMAN ELECTRICIAN

ROBERT J COLE  
3 PINE ST  
GRANSTON RI 02910-3005  
5177-E 07/31/16 41960

LICENSE NUMBER EXPIRATION DATE SERIAL NUMBER



*Robert J. Cole*  
LICENSE SIGNATURE

State of Rhode Island and Providence Plantations  
Rhode Island Department of Labor and Training

REFRIG/JOURNEY <sup>1</sup> RH-ODE <sup>RJ1</sup>



AARON J IAMARONE  
226 ADAMS STREET  
FALL RIVER MA 02720

~~JOHN SHAW~~  
Administrator

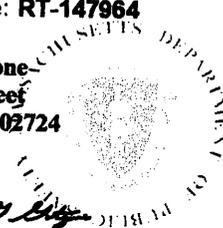
~~07/31/2016~~  
Expiration Date



Commonwealth of Massachusetts  
Department of Public Safety  
Refrigeration Technician  
License: RT-147964



Aaron J Iamarone  
230 Osborn Street  
Fall River MA 02724



*Thomas J. Kelly*  
Commissioner

Expiration:  
07/07/2016

WEN

WEN

WEN

WEN



Vertical text or markings running down the center of the page, possibly bleed-through from the reverse side.

Vertical text or markings on the right side of the page.

Vertical text or markings on the right side of the page.

Vertical text or markings on the right side of the page.

This card acknowledges that the recipient has successfully completed a 10-hour Occupational Safety and Health Training Course in Construction Safety and Health

WILLIAM FITZGERALD

Marie Athey

4/7/2013

(Trainer name - print or type)

(Course end date)



Commonwealth of Massachusetts Department of Public Safety

Refrigeration Technician

License: RT-149247

WILLIAM FITZGERALD 307 WHETSTONE MILLS DAYVILLE CT 06241



Thomas D. Bligh Commissioner

Expiration: 07/20/2015

Rhode Island Department of Labor and Training Division of Workforce Regulation and Safety

REFRIG/JOURNEY 1 RJ1 PIPEFITTER/JOURNEY 1 PJ1

WILLIAM J FITZGERALD 307 WHETSTONE MILLS DAYVILLE CT 06241

Ronald R. Ambrose Administrator

07/31/2015 Expiration Date

**DL** Connecticut DRIVER LICENSE

Class D

1 Class: D 12 Restr: B 9a Endors: NONE

4a Lic #: 078311007 15 Sex: M

3 DOB: 07-20-1981 16 HT: 72

4b Expires: 07-20-2019 16 Eyes: BL

1 FITZGERALD  
2 WILLIAM JOSEPH  
3 307 WHETSTONE MILLS  
DAYVILLE CT 06241-1838

4a Issued: 06-15-2013

*Btz*

## Request for Taxpayer Identification Number and Certification

**Give Form to the  
requester. Do not  
send to the IRS.**

Print or type  
See Specific Instructions on page 2.

Name (as shown on your Income tax return) <b>Arden Engineering Constructors, LLC</b>	
Business name/disregarded entity name, if different from above	
Check appropriate box for federal tax classification: <input type="checkbox"/> Individual/sole proprietor <input type="checkbox"/> C Corporation <input type="checkbox"/> S Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> Trust/estate <input checked="" type="checkbox"/> Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=partnership) ▶ <b>P</b> <input type="checkbox"/> Other (see Instructions) ▶	
Exemptions (see Instructions): Exempt payee code (if any) _____ Exemption from FATCA reporting code (if any) _____	
Address (number, street, and apt. or suite no.) <b>505 Narragansett Park Drive</b>	Requester's name and address (optional)
City, state, and ZIP code <b>Pawtucket, RI 02861</b>	
List account number(s) here (optional)	

### Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on the "Name" line to avoid backup withholding. For individuals, this is your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the Part I Instructions on page 3. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN* on page 3.

**Note.** If the account is in more than one name, see the chart on page 4 for guidelines on whose number to enter.

<b>Social security number</b>									
<b>Employer identification number</b>									
0	1	-	0	7	7	5	4	5	7

### Part II Certification

Under penalties of perjury, I certify that:

1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me), and
2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding, and
3. I am a U.S. citizen or other U.S. person (defined below), and
4. The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

**Certification Instructions.** You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions on page 3.

<b>Sign Here</b>	Signature of U.S. person ▶	Date ▶ <u>1/9/14</u>
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### General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.  
**Future developments.** The IRS has created a page on [irs.gov](http://irs.gov) for information about Form W-9, at [www.irs.gov/w9](http://www.irs.gov/w9). Information about any future developments affecting Form W-9 (such as legislation enacted after we release it) will be posted on that page.

#### Purpose of Form

A person who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) to report, for example, income paid to you, payments made to you in settlement of payment card and third party network transactions, real estate transactions, mortgage interest you paid, acquisition or abandonment of secured property, cancellation of debt, or contributions you made to an IRA.

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN to the person requesting it (the requester) and, when applicable, to:

1. Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
2. Certify that you are not subject to backup withholding, or
3. Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the

withholding tax on foreign partners' share of effectively connected income, and

4. Certify that FATCA code(s) entered on this form (if any) indicating that you are exempt from the FATCA reporting, is correct.

**Note.** If you are a U.S. person and a requester gives you a form other than Form W-9 to request your TIN, you must use the requester's form if it is substantially similar to this Form W-9.

**Definition of a U.S. person.** For federal tax purposes, you are considered a U.S. person if you are:

- An individual who is a U.S. citizen or U.S. resident alien,
- A partnership, corporation, company, or association created or organized in the United States or under the laws of the United States,
- An estate (other than a foreign estate), or
- A domestic trust (as defined in Regulations section 301.7701-7).

**Special rules for partnerships.** Partnerships that conduct a trade or business in the United States are generally required to pay a withholding tax under section 1446 on any foreign partners' share of effectively connected taxable income from such business. Further, in certain cases where a Form W-9 has not been received, the rules under section 1446 require a partnership to presume that a partner is a foreign person, and pay the section 1446 withholding tax. Therefore, if you are a U.S. person that is a partner in a partnership conducting a trade or business in the United States, provide Form W-9 to the partnership to establish your U.S. status and avoid section 1446 withholding on your share of partnership income.



STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS

Department of Labor and Training

Center General Complex  
1511 Pontiac Avenue  
Cranston, RI 02920-4407

Telephone: (401) 462-8000  
TTY: Via RI Relay 711

Lincoln D. Chafee  
Governor

Charles J. Fogarty  
Director

13. Comply with all applicable provisions of RIGL §37-13-1, et. seq;

Any questions or concerns regarding this CONTRACT ADDENDUM should be addressed to the contractor or subcontractor's attorney. Additional Prevailing Wage information may be obtained from the Department of Labor and Training at [www.dlt.ri.gov/pw](http://www.dlt.ri.gov/pw).

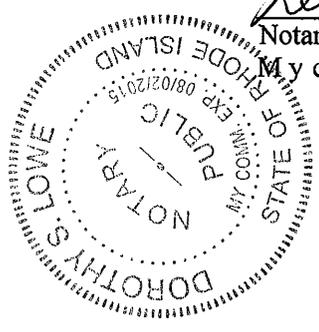
**CERTIFICATION**

I hereby certify that I have reviewed this CONTRACT ADDENDUM and understand my obligations as stated above.

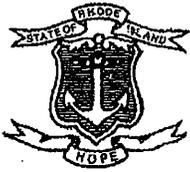
By: [Signature]  
Title: Vice President, Service

Subscribed and sworn before me this 19 day of August, 2014

[Signature]  
Notary Public  
My commission expires: 8/2/15



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**STATE CONTRACT ADDENDUM**

**RHODE ISLAND DEPARTMENT OF LABOR AND TRAINING**

**PREVAILING WAGE REQUIREMENTS  
(37-13-1 ET SEQ.)**

The prevailing wage requirements are generally set forth in RIGL 37-13-1 et seq. These requirements refer to the prevailing rate of pay for regular, holiday, and overtime wages to be paid to each craftsmen, mechanic, teamster, laborer, or other type of worker performing work on public works projects when state or municipal funds exceed one thousand dollars (\$1,000).

All Prevailing Wage Contractors and Subcontractors are required to:

1. Submit to the Awarding Authority a list of the contractor's subcontractors for any part or all of the prevailing wage work in accordance with RIGL § 37-13-4;
2. Pay all prevailing wage employees at least once per week and in accordance with RIGL §37-13-7 (see Appendix B attached);
3. Post the prevailing wage rate scale and the Department of Labor and Training's prevailing wage poster in a prominent and easily accessible place on the work site in accordance with RIGL §37-13-11; posters may be downloaded at [www.dlt.ri.gov/pw/Posters.htm](http://www.dlt.ri.gov/pw/Posters.htm) or obtained from the Department of Labor and Training, Center General Complex, 1511 Pontiac Avenue, Cranston, Rhode Island;
4. Access the Department of Labor and Training website, at [www.dlt.ri.gov](http://www.dlt.ri.gov) on or before July 1st of each year, until such time as the contract is completed, to ascertain the current prevailing wage rates and the amount of payment or contributions for each covered prevailing wage employee and make any necessary adjustments to the covered employee's prevailing wage rates effective July 1st of each year in compliance with RIGL §37-13-8;
5. Attach a copy of this CONTRACT ADDENDUM and its attachments as a binding obligation to any and all contracts between the contractor and any

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subcontractors and their assignees for prevailing wage work performed pursuant to this contract;

6. Provide for the payment of overtime for prevailing wage employees who work in excess of eight (8) hours in any one day or forty (40) hours in any one week as provided by RIGL §37-13-10;
7. Maintain accurate prevailing wage employee payroll records on a Rhode Island Certified Weekly Payroll form available for download at [www.dlt.ri.gov/pw.forms/htm](http://www.dlt.ri.gov/pw.forms/htm), as required by RIGL §37-13-13, and make those records available to the Department of Labor and Training upon request;
8. Furnish the fully executed RI Certified Weekly Payroll Form to the awarding authority on a monthly basis for all work completed in the preceding month.
9. For general or primary contracts one million dollars (\$1,000,000) or more, shall maintain on the work site a fully executed RI Certified Prevailing Wage Daily Log listing the contractor's employees employed each day on the public works site; the RI Certified Prevailing Wage Daily Log shall be available for inspection on the public works site at all times; this rule shall not apply to road, highway, or bridge public works projects. Where applicable, furnish both the Rhode Island Certified Prevailing Wage Daily Log together with the Rhode Island Weekly Certified Payroll to the awarding authority.
10. Assure that all covered prevailing wage employees on construction projects with a total project cost of one hundred thousand dollars (\$100,000) or more has a OSHA ten (10) hour construction safety certification in compliance with RIGL § 37-23-1;
11. Employ apprentices for the performance of the awarded contract when the contract is valued at one million dollars (\$1,000,000) or more, and comply with the apprentice to journeyperson ratio for each trade approved by the apprenticeship council of the Department of Labor and Training in compliance with RIGL §37-13-3.1;
12. Assure that all prevailing wage employees who perform work which requires a Rhode Island trade license possess the appropriate Rhode Island trade license in compliance with Rhode Island law; and

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STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS

Department of Administration  
DIVISION OF PURCHASES  
One Capitol Hill  
Providence, RI 02908-5855

Tel: (401) 574-8100  
Fax: (401) 574-8387  
Website: www.purchasing.ri.gov

August 14, 2014

**ADDENDUM NUMBER ONE**

**RFQ # 7548899**

**TITLE: Refrigeration Equipment Maintenance & Repair, DOA Central Services**

**Closing Date and Time: 8/20/14 at 11:00 AM**

Per the issuance of this ADDENDUM #1 (2) pages, including this cover sheet)



**Specification Change /Addition / Clarifications**

**Listed below are the (2) two questions we received regarding this Bid as of today.**

1. **Question:** Please explain the need for a required master electrician license for the above listed bid. We have been in business for 50 years and have never had this specific requirement.
2. **Question:** I have a question in regards to the above bid requesting that the firm have a master electrician license. This bid is for refrigeration maintenance and repair and is requesting that the firm have a master electrician. Due to the fact that there is minimal work for a master electrician on a refrigeration repair and maintenance contract would a subcontractor be allowed?



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**Answer:** Facilities Management is retracting the requirement for a Master Elections license for Bid # 7548899.

Vendors are permitted to use contract electrical services for equipment repairs and installation.

DOA Facilities Management requests all potential bidders for Bid #7548899 assume all code requirements for installation and repair for refrigerated equipment. Requirements which include electrical alterations or installations will be the sole responsibility of the Vendor including obtaining required permits.